

Edgar Filing: ServisFirst Bancshares, Inc. - Form 144

ServisFirst Bancshares, Inc.

Form 144

February 15, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 144 NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144

UNDER THE SECURITIES ACT OF 1933

OMB APPROVAL

SEC USE ONLY

CUSIP NUMBER

1 (a) NAME OF ISSUER

(b) IRS IDENT NO

(c) SEC FILE NO.

WORK LOCATION

1 (d) ADDRESS OF ISSUER STREET CITY STATE ZIP CODE

(e) TELEPHONE NO.

AREA CODE

NUMBER

2 (a) NAME OF PERSON FOR WHOSE ACCOUNT THE

SECURITIES ARE TO BE SOLD

(b) RELATIONSHIP TO ISSUER

(c) ADDRESS STREET CITY STATE ZIP CODE

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3(a)

(b)

SEC USE ONLY

(c) Number of Shares or Other Units To Be Sold

(d) Aggregate Market Value

(e) Number of Shares or Other Units Outstanding

(f) Approximate Date of Sale

(g) Name of Each Securities Exchange

Title of the Class of Securities To Be Sold

Name and Address of Each Broker Through Whom the Securities are to be Offered or Each Market Maker who is Acquiring the Securities
Broker-Dealer File Number

INSTRUCTIONS: 3. (a) Title of the class of securities to be sold

1. (a) Name of issuer (b) Name and address of each broker through

whom the securities are intended to be sold (b) Issuers I.R.S.

Identification Number (c) Number of shares or other units to be sold

(if debt securities, give the aggregate face amount)

(c) Issuers S.E.C. file number, if any (d) Aggregate market value

of the securities to be sold as of a specified date within 10 days

prior to the filing of this notice (d) Issuers address, including

zip code (e) Number of shares or other units of the class outstanding,

or if debt securities the face amount thereof outstanding, as shown

(e) Issuers telephone number, including area code by the most

recent report or statement published by the issuer

(f) Approximate date on which the securities are to be

sold. (a) Name of person for whose account the securities are to be sold

(g) Name of each securities exchange, if any, on which the securities

are intended to be sold (b) Such persons relationship to the issuer

(e.g. officer, director, 10 stockholder, or member of immediate family

of any of the foregoing) (c) Such persons address, including zip code

Potential persons who are to respond to the collection of information

contained in this form are not required to respond unless the form

displays a currently valid OMB control number.

SEC 1147 0807

ServisFirst Bancshares, Inc. 260734029 00136452

850 Shades Creek Parkway Birmingham AL 35209

Kenneth Lee Barber Regional CEO 2678 Hickory Level Rd

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Villa Rica, GA 30180
Common
FNBB Capital Markets, LLC
600 University Park Place, Suite 380
Birmingham, AL 35209
21,073 882,116 02 28 2017 NASDAQ

TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class
Date you Acquired
Nature of Acquisition Transaction
Name of Person from Whom Acquired
(If gift, also give date donor acquired)
Amount of Securities Acquired
Date of Payment
Nature of Payment

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller
Title of Securities Sold
Date of Sale
Amount of Securities Sold
Gross Proceeds

REMARKS:

INSTRUCTIONS:

See the definition of person in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

ATTENTION: The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

DATE OF NOTICE (SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION,

IF RELYING ON RULE 10B51 of the notice shall be manually signed.

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations

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SEC 1147 02-08

Common 1 31 2015 Merger

ServisFirst Bancshares 21,073 1 31 2015 Merger exchange