#### AXIS CAPITAL HOLDINGS LTD

Form 3

March 03, 2017

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AXIS CAPITAL HOLDINGS LTD [AXS] Ekberg Jan (Month/Day/Year) 02/23/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **BRANDSCHENKESTRASSE 90** (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) ZURICH, V8Â CH-8002 Form filed by More than One CEO, AXIS Reinsurance Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Shares 14,934 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	(1)	(1)	Common Shares	3,384	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(3)	(3)	Common Shares	3,383	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(4)	(4)	Common Shares	3,948	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(5)	(5)	Common Shares	6,505	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(6)	(6)	Common Shares	15,622	\$ <u>(2)</u>	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Ekberg Jan BRANDSCHENKESTRASSE 90 ZURICH, V8 CH-8002	Â	Â	CEO, AXIS Reinsurance	Â		

## **Signatures**

G. Christina Gray-Trefry, Attorney-in-Fact

03/03/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest in two equal annual installments beginning March 1, 2017.
- (2) Upon vesting, each restricted stock unit will be equal in value to one common share (50% of the restricted stock units will be settled in common shares and 50% will be settled in cash).
- (3) The restricted stock units vest in a single installment on March 1, 2017.
- (4) The restricted stock units vest in three equal annual installments beginning March 1, 2017.
- (5) The restricted stock units vest in four equal annual installments beginning March 1, 2017.
- (6) The restricted stock units vest in four equal annual installments beginning March 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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