Beredo Gina A. Form 4 January 07, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Beredo Gina A.

(Zip)

(First) (Middle) (Last)

(Street)

(State)

28601 CLEMENS ROAD

WESTLAKE, OH 44145

(City)

2. Issuer Name and Ticker or Trading Symbol

NORDSON CORP [NDSN]

3. Date of Earliest Transaction (Month/Day/Year)

01/03/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

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Number:

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Estimated average

burden hours per

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify below)

Exec. VP, Gen. Counsel & Sec.

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of	2. Transaction Date		3.	4. Securit		•	5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	` ′		` ′	Securities	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3,	4 and	5)	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
							Following	or Indirect	(Instr. 4)
					(A)		Reported	(I)	
					or		Transaction(s)	(Instr. 4)	
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common	01/02/2010			064(1)	٨	Φ.Λ	5.042	Ъ	
Stock	01/03/2019		A	964 (1)	Α	\$ 0	5,843	D	
Common	01/03/2019		F	335 (2)	D	\$	5,508	D	
Stock	01/03/2019		1	333 <u>(~)</u>	ט	\$ 112.76	3,300	D	
									_
									By
Common							201	т	Company
Stock							281	I	Savings
									Plan (3)
									I Ian <u>~</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not

SEC 1474 (9-02)

### Edgar Filing: Beredo Gina A. - Form 4

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Transaction Date 3A. Deemed 4. 5. 6. Date Exercisable and Execution Date, if any Code of (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Instr. 8)  Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (right to buy)	\$ 71.75					(4)	11/25/2023	Common Stock	1,300
Employee Stock Options (right to buy)	\$ 79.66					<u>(4)</u>	11/24/2024	Common Stock	1,400
Employee Stock Options (right to buy)	\$ 70.91					<u>(5)</u>	11/23/2025	Common Stock	2,100
Employee Stock Options (right to buy)	\$ 107.65					<u>(6)</u>	11/21/2026	Common Stock	1,900
Employee Stock Options (right to buy)	\$ 127.67					<u>(7)</u>	11/20/2027	Common Stock	4,400
Employee Stock Options	\$ 124.9					(8)	11/26/2028	Common Stock	5,100

8. Pri Deriv Secur (Instr (right to buy)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Beredo Gina A. Exec. VP, 28601 CLEMENS ROAD Gen. Counsel WESTLAKE, OH 44145 & Sec.

### **Signatures**

Gina A. Beredo 01/07/2019

\*\*Signature of Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued in connection with settlement of performance award based upon achievement of performance measures for period 2016-2018.
- (2) Shares withheld to cover withhelding taxes due upon settlement of the performance award for period 2016-2018.
- (3) Represents the number of shares attributable to the reporting person's participation in the Company Savings Plan, exempt pursuant to Rule 16b-3(c).
- (4) All such options have fully vested.
- (5) The options vest in 4 equal annual installments beginning on November 23, 2016.
- (6) The options vest in 4 equal annual installments beginning on November 21, 2017.
- (7) The options vest in 4 equal annual installments beginning on November 20, 2018.
- (8) The options vest in 4 equal annual installments beginning on November 26, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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