### Edgar Filing: UFP TECHNOLOGIES INC - Form 3

#### **UFP TECHNOLOGIES INC**

Form 3

September 03, 2013

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement UFP TECHNOLOGIES INC [UFPT] A Smith W David (Month/Day/Year) 08/26/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O UFP TECHNOLOGIES. (Check all applicable) INC., 172 EAST MAIN STREET 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Vice President \_X\_ Form filed by One Reporting Person GEORGETOWN, MAÂ 01833 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership

(Instr. 4)

Beneficially Owned (Instr. 4)

Ownership Form:

Direct (D) or Indirect (I)

(Instr. 5)

(Instr. 5) Â D

Derivative

Security:

Common Stock, \$.01 Par Value

owned directly or indirectly.

0

Reminder: Report on a separate line for each class of securities beneficially

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2. Date Exercisable and 3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 4. 5. **Expiration Date** Securities Underlying Ownership Beneficial Ownership (Instr. 4) Conversion (Month/Day/Year) Derivative Security or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative

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Date Expiration Title Exercisable Date

Amount or Security Number of Shares Direct (D) or Indirect (I) (Instr. 5)

**Reporting Owners** 

Reporting Owner Name / Address Relationships

Director 10% Owner Officer

Other

Smith W David C/O UFP TECHNOLOGIES, INC. 172 EAST MAIN STREET GEORGETOWN, MAÂ 01833

 $\hat{A}$   $\hat{A}$   $\hat{A}$  Vice President  $\hat{A}$ 

**Signatures** 

Patrick J. Kinney, Jr. as attorney-in-fact for W. David Smith

09/03/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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