

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

SWISS HELVETIA FUND, INC.

Form NSAR-B

February 29, 2008

PAGE 1  
000 B000000 12/31/2007  
000 C000000 0000813623  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE SWISS HELVETIA FUND, INC.  
001 B000000 811-05128  
001 C000000 8887947700  
002 A000000 1270 AVENUE OF THE AMERICAS SUITE 400  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10020  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 HOTTINGER CAPITAL CORP.  
008 B000001 A  
008 C000001 801-30025  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10020  
010 A000001 CITI FUND SERVICES, LLC  
010 C010001 PORTLAND  
010 C020001 ME  
010 C030001 04101  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 DELOITTE & TOUCHE LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103  
PAGE 2  
014 A000001 HOTTINGER & CIE  
014 B000001 8-062959  
015 A000001 CITIBANK, N.A.  
015 B000001 C  
015 C010001 LONDON  
015 D010001 ENGLAND

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015 D020001 E145LB  
 015 E010001 X  
 018 000000 Y  
 019 A000000 N  
 019 B000000 0  
 020 A000001 NEUE ZURCHER BANK  
 020 C000001 153  
 020 A000002 HELVEA, INC.  
 020 C000002 112  
 020 A000003 CHEUVREUX NORTH AMERICA  
 020 C000003 82  
 020 A000004 VONTOBEL SECURITIES LTD.  
 020 C000004 76  
 020 A000005 KEPLER EQUITIES  
 020 C000005 68  
 020 A000006 MORGAN STANLEY  
 020 C000006 60  
 020 A000007 DEUTSCHE BANK ALEX BROWN, INC.  
 020 B000007 13-2730828  
 020 C000007 58  
 020 A000008 HOTTINGER & CIE  
 020 C000008 21  
 020 A000009 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.  
 020 B000009 13-5674085  
 020 C000009 8  
 020 A000010 NATEXIS U.S. FINANCE  
 020 C000010 7  
 021 000000 651  
 022 A000001 DEUTSCHE BANK ALEX BROWN, INC.  
 022 B000001 13-2730828  
 022 C000001 11428  
 022 D000001 7979  
 022 A000002 NEUE ZURCHER BANK  
 022 C000002 8541  
 022 D000002 0  
 022 A000003 VONTOBEL SECURITIES LTD.  
 022 C000003 2802  
 022 D000003 0  
 022 A000004 LEHMAN BROTHERS, INC.  
 022 B000004 13-2518466  
 022 C000004 2374  
 022 D000004 0  
 022 A000005 MORGAN STANLEY  
 022 C000005 721  
 022 D000005 761  
 PAGE 3  
 022 A000006 ARAVIS VENTURE II - LP  
 022 C000006 205  
 022 D000006 0  
 022 A000007 ZURMONT MADISON PRIVATE  
 022 C000007 966  
 022 D000007 0  
 022 C000008 0  
 022 D000008 0  
 022 C000009 0  
 022 D000009 0  
 022 C000010 0  
 022 D000010 0  
 023 C000000 27037  
 023 D000000 8740  
 024 000000 N  
 025 D000001 0

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|     |         |      |
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| 025 | D000002 | 0    |
| 025 | D000003 | 0    |
| 025 | D000004 | 0    |
| 025 | D000005 | 0    |
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| 026 | C000000 | N    |
| 026 | D000000 | Y    |
| 026 | E000000 | N    |
| 026 | F000000 | Y    |
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| 026 | G020000 | N    |
| 026 | H000000 | Y    |
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| 028 | B030000 | 0    |
| 028 | B040000 | 0    |
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| 028 | C020000 | 0    |
| 028 | C030000 | 0    |
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| 028 | D010000 | 0    |
| 028 | D020000 | 0    |
| 028 | D030000 | 0    |
| 028 | D040000 | 0    |
| 028 | E010000 | 0    |
| 028 | E020000 | 0    |
|     | PAGE    | 4    |
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| 028 | E040000 | 0    |
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| 028 | F030000 | 0    |
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| 030 | C000000 | 0.00 |
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| 036 | B000000 | 0    |
| 038 | 000000  | 0    |
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| 042 | B000000 | 0    |
| 042 | C000000 | 0    |
| 042 | D000000 | 0    |
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|     |         |        |
|-----|---------|--------|
| 042 | F000000 | 0      |
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| 045 | 000000  | Y      |
| 046 | 000000  | N      |
| 047 | 000000  | Y      |
| 048 | 000000  | 0.000  |
| 048 | A010000 | 60000  |
| 048 | A020000 | 1.000  |
| 048 | B010000 | 40000  |
| 048 | B020000 | 0.900  |
| 048 | C010000 | 100000 |
| 048 | C020000 | 0.800  |
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| 048 | D020000 | 0.700  |
| 048 | E010000 | 100000 |
| 048 | E020000 | 0.650  |
| 048 | F010000 | 100000 |
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| 048 | G020000 | 0.550  |
| 048 | H010000 | 0      |
| 048 | H020000 | 0.000  |
|     | PAGE    | 5      |
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| 048 | I020000 | 0.000  |
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| 048 | J020000 | 0.000  |
| 048 | K010000 | 600000 |
| 048 | K020000 | 0.500  |
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| 050 | 000000  | N      |
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| 054 | A000000 | Y      |
| 054 | B000000 | N      |
| 054 | C000000 | N      |
| 054 | D000000 | N      |
| 054 | E000000 | N      |
| 054 | F000000 | N      |
| 054 | G000000 | N      |
| 054 | H000000 | Y      |
| 054 | I000000 | N      |
| 054 | J000000 | Y      |
| 054 | K000000 | N      |
| 054 | L000000 | N      |
| 054 | M000000 | Y      |
| 054 | N000000 | N      |
| 054 | O000000 | N      |
| 055 | A000000 | N      |
| 055 | B000000 | Y      |
| 056 | 000000  | N      |
| 057 | 000000  | N      |
| 058 | A000000 | N      |
| 059 | 000000  | Y      |
| 060 | A000000 | N      |
| 060 | B000000 | N      |
| 061 | 000000  | 0      |
| 062 | A000000 | N      |

