

RAMBUS INC
Form 4
February 03, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STARK LAURA

(Last) (First) (Middle)

1050 ENTERPRISE WAY, SUITE
700

(Street)

SUNNYVALE, CA 94089

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
RAMBUS INC [RMBS]

3. Date of Earliest Transaction
(Month/Day/Year)

02/01/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

SVP, GM ESD

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	02/01/2017		F	2,667 D \$ 12.8	161,154	D	
Common Stock	02/01/2017		A	24,388 (1) A \$ 0	185,542	D	
Common Stock	02/01/2017		A	28,432 (2) A \$ 0	213,974	D	
Common Stock	02/02/2017		F	1,595 D \$ 12.67	212,379	D	
Common Stock	02/02/2017		M	884 A \$ 8.55	213,263	D	

Edgar Filing: RAMBUS INC - Form 4

Common Stock	02/02/2017	M	1,333	A	\$ 7.31	214,596	D
Common Stock	02/02/2017	M	1,238	A	\$ 5.46	215,834	D
Common Stock	02/02/2017	M	555	A	\$ 8.76	216,389	D
Common Stock	02/02/2017	<u>S</u> ⁽³⁾	4,010	D	\$ 12.71 <u>(4)</u>	212,379	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee stock option (right to buy)	\$ 12.8	02/01/2017		A	38,202	08/01/2017 ⁽⁵⁾	02/01/2027	Common Stock	38,202
Employee stock option (right to buy)	\$ 8.55	02/02/2017		M	884	08/02/2009 ⁽⁶⁾	02/02/2019	Common Stock	884
Employee stock option (right to buy)	\$ 7.31	02/02/2017		M	1,333	08/01/2012 ⁽⁷⁾	02/01/2022	Common Stock	1,333
Employee stock option	\$ 5.46	02/02/2017		M	1,238	08/01/2013 ⁽⁸⁾	02/01/2023	Common Stock	1,238

Edgar Filing: RAMBUS INC - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.