Edgar Filing: PERMA FIX ENVIRONMENTAL SERVICES INC - Form 4

PERMA FIX ENVIRONMENTAL SERVICES INC

Form 4

December 16, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287

Washington, D.C. 20549 Check this box if no longer

Number: January 31, Expires: 2005

0.5

OMB APPROVAL

subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimated average **SECURITIES** burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * LAHAV JACK			2. Issuer Name and Ticker or Trading Symbol PERMA FIX ENVIRONMENTAL SERVICES INC [pesi]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 8302 DUNWO 250	(First)	(Middle) CE, SUITE	3. Date of Earliest Transaction (Month/Day/Year)	X Director 10% Owner Other (specify below)			
ATLANTA, C	(Street) GA 30350		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)					

(City)	(State) (Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of	2. Transaction Date		3.	4. Securit		•	5. Amount of	6. Ownership	
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any	Code	on(A) or Disposed of (D) (Instr. 3, 4 and 5)			Securities Beneficially	Form: Direct (D) or	Indirect Beneficial
(======================================		(Month/Day/Year)	(Instr. 8)	(,		,	Owned	Indirect (I)	Ownership
					(A)		Following Reported	(Instr. 4)	(Instr. 4)
					(A) or		Transaction(s) (Instr. 3 and 4)		
			Code V	Amount	(D)	Price	(IIIsti. 3 aliu 4)		
Common Stock	06/29/2015		<u>J(1)</u>	9,792	D	\$ 3.81	201,101	D	
Common Stock	10/29/2015		<u>J(1)</u>	15,516	D	\$ 4.25	185,585	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

8. Price Deriva Securi (Instr.

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and a Underlying S (Instr. 3 and	Securities 1
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock	\$ 10.75					(2)	07/27/2016	Common Stock	2,400
Stock Option	\$ 14.75					(3)	08/02/2017	Common Stock	2,400
Stock Option	\$ 11.7					<u>(4)</u>	08/05/2018	Common Stock	2,400
Stock Option	\$ 13.35					<u>(5)</u>	07/29/2019	Common Stock	2,400
Stock Option	\$ 8.4					<u>(6)</u>	09/29/2020	Common Stock	2,400
Stock Option	\$ 7.05					<u>(7)</u>	08/24/2021	Common Stock	2,400
Stock Option	\$ 5.5					(8)	09/13/2022	Common Stock	2,400
Stock Option	\$ 2.79					<u>(9)</u>	09/12/2023	Common Stock	2,400
Stock Option	\$ 3.7					(10)	09/18/2024	Common Stock	2,400
Stock Option	\$ 4.19					(11)	09/17/2025	Common Stock	2,400

Reporting Owners

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
LAHAV JACK 8302 DUNWOODY PLACE SUITE 250	X						

Reporting Owners 2

ATLANTA, GA 30350

Signatures

/s/Jack Lahav 12/16/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares transferred in a private transaction in satisfaction of personal transaction between the reporting person to transferee. Price per share disclosed is based on closing price of stock on date of transfer.
- (2) Stock Option granted 07/27/06 under the Company's 2003 Outside Director Stock Plan. The Options vest fully six months from date of grant.
- (3) Stock Option granted 08/02/07 under the Company's 2003 Outside Director Stock Plan. The Options vest fully six months from date of grant.
- (4) Stock Option granted 08/05/08 under the Company's 2003 Outside Director Stock Plan. The Options vest fully six months from date of grant.
- (5) Stock Option granted 07/29/09 under the Company's 2003 Outside Director Stock Plan. The Options vest fully six months from date of grant.
- (6) Stock Option granted 09/29/10 under the Company's 2003 Outside Director Stock Plan. The Options vest fully six months from date of grant.
- (7) Stock Option granted 08/24/11 under the Company's 2003 Outside Director Stock Plan. The Options vest fully six months from date of grant.
- (8) Stock Option granted 09/13/12 under the Company's 2003 Outside Director Stock Plan. The Options vest fully six months from date of grant.
- (9) Stock Option granted 09/12/13 under the Company's 2003 Outside Director Stock Plan. The Options vest fully six months from date of grant.
- (10) Stock Option granted 09/18/14 under the Company's 2003 Outside Director Stock Plan. The Options vest fully six months from date of grant.
- (11) Stock Option granted 09/17/15 under the Company's 2003 Outside Director Stock Plan. The Options vest fully six months from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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