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062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
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062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0

PAGE 6

062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 Y  
066 D000000 N  
066 E000000 N  
066 F000000 N  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 Y  
069 000000 N  
070 A010000 N  
070 A020000 N  
070 B010000 Y  
070 B020000 Y  
070 C010000 N  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 N  
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070 I010000 N  
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070 J010000 Y  
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070 L020000 Y  
070 M010000 Y  
070 M020000 Y  
070 N010000 Y  
070 N020000 N  
070 O010000 Y  
070 O020000 Y  
070 P010000 N

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070 P020000 N  
 070 Q010000 Y  
 070 Q020000 N  
 070 R010000 N  
 070 R020000 N

PAGE 7

071 A000000 202469  
 071 B000000 152807  
 071 C000000 593519  
 071 D000000 26  
 072 A000000 12  
 072 B000000 397  
 072 C000000 6896  
 072 D000000 0  
 072 E000000 0  
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 072 G000000 365  
 072 H000000 0  
 072 I000000 24  
 072 J000000 75  
 072 K000000 0  
 072 L000000 300  
 072 M000000 448  
 072 N000000 0  
 072 O000000 76  
 072 P000000 164  
 072 Q000000 115  
 072 R000000 63  
 072 S000000 243  
 072 T000000 0  
 072 U000000 0  
 072 V000000 147  
 072 W000000 273  
 072 X000000 6596  
 072 Y000000 0  
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 073 C000000 0.0000  
 074 A000000 24719  
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 074 D000000 0  
 074 E000000 0  
 074 F000000 632798  
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 074 H000000 0  
 074 I000000 11086  
 074 J000000 0

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074 K000000 0  
 074 L000000 3327  
 074 M000000 50  
 074 N000000 671980

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|     |         |                              |
|-----|---------|------------------------------|
| 074 | O000000 | 3424                         |
| 074 | P000000 | 463                          |
| 074 | Q000000 | 0                            |
| 074 | R010000 | 0                            |
| 074 | R020000 | 0                            |
| 074 | R030000 | 0                            |
| 074 | R040000 | 46178                        |
| 074 | S000000 | 0                            |
| 074 | T000000 | 621915                       |
| 074 | U010000 | 32157                        |
| 074 | U020000 | 0                            |
| 074 | V010000 | 19.34                        |
| 074 | V020000 | 0.00                         |
| 074 | W000000 | 0.0000                       |
| 074 | X000000 | 736                          |
| 074 | Y000000 | 0                            |
| 075 | A000000 | 0                            |
| 075 | B000000 | 599573                       |
| 076 | 000000  | 16.50                        |
| 077 | A000000 | Y                            |
| 077 | B000000 | Y                            |
| 077 | C000000 | N                            |
| 077 | D000000 | N                            |
| 077 | E000000 | N                            |
| 077 | F000000 | N                            |
| 077 | G000000 | N                            |
| 077 | H000000 | N                            |
| 077 | I000000 | N                            |
| 077 | J000000 | N                            |
| 077 | K000000 | N                            |
| 077 | L000000 | N                            |
| 077 | M000000 | N                            |
| 077 | N000000 | N                            |
| 077 | O000000 | N                            |
| 077 | P000000 | N                            |
| 077 | Q010000 | N                            |
| 077 | Q020000 | N                            |
| 077 | Q030000 | N                            |
| 078 | 000000  | N                            |
| 080 | A000000 | ICI MUTUAL INSURANCE COMPANY |
| 080 | C000000 | 750                          |
| 081 | A000000 | N                            |
| 081 | B000000 | 0                            |
| 082 | A000000 | N                            |
| 082 | B000000 | 0                            |
| 083 | A000000 | N                            |
| 083 | B000000 | 0                            |
|     | PAGE    | 9                            |
| 084 | A000000 | N                            |
| 084 | B000000 | 0                            |
| 085 | A000000 | Y                            |
| 085 | B000000 | N                            |
| 086 | A010000 | 8150                         |
| 086 | A020000 | 135361                       |
| 086 | B010000 | 391                          |
| 086 | B020000 | 6803                         |
| 086 | C010000 | 0                            |
| 086 | C020000 | 0                            |
| 086 | D010000 | 0                            |
| 086 | D020000 | 0                            |
| 086 | E010000 | 0                            |
| 086 | E020000 | 0                            |

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086 F010000 0  
086 F020000 0  
087 A010000 SWISS HELVETIA FUND, INC.  
087 A020000 870875101  
087 A030000 SWZ  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE EDWARD J. VEILLEUX  
TITLE VICE PRESIDENT