### Edgar Filing: UROPLASTY INC - Form 4

UROPLASTY INC Form 4 January 29, 2015 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 State State Sta											
See Instr 1(b).		n) of the Investmen	, compan	.9 1 10		°					
(Print or Type	Responses)										
1. Name and A Kill Robert	Symbol					5. Relationship of Reporting Person(s) to Issuer					
(Last)	(First) (Middle)	3. Date of Earliest T	DPLASTY INC [UPI] te of Farliest Transaction				(Check all applicable)				
5420 FELT	'L ROAD	(Month/Day/Year) 01/29/2015	(Month/Day/Year)				X Director 10% Owner X Officer (give title Other (specify below) below) President and CEO				
	(Street)	4. If Amendment, D Filed(Month/Day/Yea	-	1		<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>					
Person											
(City)	(State) (Zip) 2. Transaction Date 2A. De				_	ired, Disposed of,	, <b>or Beneficial</b> 6.	-			
1.Title of Security (Instr. 3)	(Month/Day/Year) Execut any		4. Securit or(A) or Di (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	o. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common		Code V		(D)	Price						
Stock	01/29/2015	Р	100	А	\$ 1.22	14,050	Ι	By Trust			
Common Stock	01/29/2015	Р	2,500	А	\$ 1.229	16,550	I	By Trust			
Common Stock	01/29/2015	Р	6,150	А	\$ 1.23	22,700	I	By Trust			
Common Stock	01/29/2015	Р	16,250	А	\$ 1.28	38,950	Ι	By Trust			
Common Stock						486,050 <u>(1)</u>	D				

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amour	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration		or		
						Exercisable	Date		Number		
				<u> </u>					of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Re		
	Director	10% Owner	Officer	Other
Kill Robert 5420 FELTL ROAD MINNETONKA, MN 55343	20 FELTL ROAD X President and CE		President and CEO	
Signatures				
/s/ Brett Reynolds, attorney-in-fact		01/29/2015		
<u>**</u> Signature of Reporting Person		Date		

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 140,000 shares that will be issued over time upon vesting pursuant to a restricted stock grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.