Sarver Robert Gary Form 4 February 01, 2018

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

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0.5

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Sarver Robert Gary

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

WESTERN ALLIANCE BANCORPORATION [WAL]

(Check all applicable)

Chairman and CEO

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

01/30/2018

\_X\_\_ Director X\_ Officer (give title

10% Owner Other (specify

C/O WESTERN ALLIANCE BANCORPORATION, ONE E. WASHINGTON STREET, STE

(Street)

1400

4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

PHOENIX, AZ 85004

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of

1.Title of Transaction(A) or Disposed of Ownership Indirect Security (Month/Day/Year) Execution Date, if Securities Form: Direct Beneficial (Instr. 3) Code (D) Beneficially any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Price Amount (D)

Common 01/30/2018 A 19,231 \$0 59,768 D Α Stock

Robert G. Common Sarver Trust 149,432 Ι Stock

dated 09/29/1997

Common 639,882 Ι Sarver Stock Family Trust

dated

			09/29/1997
Common Stock	30,000	I	Spouse
Common Stock	89,822	I	SF III Ltd Partnership
Common Stock	33,105	I	Vulture II Corporation
Common Stock	5,000	I	Children
Common Stock	6,185 <u>(1)</u>	I	401K Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Deletionships

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D)		ate	7. Title Amoun Underly Securiti (Instr. 3	t of ying les	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5)  (A) (D)	Date Exercisable	Expiration Date	Title N	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Keiauonsnips					
• 9	Director	10% Owner	Officer	Other		
Sarver Robert Gary						
C/O WESTERN ALLIANCE BANCORPORATION	X	Chairman				
ONE E. WASHINGTON STREET, STE 1400	Λ		and CEO			
PHOENIX AZ 85004						

Reporting Owners 2 Edgar Filing: Sarver Robert Gary - Form 4

### **Signatures**

/s/Randall S. Theisen (Attorney-in-fact)

02/01/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares held in the 401K Plan to include employer match as of 1/18/18.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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