

HANMI FINANCIAL CORP  
 Form 3  
 October 09, 2015

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|                                           |         |                                      |                                                                            |                                                                        |
|-------------------------------------------|---------|--------------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------------|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                         |                                                                        |
| Â Kim Greg D                              |         | (Month/Day/Year)                     | HANMI FINANCIAL CORP [HAFC]                                                |                                                                        |
| (Last)                                    | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer                           | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
|                                           |         | 10/09/2015                           |                                                                            |                                                                        |
| 3660 WILSHIRE BLVD., PH-A                 |         |                                      | (Check all applicable)                                                     | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
| (Street)                                  |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner       | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|                                           |         |                                      | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input type="checkbox"/> Form filed by More than One Reporting Person  |
|                                           |         |                                      | (give title below) (specify below)                                         |                                                                        |
| LOS ANGELES,Â CAÂ 90010                   |         |                                      | Chief Administrative Officer                                               |                                                                        |
| (City)                                    | (State) | (Zip)                                |                                                                            |                                                                        |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|-------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
| Common Stock                    | 14,892 <sup>(1)</sup>                                 | D                                                        | Â                                                     |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------|-------------------------------------------------------|
|                                            | Date Exercisable                                         | Expiration Date                                                             | Title                                                  | Amount or Number of                                              |                                                       |

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|                         |                           |            |                         | Shares |          | (I)<br>(Instr. 5) |   |
|-------------------------|---------------------------|------------|-------------------------|--------|----------|-------------------|---|
| Option for Common Stock | 04/19/2007 <sup>(2)</sup> | 04/19/2016 | Option for Common Stock | 1,250  | \$ 144   | D                 | Â |
| Option for Common Stock | 04/08/2010 <sup>(3)</sup> | 04/08/2019 | Option for Common Stock | 1,000  | \$ 10.8  | D                 | Â |
| Option for Common Stock | 12/12/2013 <sup>(4)</sup> | 12/12/2022 | Option for Common Stock | 5,500  | \$ 12.54 | D                 | Â |
| Option for Common Stock | 08/28/2014 <sup>(5)</sup> | 08/28/2023 | Option for Common Stock | 25,000 | \$ 16.43 | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |                                |       |
|------------------------------------------------------------------|---------------|-----------|--------------------------------|-------|
|                                                                  | Director      | 10% Owner | Officer                        | Other |
| Kim Greg D<br>3660 WILSHIRE BLVD., PH-A<br>LOS ANGELES, CA 90010 | Â             | Â         | Â Chief Administrative Officer | Â     |

## Signatures

/s/ Greg D Kim                      10/09/2015  
 \*\*Signature of                      Date  
 Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 3,334 common stock grants that are scheduled to vest on August 28, 2016.
- (2) The option vested 20% one year from the grant date of 4/19/2006, and the remaining fully vested at the end of each four-year period through 4/19/2011.
- (3) The option vested 20% one year from the grant date of 4/8/2009, and the remaining fully vested at the end of each four-year period through 4/8/2014.
- (4) The option vested 25% immediately on the grant date of 12/12/2012, and an additional 25% vested at the end of each two-year period through 12/12/2014. The remaining 25% will vest on 12/12/2015.
- (5) The option vested 33.3% one year from the grant date of 8/23/2013, and an additional 33.3% vested on 8/28/2015. The remaining 33.4% will vest on 8/28/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.