

MONOLITHIC POWER SYSTEMS INC

Form 4

November 06, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Lee Victor K

2. Issuer Name **and** Ticker or Trading
Symbol
MONOLITHIC POWER SYSTEMS
INC [MPWR]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

1539 QUEENSTOWN CT.

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/05/2015

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

SUNNYVALE, CA 94087

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/05/2015		M ⁽¹⁾	5,000 A	\$ 12.28 25,615	D	
Common Stock	11/05/2015		S ⁽¹⁾	5,000 D	\$ 63.1911 20,615	D	
Common Stock	11/05/2015		M ⁽¹⁾	5,000 A	\$ 12.28 25,615	D	
Common Stock	11/05/2015		S ⁽¹⁾	5,000 D	\$ 63.2428 20,615	D	
	11/06/2015		M ⁽¹⁾	5,000 A	\$ 12.28 25,615	D	

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Common
Stock

Common Stock	11/06/2015	<u>S</u> ⁽¹⁾	5,000	D	\$ 64.0188 <u>(4)</u>	20,615	D
Common Stock	11/06/2015	<u>M</u> ⁽¹⁾	5,000	A	\$ 12.28	25,615	D
Common Stock	11/06/2015	<u>S</u> ⁽¹⁾	5,000	D	\$ 64.0182 <u>(5)</u>	20,615	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 12.86	11/05/2015		M ⁽¹⁾		5,000		02/18/2010	02/18/2016	Common Stock	5,000
Non-Qualified Stock Option (right to buy)	\$ 12.86	11/05/2015		M ⁽¹⁾		5,000		02/18/2010	02/18/2016	Common Stock	5,000
Non-Qualified Stock Option (right to buy)	\$ 12.86	11/06/2015		M ⁽¹⁾		5,000		02/18/2010	02/18/2016	Common Stock	5,000
Non-Qualified Stock Option (right to buy)	\$ 12.86	11/06/2015		M ⁽¹⁾		5,000		02/18/2010	02/18/2016	Common Stock	5,000

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lee Victor K 1539 QUEENSTOWN CT. SUNNYVALE, CA 94087	X			

By: Saria Tseng For: Victor Lee
11/06/2015

****Signature of Reporting Person**

Date _____

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction was executed during an authorized trading window in compliance with the Company's Insider Trading Compliance Program.
- The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line
- (2) range from \$63.10 to \$63.30. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line
- (3) range from \$63.20 to \$63.30. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line
- (4) range from \$64.00 to \$64.08. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line
- (5) range from \$63.98 to \$64.04. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.