SUSAN L CICIORA TRUST

Form 4 April 11, 2019

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

0.5 response...

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * SUSAN L CICIORA TRUST

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

BOULDER GROWTH & INCOME

(Month/Day/Year)

04/10/2019

(Check all applicable)

(Last) (First) (Middle) FUND [BIF] 3. Date of Earliest Transaction

Director 10% Owner Other (specify Officer (give title

C/O PEAK TRUST

COMPANY, 3000 A STREET, **SUITE 200**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person _X_ Form filed by More than One Reporting

Issuer

below)

ANCHORAGE, AK 99503

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if (Month/Day/Year)

3. 4. Securities Acquired (A) Transaction Disposed of (D) (Instr. 3, 4 and 5) Code (Instr. 8)

5. Amount of 7. Nature Securities Ownership of Indirect Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect (Instr. 4)

(A)

Reported (I)Transaction(s) (Instr. 4) (Instr. 3 and 4)

or Price (D)

Code V Amount

COMMON STOCK

04/10/2019

1,365,044 P (1)

\$ 6,837,719 10.96

 $D^{(2)(3)}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title a	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amount	of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlyi	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								Α.			
									mount		
						Date	Expiration	or			
						Exercisable	Date	Title Number			
								of			
				Code V	(A) (D)			Sł	hares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
• 5	Director	10% Owner	Officer	Other			
SUSAN L CICIORA TRUST C/O PEAK TRUST COMPANY 3000 A STREET, SUITE 200 ANCHORAGE, AK 99503		X					
HOREJSI STEWART R 2121 E. CRAWFORD PLACE SALINA, KS 67401		X					

Signatures

/s/ Matthew Blattmachr, President, Peak Trust Company, trustee of the Susan L. Ciciora

Trust

**Signature of Reporting Person

Date

/s/ Stewart R. Horejsi

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On April 10, 2019, the Reporting Person acquired 1,365,044 shares (the "Shares") of Boulder Growth & Income Fund, Inc. from an affiliated trust, Ernest Horejsi Trust No. 1B ("EHT"). The Shares were valued at \$10.96 per share based on the closing price reported for such shares by the New York Stock Exchange on April 9, 2019. The Reporting Person acquired the Shares in lieu of cash repayment with respect to an intra-trust loan between the Reporting Person as lender and EHT as borrower in the amount of \$14,960,882.
- The trustee of the Reporting Person (also referred to herein as the "Trust") is Peak Trust Company-AK, which may be deemed to control the Trust. As a result of his advisory role with the Trust, Stewart R. Horejsi may be deemed to have indirect beneficial ownership of the shares directly beneficially owned by the Trust. However, Mr. Horejsi disclaims such beneficial ownership of the shares directly beneficially held by the Trust.
- (3) The Trust and trusts, persons and entities affiliated with the Trust, including Lola Brown Trust No. 1B, Ernest Horejsi Trust No. 1B, Mildred B. Horejsi Trust, Stewart West Indies Trust, Stewart R. Horejsi Trust No. 2 and Susan L. Ciciora own an aggregate of

Reporting Owners 2

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45,384,254 shares of BIF.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.