SeaWorld Entertainment, Inc.

Form 4/A March 07, 2017

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Crage Peter J Issuer Symbol SeaWorld Entertainment, Inc. (Check all applicable) [SEAS] 3. Date of Earliest Transaction (Last) (First) (Middle) Director

(Month/Day/Year)

02/28/2017

10% Owner

X\_ Officer (give title Other (specify below) Chief Financial Officer

C/O SEAWORLD ENTERTAINMENT, INC., 9205 SOUTH PARK CENTER LOOP, SUITE 400

(State)

02/28/2017

(Street) 4. If Amendment, Date Original

(Month/Day/Year)

Filed(Month/Day/Year) 03/02/2017

6. Individual or Joint/Group Filing(Check

Applicable Line)

Securities

Following

Reported

Owned

Beneficially

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ORLANDO, FL 32819

(City)

Common

Stock

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. 7. Nature of Indirect Ownership Form: Direct Beneficial (D) or Ownership (Instr. 4) Indirect (I) (Instr. 4)

(A) or Price Code Amount (D)

Transaction(s) (Instr. 3 and 4)

 $D^{(1)}$ 12,383 D \$0 D 161,692.675

Common 1,678  $F^{(2)}$ 03/01/2017 D 160,014.675 D 18.9 Stock (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

### Edgar Filing: SeaWorld Entertainment, Inc. - Form 4/A

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Tit	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amou	unt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Unde	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	rities	(Instr. 5)	Bene
	Derivative				Securities Acquired			(Instr	. 3 and 4)		Own
	Security									Follo	
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title			
									of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Crage Peter J C/O SEAWORLD ENTERTAINMENT, INC. 9205 SOUTH PARK CENTER LOOP, SUITE 400 ORLANDO, FL 32819

Chief Financial Officer

## **Signatures**

/s/ Carlos Clark, by power of attorney 03/07/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares of unvested restricted stock were forfeited due to a failure to achieve a specific level of performance required for vesting over the one-year performance period beginning on January 1, 2016 and ending on December 31, 2016.
- (2) Shares withheld by the Company for the payment of tax liability incident to the vesting of shares of restricted stock.
- (3) This amendment is being filed to correct the number of shares withheld by the Company for the payment of tax liability, which was under-reported in the original filing due to broker error.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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