National American University Holdings, Inc.

Form 4

August 14, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number: January 31, Expires:

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \*

Shape Ronald L

Symbol

National American University Holdings, Inc. [NAUH]

3. Date of Earliest Transaction

(Month/Day/Year)

Filed(Month/Day/Year)

(First) 5301 S. HIGHWAY 16, SUITE 200

08/13/2013 (Street) 4. If Amendment, Date Original

(Middle)

(Zip)

(State)

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below) below)

**CEO** 

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

### RAPID CITY, SD 57701

		Table 1 - Non-Derivative Securities Acquired, Disposed of, of Deficitionly Owned								
1.Title of	2. Transaction Date		3.	4. Securit		•	5. Amount of	6. Ownership		
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			Securities	Form: Direct	Indirect		
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)		Beneficially	(D) or	Beneficial		
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)	
					(4)		Reported			
					(A)		Transaction(s)			
			G 1 17		or	ъ.	(Instr. 3 and 4)			
			Code V	Amount	(D)	Price				
Common	08/13/2013		$A_{(1)}$	31,355	A	\$ 0	238,729	D		
Stock	06/13/2013		$A_{\underline{(i)}}$	31,333	(1)	(2)	230,129	D		
					_	_				
C						\$				
Common	08/13/2013		F	8,576	D	3.78	230,153	D		
Stock	00/15/2015		•	0,570		(3)	200,100			
						(3)				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exer		7. Title a		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if		onNumber	Expiration D		Amount		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	_	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities	S		(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	,				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						(IIISti
					4, and 5)						
					4, and 3)						
								A	mount		
						D.	E	01	r		
						Date	Expiration	Title N	umber		
						Exercisable	Date	of			
				Code V	(A) (D)				hares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
Shape Ronald L							
5301 S. HIGHWAY 16	X		CEO				
SUITE 200	Λ		CEO				
RAPID CITY, SD 57701							

# **Signatures**

/s/ Ronald L. 08/14/2013 Shape Date \*\*Signature of Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reported transaction involves an award of common stock issued under the National American University Holdings, Inc. 2009 Stock

- (1) Option and Compensation Plan (the "Plan") and represents a portion of the reporting person's annual incentive pay pursuant to the reporting person's employment agreement.
- (2) The common stock was granted under the Plan and therefore the reporting person paid no price for the common stock.
- Represents withholding of shares of common stock to satisfy tax withholding obligations in connection with the award of common stock

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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