SITO MOBILE, LTD. Form 3

May 03, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Meshekow Adam Benjamin

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/24/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

SITO MOBILE, LTD. [SITO]

(Check all applicable)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O SITO MOBILE LTD., 100 TOWN SQUARE PLACE, **SUITE 204**

(Street)

Director _X__ Officer

10% Owner

Other (give title below) (specify below) Chief Revenue Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One Reporting Person

JERSEY CITY, NJÂ 07301

(City) (State)

(Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form: Direct (D) or Indirect

(I) (Instr. 5)

Common Stock 3,115

1. Title of Security

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Security:

Edgar Filing: SITO MOBILE, LTD. - Form 3

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|------------------------------|------------------|--------------------|-----------------|----------------------------------|----------|--|---|
| Performance Stock Options | 11/21/2015(1) | 11/21/2019 | Common Stock | 38,000 | \$ 2.805 | D | Â |
| Performance Stock Options | 11/18/2016(2) | 11/18/2020 | Common Stock | 28,000 | \$ 3.51 | D | Â |
| Performance Stock Options | 08/09/2017(3) | 08/09/2023 | Common Stock | 1,774 | \$ 4 | D | Â |
| Performance Stock Options | 12/22/2017(4) | 12/22/2023 | Common Stock | 25,000 | \$ 3.46 | D | Â |
| Stock Options | 04/24/2017(5) | 04/24/2024 | Common Stock | 125,000 | \$ 2.76 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | |
|----------------------------------|----------|---------------|-------------------------|-------|--|--|
| coporting of the remove remove | Director | 10% Owner | Officer | Other | | |
| Meshekow Adam Benjamin | | | | | | |
| C/O SITO MOBILE LTD. | â | Â | Chief Revenue Officer | Â | | |
| 100 TOWN SQUARE PLACE, SUITE 204 | А | | A Chief Revenue Officer | | | |
| JERSEY CITY, NJ 07301 | | | | | | |

Signatures

/s/ Adam
Meshekow

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options are performance options granted on November 21, 2014. The options vest and are exercisable in 1/3 increments over a three year period commencing on the first anniversary of the date of grant.
- (2) The options are performance options granted on November 18, 2015. The options vest and are exercisable in 1/3 increments over a three year period commencing on the first anniversary of the date of grant.
- (3) The options are performance options granted on August 9, 2016. The options vest and are exercisable in 1/3 increments over a three year period commencing on the first anniversary of the date of grant.
- The options are performance options granted on December 22, 2016. The options shall vest and become exercisable in 1/3 increments over a three year period commencing on the first anniversary of the date of grant. The maximum number of options subject to the grant shall be 25,000. The number of options to be received by the Reporting Person is dependent upon the achievement of certain corporate goals, determined by the Compensation Committee of the Issuer.
- (5) The options were granted on April 24, 2017; 1/3 vested upon grant, 1/3 shall vest on the first anniversary of the grant date and the remaining 1/3 shall vest on the second anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

Edgar Filing: SITO MOBILE, LTD. - Form 3