Rambaud-Measson Stephane

Form 4

February 08, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Rambaud-Measson Stephane

2. Issuer Name and Ticker or Trading

Symbol

WESTINGHOUSE AIR BRAKE

5. Relationship of Reporting Person(s) to

Issuer

TECHNOLOGIES CORP [WAB]

Director

10% Owner

1001 AIR BRAKE AVENUE

(First)

(Street)

(Month/Day/Year)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

02/06/2018

X\_ Officer (give title Other (specify below) below)

**EVP & Chief Operating Officer** 

(Check all applicable)

Code

(Instr. 8)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

WILMERDING, PA 15148

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

3. 4. Securities Execution Date, if TransactionAcquired (A) or

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(A)

Disposed of (D)

(Instr. 3, 4 and 5)

Following Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

(Instr. 4)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4)

#### Edgar Filing: Rambaud-Measson Stephane - Form 4

Α

(Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A) Derivative or Disposed of Security (D) (Instr. 3, 4,

and 5)

16,000

Code V (A) (D) Date Exercisable Expiration Date

Title

Amoun or

Numbe

of Shar Common 16.00

03/01/2022(2) 03/01/2022 Stock

# **Reporting Owners**

(1)

Relationships Reporting Owner Name / Address

02/06/2018

10% Owner Other Director Officer

Rambaud-Measson Stephane 1001 AIR BRAKE AVENUE WILMERDING, PA 15148

**EVP & Chief Operating Officer** 

# **Signatures**

Phantom

Stock

David L. DeNinno, POA for Stephane Rambaud-Measson

02/08/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each share of phantom stock is the economic equivalent of one share of Westinghouse Air Brake Technologies Corporation's common **(1)** stock.
- (2) Shares of Phantom Stock vest at a rate of 25% per year beginning March 1, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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