FreightCar America, Inc. Form 4 January 17, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction 1(b).

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

McNeely Joseph E

(Last)

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

FreightCar America, Inc. [RAIL]

3. Date of Earliest Transaction

(Month/Day/Year) 01/15/2014

TWO NORTH RIVERSIDE PLAZA **SUITE 1300**

(First)

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

Person

4. Securities

CHICAGO, IL 60606

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

_X__ Director

Applicable Line)

X_ Officer (give title

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

Code (Instr. 8)

TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Securities Beneficially Owned Following Reported

5. Amount of

(D) or Indirect (I) (Instr. 4)

D

Form: Direct Indirect Beneficial Ownership (Instr. 4)

(9-02)

6. Ownership 7. Nature of

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

President and CEO

6. Individual or Joint/Group Filing(Check

X Form filed by One Reporting Person Form filed by More than One Reporting

below)

10% Owner

Other (specify

Estimated average

burden hours per

(A) or

Transaction(s) (Instr. 3 and 4)

Common Stock

Code V Amount (D) Price

7,723

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------|---------------------------------------------------------------|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 25.55 | 01/15/2014 | | A(1) | 31,750 | (2) | 01/15/2024 | Common stock | 31,750 |
| Employee Stock Option (right to buy) | \$ 20.39 | | | | | <u>(4)</u> | 10/04/2023 | Common stock | 75,000 |
| Employee Stock Option (right to buy) | \$ 20.39 | | | | | <u>(5)</u> | 05/01/2023 | Common stock | 1,660 |
| Employee Stock Option (right to buy) | \$ 24.56 | | | | | <u>(6)</u> | 01/18/2023 | Common stock | 8,500 |
| Employee Stock Option (right to buy) | \$ 23.4 | | | | | <u>(7)</u> | 01/12/2022 | Common stock | 16,900 |
| Employee Stock Option (right to buy) | \$ 29.88 | | | | | <u>(8)</u> | 01/13/2021 | Common stock | 12,600 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |

Reporting Owners 2

McNeely Joseph E
TWO NORTH RIVERSIDE PLAZA SUITE 1300 X
CHICAGO, IL 60606

President and CEO

Signatures

/s/ Kathleen M. Boege, as attorney in fact

01/17/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exempt issuance of options under Rule 16(b)-3 pursuant to the Issuer's 2005 Long Term Incentive Plan
- (2) The options vest in three equal annual installments beginning on January 15, 2015.
- (3) The options were granted pursuant to the Issuer's 2005 Long term Incentive Plan, for which no consideration was paid by the recipient.
- (4) On October 4, 2013, the recipient was granted 75,000 options. The options vest in three equal annual installments beginning on October 4, 2014.
- (5) On May 1, 2013, the recipient was granted 1,660 options. The options vest in three equal annual installments beginning on May 1, 2014.
- (6) On January 18, 2013, the recipient was granted 8,500 options. The options vest in three equal annual installments beginning on January 18, 2014.
- (7) On January 12, 2012, the recipient was granted 16,900 options. 11,266 stock options are fully vested and currently exercisable and 5,634 stock options will vest on January 12, 2015.
- (8) On January 13, 2011, the recipient was granted 12,600 options which are fully vested and currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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