Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 5

AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 5

FORM 5

Check this	UNITED S		S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 CATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				number.	3235-0362 January 31,	
no longer to Section Form 4 or 5 obligation may contin	16. Form ANNO						Expires: Estimated a burden hou response	rs per	
See Instruction 1(b). Form 3 Ho Reported Form 4 Transactio Reported	Filed purs	suant to Section 1 a) of the Public U 30(h) of the In	tility Holdin	g Compa	ny Act of	1935 or Section	n		
DAUCH SANDRA J GIFT TRUST DATED MAY 25 1998 AMER MANU			uer Name and Ticker or Trading bol ERICAN AXLE & NUFACTURING HOLDINGS [AXL]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner			
(Last)	(First) (M		ement for Issuer's Fiscal Year Ended n/Day/Year) /2008			Officer (give below)			
ONE DAUC	CH DRIVE								
	nendment, Date Original onth/Day/Year)			6. Individual or Joint/Group Reporting (check applicable line)					
DETROIT,A	À MIÂ 48211-119	8				_X_ Form Filed by Form Filed by Person	One Reporting P More than One R		
(City)	(State) (Zip) Tabl	e I - Non-Deri	ivative Sec	urities Acq	uired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock (1)	Â	Â	Â	Amount	(D) Pric	6,260,702	D	Â	
	ort on a separate line a		contained in	n this for	m are not	ollection of info	ond unless	SEC 2270 (9-02)	

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

the form displays a currently valid OMB control number.

OMB APPROVAL

Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 5

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration Da	ate	Amou	int of	Derivative
	Security	or Exercise	•	any	Code	of	(Month/Day/	Year)	Under	lying	Security
((Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)
		Derivative				Securities			(Instr.	3 and 4)	
		Security				Acquired					
						(A) or					
						Disposed					
						of (D)					
						(Instr. 3,					
						4, and 5)					
										Amount	
						Date	Expiration		or Number		
							Exercisable	Date		of	
						(A) (D)				Shares	
						(A) (D)				Shares	

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

of D

В

Fi (I

DAUCH SANDRA J GIFT TRUST DATED MAY 25 1998 ONE DAUCH DRIVE DETROIT, MIÂ 48211-1198

 $\hat{A} \qquad \hat{A} \quad X \quad \hat{A} \qquad \hat{A}$

Signatures

Laura L. Douglas, Attorney-in-fact 02/11/2009

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On September 2, 2008, Sandra J. Dauch resigned as trustee and Richard E. Dauch became the trustee of the reporting person. Richard E. Dauch is Co-Founder, Chairman & CEO of the issuer. Mr. Dauch disclaims beneficial ownership of the shares held by the Trust.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2