

CATHAY GENERAL BANCORP

Form 8-K

October 26, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): October 26, 2016

**CATHAY GENERAL BANCORP**

(Exact name of registrant as specified in its charter)

Delaware

(State or other jurisdiction of incorporation)

001-31830

(Commission File Number)

95-4274680

(IRS Employer Identification No.)

777 North Broadway, Los Angeles, California 90012

(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: (213) 625-4700

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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**Item 7.01 Regulation FD Disclosure.**

Cathay General Bancorp (the “Company”) has posted on its website [www.cathaygeneralbancorp.com](http://www.cathaygeneralbancorp.com) a summary of the results of the annual stress test that it conducted for the Company and its subsidiary, Cathay Bank, as required by the Dodd Frank Wall Street Reform and Consumer Protection Act. That summary is attached hereto as Exhibit 99.1.

Information contained on the Company’s website, directly or through links, is not incorporated by reference into this Current Report on Form 8-K. The information contained in this Item 7.01 of this Current Report on Form 8-K, including Exhibit 99.1, is intended to be furnished only, shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the “Exchange Act”), or otherwise subject to the liabilities of that section, and may only be incorporated by reference into another filing under the Exchange Act or the Securities Act of 1933, as amended, if such subsequent filing specifically references Item 7.01, or such Exhibit, of this Current Report on Form 8-K.

**Item 9.01 Financial Statements and Exhibits.**

(d) Exhibits

99.1 Dodd-Frank Act Stress Test Results Disclosure dated October 26, 2016

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**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: October 26, 2016

CATHAY GENERAL BANCORP

By:                   /s/ Heng W. Chen  
                          Heng W. Chen  
                          Executive Vice President and  
                          Chief Financial Officer

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**EXHIBIT INDEX**

Number

Exhibit

99.1

Dodd-Frank Act Stress Test Results Disclosure dated October 26, 2016