

Ascent Solar Technologies, Inc.  
Form 8-K  
May 19, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

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FORM 8-K

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CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934  
Date of Report (Date of Earliest Event Reported): May 19, 2016 (May 18, 2016)

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ASCENT SOLAR TECHNOLOGIES, INC.  
(Exact name of registrant as specified in its charter)

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|   |                             |   |
|---|-----------------------------|---|
| Delaware  | 001-32919                   | 20-3672603                                    |
| (State or other jurisdiction<br>of incorporation) | (Commission<br>File Number) | (I.R.S.<br>Employer<br>Identification<br>No.) |

|  |            |
|--|------------|
| 12300 Grant Street<br>Thornton, Colorado | 80241      |
| (Address of principal executive offices) | (Zip Code) |

Registrant's telephone number, including area code: (720) 872-5000

Not Applicable

Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 3.01 Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing.

As previously reported on February 23, 2016, Ascent Solar Technologies, Inc. (the “Company”), received a notification from The NASDAQ Stock Market LLC (“NASDAQ”) stating that NASDAQ had determined to delist shares of the Company’s common stock from NASDAQ. Since February 25, 2016 the Company’s common stock has been suspended from trading on NASDAQ and has been instead traded on the OTCQB Venture Market, operated by OTC Markets Group Inc.

Following the expiration of all appeals periods, on May 18, 2016, NASDAQ announced they will file a Form 25 with the SEC. The Form 25 would take effect in 10 days and would complete the NASDAQ delisting process. The Form 25 will have no impact on the trading of the Company’s common stock which will continue to trade on the OTCQB Venture Market.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ASCENT SOLAR  
TECHNOLOGIES, INC.

May  
19, By: /s/ Victor Lee  
2016

Name: Victor Lee  
Title: Chief Executive Officer