

Bohley G Frederick  
 Form 3  
 June 04, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Bohley G Frederick		(Month/Day/Year)	Allison Transmission Holdings Inc [ALSN]	
(Last)	(First)	(Middle)	06/01/2018	
ONE ALLISON WAY			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
INDIANAPOLIS,Â INÂ 46222			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			VP, CFO & Treasurer	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	33,966	D	Â
Common Stock	360	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	Â (1)	08/07/2023	Common Stock	5,225	\$ 22.77	D	Â
Employee Stock Option (Right to Buy)	Â (2)	02/18/2024	Common Stock	4,950	\$ 30.23	D	Â
Employee Stock Option (Right to Buy)	Â (3)	02/19/2025	Common Stock	7,150	\$ 32.13	D	Â
Employee Stock Option (Right to Buy)	Â (4)	02/17/2026	Common Stock	10,348	\$ 23.59	D	Â
Employee Stock Option (Right to Buy)	Â (5)	02/21/2027	Common Stock	3,961	\$ 37.11	D	Â
Employee Stock Option (Right to Buy)	Â (6)	02/07/2028	Common Stock	5,787	\$ 43.3	D	Â
Restricted Stock Units	Â (7)	Â (7)	Common Stock	2,786	\$ (10)	D	Â
Restricted Stock Units	Â (8)	Â (8)	Common Stock	1,980	\$ (10)	D	Â
Restricted Stock Units	Â (9)	Â (9)	Common Stock	1,929	\$ (10)	D	Â
Dividend Equivalent Rights	Â (11)	Â (11)	Common Stock	179	\$ (11)	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bohley G Frederick ONE ALLISON WAY INDIANAPOLIS, IN 46222	Â	Â	Â VP, CFO & Treasurer	Â

## Signatures

/s/ Eric C. Scroggins,  
attorney-in-fact

06/04/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option vested on December 15, 2015.

(2) The option vested on December 1, 2016.

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- (3) The option vested on February 19, 2018.
- (4) The option vests on February 17, 2019.
- (5) The option vests on February 22, 2020.
- (6) The option vests on February 7, 2021.
- (7) The restricted stock units ("RSUs") vest on February 17, 2019.
- (8) The RSUs vest on February 22, 2020.
- (9) The RSUs vest on February 7, 2021.
- (10) Each RSU represents a contingent right to receive one share of Allison Transmission Holdings, Inc. common stock ("Common Stock"). RSUs earn dividend equivalents when dividends are declared on the Common Stock.
- (11) The dividend equivalent rights vest proportionately with the RSUs to which they related. Each dividend equivalent right is the economic equivalent of one share of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.