

ACI WORLDWIDE, INC.
Form 4
August 14, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SEYMOUR HARLAN F

(Last) (First) (Middle)

3520 KRAFT ROAD, SUITE 300

(Street)

NAPLES, FL 34105

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ACI WORLDWIDE, INC. [ACIW]

3. Date of Earliest Transaction (Month/Day/Year)
08/12/2014

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	Price	
Common Stock	08/12/2014		M		12,625	\$ 10.8733	98,164 D
Common Stock	08/12/2014		S		12,625	\$ 18.5208	85,539 ⁽²⁾ D
Common Stock	08/12/2014		M		20,716	\$ 7.55	106,255 D
Common Stock	08/12/2014		S		20,716	\$ 18.5208	85,539 ⁽¹⁾ D
Common Stock	08/12/2014		M		3,284	\$ 7.55	88,823 D

Edgar Filing: ACI WORLDWIDE, INC. - Form 4

Stock							
Common Stock	08/12/2014	S	3,284	D	\$ 18.843 <u>(3)</u>	85,539	D
Common Stock	08/13/2014	M	8,494	A	\$ 13.2033	94,033	D
Common Stock	08/13/2014	S	8,494	D	\$ 18.5061 <u>(4)</u>	85,539	D
Common Stock	08/13/2014	M	30,000	A	\$ 9.6667	115,539	D
Common Stock	08/13/2014	S	30,000	D	\$ 18.5061 <u>(4)</u>	85,539	D
Common Stock	08/13/2014	M	30,000	A	\$ 6.1033	115,539	D
Common Stock	08/13/2014	S	30,000	D	\$ 18.5061 <u>(4)</u>	85,539	D
Common Stock	08/13/2014	M	30,000	A	\$ 5.04	115,539	D
Common Stock	08/13/2014	S	30,000	D	\$ 18.5061 <u>(4)</u>	85,539	D
Common Stock	08/13/2014	M	30,000	A	\$ 5.6633	115,539	D
Common Stock	08/13/2014	S	30,000	D	\$ 18.5061 <u>(4)</u>	85,539	D
Common Stock	08/13/2014	M	17,375	A	\$ 10.8733	102,914	D
Common Stock	08/13/2014	S	17,375	D	\$ 18.5061 <u>(4)</u>	85,539	D
Common Stock	08/13/2014	M	30,000	A	\$ 10.87	115,539	D
Common Stock	08/13/2014	S	30,000	D	\$ 18.5061 <u>(4)</u>	85,539	D
Common Stock	08/14/2014	M	30,000	A	\$ 14.3033	115,539	D
Common Stock	08/14/2014	S	30,000	D	\$ 18.4405	85,539	D

Edgar Filing: ACI WORLDWIDE, INC. - Form 4

Common Stock	08/14/2014	M	21,506	A	⁽⁵⁾ \$ 13.2033	107,045	D
Common Stock	08/14/2014	S	21,506	D	⁽⁵⁾ \$ 18.4405	85,539	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 5.04 ⁽⁶⁾	08/13/2014		M	30,000 ⁽⁶⁾	⁽⁷⁾ 06/10/2019	Common Stock	30,000 ⁽⁶⁾	
Non-Qualified Stock Option (right to buy)	\$ 5.6633 ⁽⁸⁾	08/13/2014		M	30,000 ⁽⁸⁾	⁽⁷⁾ 06/11/2018	Common Stock	30,000 ⁽⁸⁾	
Non-Qualified Stock Option (right to buy)	\$ 6.1033 ⁽⁹⁾	08/13/2014		M	30,000 ⁽⁹⁾	⁽¹⁰⁾ 06/09/2020	Common Stock	30,000 ⁽⁹⁾	
Non-Qualified Stock Option (right to buy)	\$ 7.55 ⁽¹¹⁾	08/12/2014		M	20,716 ⁽¹¹⁾	⁽⁷⁾ 03/09/2015	Common Stock	20,716 ⁽¹¹⁾	
Non-Qualified Stock Option (right to buy)	\$ 7.55 ⁽¹¹⁾	08/12/2014		M	3,284 ⁽¹¹⁾	⁽⁷⁾ 03/09/2015	Common Stock	3,284 ⁽¹¹⁾	
Non-Qualified Stock Option (right to buy)	\$ 9.6667 ⁽¹²⁾	08/13/2014		M	30,000 ⁽¹²⁾	⁽⁷⁾ 06/15/2021	Common Stock	30,000 ⁽¹²⁾	
		08/13/2014		M		⁽⁷⁾ 07/24/2017			

