Edgar Filing: Edwards Jeffrey S - Form 4

Edwards Jeffrey S Form 4							
July 02, 2008							
FORM 4 UNITED	STATES SECU	RITIES AND EXCHANGE CO	OMMISSION	OMB AF	PROVAL		
		ashington, D.C. 20549		Number:	3235-0287		
Check this box if no longer subject to Section 16. Form 4 or	MENT OF CHA	NGES IN BENEFICIAL OWN SECURITIES	ERSHIP OF	Expires: Estimated a burden hour response			
obligations	(a) of the Public V	16(a) of the Securities Exchange Utility Holding Company Act of Investment Company Act of 1940	1935 or Section				
1. Name and Address of Reporting Edwards Jeffrey S	Symbol		5. Relationship of Reporting Person(s) to Issuer				
(Last) (First) (JOHNSON CONTROLS INC [JCI] 3. Date of Earliest Transaction (Check			all applicable)		
(1		/Day/Year) 2008	Director 10% Owner X Officer (give title Other (specify below) below) Vice President				
(Street)	reet) 4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
PLYMOUTH, MI 48170			Form filed by Mo Person	ore than One Re	porting		
(City) (State)	(Zip) Ta	ble I - Non-Derivative Securities Acqu	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common 07/01/2008 Stock		A 3.488 A \$ 28.6682	78,812.792 (1)	D			
Common Stock			9,455.397 <u>(2)</u>	Ι	By 401(k) Plan Trust		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	of			7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units - Excess Benefit Plan	<u>(3)</u>					<u>(4)</u>	<u>(4)</u>	Common Stock	1,215.35
Phantom Stock Units - Annual Incentive Plan	<u>(3)</u>					<u>(5)</u>	<u>(5)</u>	Common Stock	12,075.7
Phantom Stock Units - Restricted Stock Plan	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Common Stock	781.902
Stock Option	\$ 17.5167					11/19/2005(7)	11/19/2013	Common Stock	60,000
Stock Option	\$ 20.5633					11/17/2006(7)	11/17/2014	Common Stock	90,000
Stock Option	\$ 22.5617					11/16/2007(7)	11/16/2015	Common Stock	150,000
Stock Option	\$ 23.965					10/02/2008(7)	10/02/2016	Common Stock	60,000
Stock Option	\$ 40.21					10/01/2009(7)	10/01/2017	Common Stock	36,000

Reporting Owners

Reporting Owner Name / Address

Relationships

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	Director	10% Owner	Officer	Other
Edwards Jeffrey S 49200 HALYARD DRIVE P.O. BOX 8010 PLYMOUTH, MI 48170			Vice President	
Signatures				
Arlene D. Gumm, Attorney-in Edwards	-Fact for J	effrey S.	07/02/	/2008
<u>**</u> Signature of Report	ing Person		Dat	e
Explanation of Re	spon	Ses:		

-	
*	If the form is filed by more than one reporting person, <i>see</i> Instruction 4(b)(v).
**	Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
(1)	Includes shares for restricted stock grants: 22,500 vest on 1/3/2010, 4,500 vest on 11/1/2009 and 4, 500 vest on 11/1/2011.
(2)	The number of underlying securities is based on the stock fund balance on July 1, 2008. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a July 1, 2008, stock fund price of \$29.18 per share.
(3)	Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
(4)	The phantom stock units were accrued under the Johnson Controls Equalization $401(k)$ Benefit Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service with the company.
(5)	The phantom stock units were accrued under the Johnson Controls Annual Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service with the company.

- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock
 units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- (7) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.