

Ku Guohua  
Form 4  
April 25, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Ku Guohua

2. Issuer Name and Ticker or Trading Symbol  
CHINA RECYCLING ENERGY CORP [CREG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
12/15/2015

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chief Executive Officer

4/F TOWER C, RONG CHENG YUN GU BUILDING,, KEJI 3RD ROAD, YANTA DISTRICT

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

XI AN CITY, SHAAN XI PROVINCE, F4 710075

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	12/15/2015		S	665 <sup>(1)</sup> D \$ 0.32	31,878,409	D	
Common Stock	03/09/2016		S	266 <sup>(1)</sup> D \$ 0.3102	31,878,143	D	
Common Stock	11/08/2016		G	20,000 D \$ 0	3,167,814 <sup>(2)</sup>	D	
Common Stock	09/13/2017		S	8 D \$ 1.1624	3,167,806	D	

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Common Stock	11/22/2017	G	360,000	D	\$ 0	2,807,806	D
Common Stock	11/22/2017	G	50,000	D	\$ 0	2,757,806	D
Common Stock	11/22/2017	G	30,000	D	\$ 0	2,727,806	D
Common Stock	11/22/2017	G	30,000	D	\$ 0	2,697,806	D
Common Stock	11/22/2017	G	30,000	D	\$ 0	2,667,806	D
Common Stock	11/30/2017	S	3,042	D	\$ 3.15	2,664,764	D
Common Stock	12/18/2017	G	20,000	D	\$ 0	2,644,764	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ku Guohua 4/F TOWER C, RONG CHENG YUN GU BUILDING, KEJI 3RD ROAD, YANTA DISTRICT	X	X	Chief Executive Officer	

XI AN CITY, SHAAN XI PROVINCE, F4 710075

## Signatures

/s/ Guohua Ku

04/25/2019

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) the shares are sold by the brokerage firm to pay for the outstanding maintenance fees;
  - (2) the Company effected a 10:1 reverse stock split in May 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.