

NUVASIVE INC  
Form 3  
August 08, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Blackford Quentin S.  
(Last) (First) (Middle)

7475 LUSK BLVD.  
(Street)

SAN DIEGO, CA 92121

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
08/01/2014

3. Issuer Name and Ticker or Trading Symbol  
NUVASIVE INC [NUVA]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
CFO and CAO

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities<br>Beneficially Owned<br>(Instr. 4) | 3. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial<br>Ownership<br>(Instr. 5) |
|------------------------------------|-------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------|
| Common Stock                       | 800                                                         | D                                                                       | ^                                                           |
| Common Stock                       | 3,125 <sup>(1)</sup>                                        | D                                                                       | ^                                                           |
| Common Stock                       | 10,000 <sup>(2)</sup>                                       | D                                                                       | ^                                                           |
| Common Stock                       | 2,500 <sup>(3)</sup>                                        | D                                                                       | ^                                                           |
| Common Stock                       | 6,250 <sup>(4)</sup>                                        | D                                                                       | ^                                                           |
| Common Stock                       | 16,017 <sup>(5)</sup>                                       | D                                                                       | ^                                                           |
| Common Stock                       | 23,697 <sup>(6)</sup>                                       | D                                                                       | ^                                                           |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) | 4. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of<br>Indirect Beneficial<br>Ownership<br>(Instr. 5) |
|-----------------------------------------------|----------------------------------------------------------------|--------------------|--------------------------------------------------------------------------------------|--------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|
|                                               | Date Exercisable                                               | Expiration<br>Date | Title                                                                                | Amount or<br>Number of<br>Shares                                   |                                                                                                      |                                                                |
| Employee Stock Option<br>(Right-to-Buy)       | 02/09/2010 <sup>(7)</sup>                                      | 02/09/2019         | Common<br>Stock                                                                      | 3,500                                                              | \$ 38.68                                                                                             | D     Â                                                        |
| Employee Stock Option<br>(Right-to-Buy)       | 02/19/2011 <sup>(7)</sup>                                      | 02/19/2020         | Common<br>Stock                                                                      | 12,500                                                             | \$ 29.95                                                                                             | D     Â                                                        |
| Employee Stock Option<br>(Right-to-Buy)       | 01/03/2011 <sup>(8)</sup>                                      | 01/03/2021         | Common<br>Stock                                                                      | 3,645                                                              | \$ 26.62                                                                                             | D     Â                                                        |

## Reporting Owners

| Reporting Owner Name / Address                                 | Relationships |           |               |       |
|----------------------------------------------------------------|---------------|-----------|---------------|-------|
|                                                                | Director      | 10% Owner | Officer       | Other |
| Blackford Quentin S.<br>7475 LUSK BLVD.<br>SAN DIEGO, CA 92121 | Â             | Â         | Â CFO and CAO | Â     |

## Signatures

/s/ Jason M. Hannon,  
Attorney-in-fact

08/08/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on January 1, 2012.
- (2) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on October 1, 2012.
- (3) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on February 1, 2013.
- (4) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on July 1, 2013.
- (5) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on February 1, 2014.
- (6) Represents an award of Restricted Stock Units that vest in 4 equal annual installments beginning on January 1, 2015.
- (7) This date is the first of multiple vesting dates, all of which have occurred as of the date of this report.
- (8) 25% of the total shares subject to the option vested on January 3, 2012, the first anniversary of the date of grant; the remaining shares vest in 36 equal monthly installments thereafter.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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