Edgar Filing: ACI WORLDWIDE, INC. - Form 4

Non-Qualified Stock Option (right to buy)	\$ 10.87 (13)				30,000 (13)			Common Stock	30,000 (13)
Non-Qualified Stock Option (right to buy)	\$ 10.8733 (14)	08/12/2014		M	12,625 (14)	(10)	03/07/2016	Common Stock	12,625 (14)
Non-Qualified Stock Option (right to buy)	\$ 10.8733 (14)	08/13/2014		M	17,375 (14)	(10)	03/07/2016	Common Stock	17,375 (14)
Non-Qualified Stock Option (right to buy)	\$ 13.2033 (15)	08/13/2014		M	8,494 (15)	(7)	06/14/2022	Common Stock	8,494 (15)
Non-Qualified Stock Option (right to buy)	\$ 13.2033 (15)	08/14/2014		M	21,506 (15)	(7)	06/14/2022	Common Stock	21,506 (15)
Non-Qualified Stock Option (right to buy)	\$ 14.3033 (16)	08/14/2014		M	30,000 (16)	(10)	06/11/2023	Common Stock	30,000 (16)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SEYMOUR HARLAN F 3520 KRAFT ROAD SUITE 300 NAPLES, FL 34105		X		

Signatures

By: /s/ Dennis Byrnes, Attorney in Fact For: Harlan F. Seymour

08/14/2014

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sale price ranged from \$18.50 to \$18.62, with a weighted average sale price of \$18.520759. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) Effective July 10, 2014, the common stock of ACI Worldwide, Inc. split 3-for-1, resulting in the reporting person's ownership of 57,026 additional shares of common stock.
- (3) The sale price ranged from \$18.80 to \$18.93, with a weighted average sale price of \$18.843009. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) The sale price ranged from \$18.41 to \$18.72, with a weighted average sale price of \$18.506062. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and

Edgar Filing: ACI WORLDWIDE, INC. - Form 4

prices at which the transaction was effected.

- (5) The sale price ranged from \$18.31 to \$18.61, with a weighted average sale price of \$18.440499. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (6) This option was previously reported as covering 10,000 shares at an exercise price of \$15.12 per share, but was adjusted to reflect the 3-for-1 stock split effective July 10, 2014.
- (7) The options were granted pursuant to the Company's 2005 Equity and Performance Incentive Plan, as amended. The options vested on the the day immediately prior to the date of the next annual meeting of stockholders of the Company following the date of grant. All options that were exercised were vested prior to exercise.
- (8) This option was previously reported as covering 10,000 shares at an exercise price of \$16.99 per share, but was adjusted to reflect the 3-for-1 stock split effective July 10, 2014.
- (9) This option was previously reported as covering 10,000 shares at an exercise price of \$18.31 per share, but was adjusted to reflect the 3-for-1 stock split effective July 10, 2014.
- (10) The options were granted pursuant to the Company's 2005 Equity and Performance Incentive Plan, as amended. The options vested on the first anniversary of the date of grant. All options that were exercised were vested prior to exercise.
- (11) This option was previously reported as covering 8,000 shares at an exercise price of \$22.65 per share, but was adjusted to reflect the 3-for-1 stock split effective July 10, 2014.
- (12) This option was previously reported as covering 10,000 shares at an exercise price of \$29.00 per share, but was adjusted to reflect the 3-for-1 stock split effective July 10, 2014.
- (13) This option was previously reported as covering 10,000 shares at an exercise price of \$32.61 per share, but was adjusted to reflect the 3-for-1 stock split effective July 10, 2014.
- (14) This option was previously reported as covering 10,000 shares at an exercise price of \$32.62 per shares, but was adjusted to reflect the 3- for-1 stock split effective July 10, 2014.
- (15) This option was previously reported as covering 10,000 shares at an exercise price of \$39.61 per share, but was adjusted to reflect the 3-for-1 stock split effective July 10, 2014.
- (16) This option was previously reported as covering 10,000 shares at an exercise price of \$42.91 per share, but was adjusted to reflect the 3-for-1 stock split effective July 10, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.