

BRISTOW PETER M  
Form 4  
August 28, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BRISTOW PETER M

2. Issuer Name and Ticker or Trading Symbol  
FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
4300 SIX FORKS ROAD  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
07/18/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President

RALEIGH, NC 27609  
  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount (A) or (D) Price                                                                       |                                                          |                                                       |
| Class A Common                  |                                      |                                                    |                                |                                                                   | 6,737                                                                                         | D                                                        |                                                       |
| Class A Common                  | 07/18/2018                           |                                                    | G                              | V                                                                 | 50,000 A \$ 0                                                                                 | 305,606 <sup>(1)</sup>                                   | I By Spouse                                           |
| Class A Common                  | 08/15/2018                           |                                                    | G                              | V                                                                 | 25,219 <sup>(2)</sup> A \$ 0                                                                  | 330,825                                                  | I By Spouse                                           |
| Class A Common                  |                                      |                                                    |                                |                                                                   | 10,858 <sup>(1)</sup>                                                                         | I                                                        | Spouse as beneficiary of trust                        |
| Class A Common                  |                                      |                                                    |                                |                                                                   | 10,850 <sup>(1)</sup>                                                                         | I                                                        | As custodian for Peter                                |

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|                   |                       |   |                                                          |
|-------------------|-----------------------|---|----------------------------------------------------------|
| Class A<br>Common | 12,533                | I | Bristow, Jr.<br>As custodian<br>for Charlotte<br>Bristow |
| Class A<br>Common | 12,377                | I | As custodian<br>for Ella<br>Bristow                      |
| Class A<br>Common | 6,106 <sup>(1)</sup>  | I | PMB<br>Investments,<br>LLC                               |
| Class A<br>Common | 2,154 <sup>(1)</sup>  | I | CRB<br>Investments,<br>LLC                               |
| Class A<br>Common | 2,045 <sup>(1)</sup>  | I | EHB<br>Investments,<br>LLC                               |
| Class A<br>Common | 19,041 <sup>(1)</sup> | I | As trustee for<br>PMB Trust<br>2011                      |
| Class A<br>Common | 19,041 <sup>(1)</sup> | I | As trustee for<br>CRB Trust<br>2011                      |
| Class A<br>Common | 19,041 <sup>(1)</sup> | I | As trustee for<br>EHB Trust<br>2011                      |
| Class A<br>Common | 1,775 <sup>(1)</sup>  | I | By son, Peter<br>M. Bristow,<br>Jr.                      |
| Class B<br>Common | 538                   | D |                                                          |
| Class B<br>Common | 82,866                | I | By spouse                                                |
| Class B<br>Common | 1,250 <sup>(1)</sup>  | I | Spouse as<br>beneficiary of<br>Trust                     |
| Class B<br>Common | 2,080 <sup>(1)</sup>  | I | As custodian<br>for Peter<br>Bristow, Jr.                |
| Class B<br>Common | 2,081 <sup>(1)</sup>  | I | As custodian<br>for Charlotte<br>Bristow                 |
| Class B<br>Common | 2,081 <sup>(1)</sup>  | I | As custodian<br>for Ella                                 |

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|                   |                      |   |                                       |
|-------------------|----------------------|---|---------------------------------------|
| Class B<br>Common | 8,350 <sup>(1)</sup> | I | Bristow<br>PMB<br>Investments,<br>LLC |
| Class B<br>Common | 8,850 <sup>(1)</sup> | I | CRB<br>Investments,<br>LLC            |
| Class B<br>Common | 8,710 <sup>(1)</sup> | I | EHB<br>Investments,<br>LLC            |
| Class B<br>Common | 82 <sup>(1)</sup>    | I | By Son, Peter<br>M. Bristow,<br>Jr.   |
| Class B<br>Common | 82 <sup>(1)</sup>    | I | By daughter,<br>Charlotte<br>Bristow  |
| Class B<br>Common | 82 <sup>(1)</sup>    | I | By daughter,<br>Ella Bristow          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Benef<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             |                                      |                                                                                                                    | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                      |
|                                                     |                                                                    |                                         |                                                             |                                      |                                                                                                                    | Code                                                           | V                                                                         | (A)                                                 | (D)                                                                         |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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|                                                             | Director | 10% Owner | Officer   | Other |
|-------------------------------------------------------------|----------|-----------|-----------|-------|
| BRISTOW PETER M<br>4300 SIX FORKS ROAD<br>RALEIGH, NC 27609 | X        | X         | President |       |

## Signatures

Peter M. Bristow, by: William R. Lathan, Jr.,  
Attorney-in-fact

08/27/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the

(1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

(2) Reflects distribution from Ella Ann L. Holding 2016 grantor retained annuity trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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