#### Edgar Filing: MONOLITHIC POWER SYSTEMS INC - Form 4

MONOLITHIC POWER SYSTEMS INC Form 4 August 09, 2013 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Xiao Deming Issuer Symbol MONOLITHIC POWER SYSTEMS (Check all applicable) INC [MPWR] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_X\_\_Officer (give title Other (specify (Month/Day/Year) below) below) 79 GREAT OAKS BLVD 08/07/2013 Pres. of MPS Asia Operations (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SAN JOSE, CA 95119 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transactionor Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial Ownership (Month/Day/Year) Owned Direct (D) (Instr. 8) Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common \$17.92 08/07/2013  $M^{(1)}$ 40,000 А 121,065 D Stock (2) Common 08/07/2013 S<sup>(1)</sup> 40,000 D D \$27 81,065 Stock Common 192 (3) 08/08/2013 \$0 D Α Α 81,257 Stock Common S<sup>(4)</sup> 08/08/2013 102 D \$ 28.28 81,155 D Stock Common 08/08/2013 S<sup>(4)</sup> 2,167 D 78,988 D 28.283 Stock

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Common Stock	08/09/2013	М	1,429	А	\$ 15.03 (2)	13,214	Ι	by Spouse
Common Stock	08/09/2013	М	941	А	\$ 17.92	14,155	Ι	by Spouse
Common Stock	08/09/2013	М	141	А	\$ 14.89	14,296	Ι	by Spouse
Common Stock	08/09/2013	М	470	А	\$ 15.03	14,766	Ι	by Spouse
Common Stock	08/09/2013	S	1,429	D	\$ 28.31	13,337	Ι	by Spouse
Common Stock	08/09/2013	S	941	D	\$ 28.31	12,396	Ι	by Spouse
Common Stock	08/09/2013	S	141	D	\$ 28.31	12,255	Ι	by Spouse
Common Stock	08/09/2013	S	470	D	\$ 28.31	11,785	Ι	by Spouse
Common Stock	08/09/2013	S	3,628	D	\$ 28.31	8,157	Ι	by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercia Expiration Dat (Month/Day/Y	e	7. Title and Underlying S (Instr. 3 and	Secu
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of S
Non-Qualified Stock Option (right to buy)	\$ 18.77 (2)	08/07/2013		M <u>(1)</u>	40,000	08/03/2009	08/03/2014	Common Stock	40
Non-Qualified Stock Option (right to buy)	\$ 14.89	08/09/2013		М	141	12/28/2012	10/28/2015	Common Stock	-

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Non-Qualified Stock Option (right to buy)	\$ 15.03	08/09/2013	М	470	12/28/2012	10/28/2015	Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 15.74 (2)	08/08/2013	М	1,429	10/28/2010	10/28/2015	Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 17.92	08/09/2013	М	941	12/28/2012	08/03/2014	Common Stock	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Xiao Deming 79 GREAT OAKS BLVD SAN JOSE, CA 95119			Pres. of MPS Asia Operations				
Signatures							
By: Saria Teang For:							

By: Saria Tseng For: 08/09/2013 Deming Xiao

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) In accordance with the reporting person's 10b5-1 trading plan.

On December 11, 2012, the Company's Board declared a special cash dividend of \$1.00 per common share, which was paid on December (2) 28, 2012 to all shareholders of record as of the close of business on December 21, 2012. The Board approved a modification whereby each outstanding option as of December 28, 2012 was increased by a ratio of 1.0471 with a corresponding reduction in the exercise price.

- Equitable stock dividend adjustment approved by the Board of Director as a result of dividend Payment to shareholders on December 28, (3) 2012. Stock dividends will release according to the same vesting schedule as the original grants.
- (4) Shares sold to pay tax obligations resulting from the vesting of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.