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READY CHRISTOPHER T

Form 3

January 09, 2019

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement ARATANA THERAPEUTICS, INC. [PETX] READY CHRISTOPHER T (Month/Day/Year) 01/04/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O ARATANA (Check all applicable) THERAPEUTICS, INC., 11400 TOMAHAWK CREEK 10% Owner Director PARKWAY, SUITE 340 _X__ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group VP of Sales and Marketing Filing(Check Applicable Line) _X_ Form filed by One Reporting Person LEAWOOD, KSÂ 66211 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (Instr. 5) Â Common Stock 30,928 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Date Exercisable and | 3. Title and Amount of | 4. | 5. | 6. Nature of Indirect |
|---------------------------------|-------------------------|------------------------|-------------|-----------|-----------------------|
| (Instr. 4) | Expiration Date | Securities Underlying | Conversion | Ownership | Beneficial Ownership |
| | (Month/Day/Year) | Derivative Security | or Exercise | Form of | (Instr. 5) |

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------------|---------------------|--------------------|------------------|----------------------------------|------------------------------------|---|---|
| Stock Option (Right to Buy) | (1) | 11/27/2026 | Common Stock | 17,500 | \$ 7.34 | D | Â |
| Stock Option (Right to Buy) | (2) | 01/24/2028 | Common Stock | 16,600 | \$ 4.83 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | |
|---|----------|---------------|-----------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| READY CHRISTOPHER T | | | | | | |
| C/O ARATANA THERAPEUTICS, INC. | â | â | VP of Sales and Marketing | Â | | |
| 11400 TOMAHAWK CREEK PARKWAY, SUITE 340 | Α | A | A VF of Sales and Marketing | A | | |
| LEAWOOD, KS 66211 | | | | | | |

Signatures

/s/ John C. Ayres as Attorney-in-Fact for Christopher T. Ready 01/09/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Vested as to 25% of the total number of shares (rounded down to the nearest whole share) on November 28, 2017 and vested or will vest, as applicable, as to an additional 1/48th of the total number of shares (rounded down to the nearest whole share) on the same day of each of the 36 successive calendar months thereafter, such that the stock option shall be vested as to all shares on November 28, 2020, subject to continued service with the Issuer through the applicable vesting dates.
- Vests as to 25% of the total number of shares (rounded down to the nearest whole share) on January 29, 2019 and as to an additional 1/48th of the total number of shares (rounded down to the nearest whole share) on the same day of each of the 36 successive calendar months thereafter, such that the stock option shall be vested as to all shares on January 29, 2022, subject to continued service with the Issuer through the applicable vesting dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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