Webb Ronald D Form 4 March 07, 2019

## FORM 4

### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

gton, D.C. 20349

Number:

Expires:

January 31,

S.IN RENEFICIAL OWNEDSHIP OF

2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

| 1. Name and Address of Reporting Person * Webb Ronald D | 2. Issuer Name <b>and</b> Ticker or Trading Symbol          | 5. Relationship of Reporting Person(s) to Issuer  |  |  |  |
|---|---|---|--|--|--|
|   | CALIFORNIA WATER SERVICE<br>GROUP [CWT]                     | (Check all applicable)  |  |  |  |
| (Last) (First) (Middle) 1720 NORTH FIRST STREET         | 3. Date of Earliest Transaction (Month/Day/Year) 03/05/2019 | Director 10% Owne Officer (give title Other (special below)  VP, Human Resources                  |  |  |  |
| (Street)  | 4. If Amendment, Date Original Filed(Month/Day/Year)        | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |  |  |  |
| SAN JOSE, CA 95112                                      |   | Form filed by More than One Reporting Person  |  |  |  |

| (City)                               | (State)   | (Zip) Table | e I - Non-D   | erivative    | Secur            | rities Acq  | uired, Disposed o  | f, or Beneficial   | ly Owned  |
|--------------------------------------|---|-------------|---|--------------|------------------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) |             | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |              |                  | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|                                      |   |             | Code V  | Amount       | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   | ,  |   |
| Common<br>Stock                      | 03/05/2019  |             | A   | 1,457<br>(1) | A                | \$<br>52.83 | 15,756   | D  |   |
| Common<br>Stock                      | 03/06/2019  |             | F   | 294 (2)      | D                | \$<br>52.05 | 15,462   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

#### Edgar Filing: Webb Ronald D - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.          | 6. Date Exerc | cisable and | 7. Title  | and         | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|-------------|---------------|-------------|-----------|-------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | orNumber    | Expiration D  | ate         | Amount    | t of        | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of          | (Month/Day/   | Year)       | Underly   | ing         | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative  | e             |             | Securitie | es          | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities  |               |             | (Instr. 3 | and 4)      |             | Own    |
|             | Security    |                     |                    |            | Acquired    |               |             |           |             |             | Follo  |
|             | •           |                     |                    |            | (A) or      |               |             |           |             |             | Repo   |
|             |             |                     |                    |            | Disposed    |               |             |           |             |             | Trans  |
|             |             |                     |                    |            | of (D)      |               |             |           |             |             | (Instr |
|             |             |                     |                    |            | (Instr. 3,  |               |             |           |             |             |        |
|             |             |                     |                    |            | 4, and 5)   |               |             |           |             |             |        |
|             |             |                     |                    |            |             |               |             | ^         | mount       |             |        |
|             |             |                     |                    |            |             |               |             |           | mount       |             |        |
|             |             |                     |                    |            |             | Date          | Expiration  | Title N   | r<br>Jumber |             |        |
|             |             |                     |                    |            | Exercisable | Date          |             |           |             |             |        |
|             |             |                     |                    | C + V      | (A) (D)     |               |             | 0         |             |             |        |
|             |             |                     |                    | Code V     | (A) (D)     |               |             | S         | hares       |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Webb Ronald D

1720 NORTH FIRST STREET VP, Human Resources

SAN JOSE, CA 95112

## **Signatures**

/s/ Michelle Mortensen on behalf of Ronald D. 03/07/2019 Webb

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted stock granted 3/5/2019 pursuant to the California Water Service Group equity incentive plan in a transaction exempt under **(1)** Rule 16-b-3. RSA vests with one-third on March 5, 2020, with the remaining 2/3 vesting quarterly over the succeeding 24 months.
- Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in **(2)** connection with the vesting of the Restricted Stock (RSA) Award #447.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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