### Edgar Filing: LaRue R Scott - Form 4

LaRue R Sc Form 4 October 22,	, 2012									OMB	APPROVAL	
FURI	VI 4 UNITED	STATES							OMMISSION	ОМВ	200E 0007	
Check t	his box		Wa	ashing	ton	, D.C. 2	0549	)		Number:	3235-0287 January 31,	
if no lor		STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES								Expires:	2005	
subject Section Form 4	16. or									Estimated burden ho response	ours per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u>*</u> LaRue R Scott		g Person <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol PIPER JAFFRAY COMPANIES						5. Relationship of Reporting Person(s) to Issuer			
			[PJC]	JAII	ιл			IL5	(Cheo	ck all applicat	ole)	
(Last)	(First)	(Middle)				Transaction	1		Director X Officer (giv		)% Owner ther (specify	
800 NICOI	(Month/Day/Year) 10/18/2012						below) below) Co-Head Global IB					
MINNEAF	(Street) POLIS, MN 55402	2	4. If Am Filed(Mo			Date Origir ar)	nal		6. Individual or J Applicable Line) _X_ Form filed by Form filed by M Person	One Reporting	Person	
(City)	(State)	(Zip)	Tal	ole I - N	on-	Derivativ	e Secu	irities Acq	uired, Disposed o	f, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	any			actio 8)	4. Securi on(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
~				Code	V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	10/18/2012			S		5,200	D	\$ 27.32 (1)	78,819	D		
Common Stock	10/19/2012			S		4,800	D	\$ 27.25	74,019	D		
Common Stock	10/22/2012			S		5,000	D	\$ 27.401	69,019	D		
Common Stock									429 <u>(3)</u>	Ι	By PJC Retirement Plan	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable Date	•	Title Nu	Number		
									of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address		R		
	Director	10% Owner	Officer	Other
LaRue R Scott 800 NICOLLET MALL MINNEAPOLIS, MN 55402			Co-Head Global IB	
Signatures				
/s/ James L. Chosy for R. Scott LaRue		10/22/20	)12	

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$27.25
   (1) to \$27.82 inclusive. The reporting person undertakes to provide full information regarding the number of shares sold at each price within the range set forth in this footnote upon request by SEC staff, the issuer or any shareholder of the issuer.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$27.40(2) to \$27.41 inclusive. The reporting person undertakes to provide full information regarding the number of shares sold at each price within the range set forth in this footnote upon request by SEC staff, the issuer or any shareholder of the issuer.

(3) Based on a plan report dated October 22, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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