Edgar Filing: TOOMAN CRAIG A - Form 4

| TOOMAN G Form 4 | CRAIG A | | | | | | | | | | | |
|---|--|---|---|--|---------------|-----------|--|---|---|--|--|--------|
| January 28, | 2019 | | | | | | | | | | | |
| FORM | 14 UNITE | | SECH | ITIES A | | TT A NI | CEC | OMMISSION | | PROVAL | | |
| | UNITE | DSIALES | | | D.C. 205 | | GE U | OMIMI55ION | OMB Number: | 3235-0287 | | |
| Check th if no lon | aer | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | | | January 31, 2005 | | |
| subject to Section 7 Form 4 c | 6. SIAIE | | | | | | | | | Estimated average burden hours per response 0. | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities E Section 17(a) of the Public Utility Holding Compan 30(h) of the Investment Company Ac | | | | | | bany . | any Act of 1935 or Section | | | | | |
| (Print or Type | Responses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> TOOMAN CRAIG A | | | 2. Issuer Name and Ticker or Trading Symbol ARATANA THERAPEUTICS, INC. [PETX] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| | | | | | | | | | | | | (Last) |
| INC., 11400 | ANA THERAF 0 TOMAHAWI Y, SUITE 340 | | (Month/D 01/24/2 | - | | | | below) | below) it, CEO and CF | | | |
| | | | | endment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | | |
| LEAWOOI | D, KS 66211 | | | | | | | _X_ Form filed by O Form filed by M Person | | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-E | Derivative Se | ecuriti | ies Acqu | uired, Disposed of | or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction D. (Month/Day/Yea | | | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | Code V | Amount | or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | | |
| Common Stock | 01/24/2019 | | | А | 211,267 | А | \$0 | 325,991 | D | | | |
| Common Stock | 01/24/2019 | | | F | 18,490 (1) | D | \$ 4.22 | 307,501 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---|---------|--|--------------------|---|----------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 4.22 | 01/24/2019 | | А | 222,222 | (2) | 01/23/2029 | Common Stock | 222,222 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| TOOMAN CRAIG A C/O ARATANA THERAPEUTICS, INC. 11400 TOMAHAWK CREEK PARKWAY, SUITE 340 LEAWOOD, KS 66211 | Х | | President, CEO and CFO | |
| 0! | | | | |

Signatures

/s/ Craig A. Tooman 01/28/2019 <u>**</u>Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld on January 24, 2019 to satisfy tax withholding obligations in connection with the vesting of restricted stock.

Vests as to 25% of the total number of shares (rounded down to the nearest whole share) on January 29, 2020 and as to an additional 1/48th of the total number of shares (rounded down to the nearest whole share) on the same day of each of the 36 successive calendar

(2) Information the total number of shares (rounded down to the nearest whole share) on the same day of each of the 50 successive each dat months thereafter, such that the stock option shall be vested as to all shares on January 29, 2023, subject to continued service with the Issuer through the applicable vesting dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.