## Edgar Filing: SCHUCKENBROCK STEPHEN FRANCIS - Form 4

### SCHUCKENBROCK STEPHEN FRANCIS

Form 4 March 04, 2011

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

January 31, Expires:

**OMB APPROVAL** 

2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* SCHUCKENBROCK STEPHEN **FRANCIS** 

> (Last) (First) (Middle)

ONE DELL WAY

(City)

(Street)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

DELL INC [DELL]

3. Date of Earliest Transaction (Month/Day/Year)

03/02/2011

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below) below) President

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

n Dominative Securities Acquired Disposed of an Boneficially O

Form filed by More than One Reporting Person

**ROUND ROCK, TX 78682** 

(elly)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ies Ac	quired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	(D)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(1)		Reported		
					(A)		Transaction(s)		
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	03/02/2011		A	13,906 (1)	A	\$0	403,519	D	
Common Stock	03/02/2011		A	11,386 (2)	A	\$0	414,905	D	
Common Stock	03/02/2011		A	12,752 (3)	A	\$ 0	427,657 (4)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	5) (A) (D)	Date Exercisable	Expiration Date	Title	Amor Numl Share
Nonqualified Stock Options	\$ 15.44	03/02/2011		A	369,686	<u>(5)</u>	03/02/2021	Common Stock	369

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SCHUCKENBROCK STEPHEN FRANCIS ONE DELL WAY ROUND ROCK, TX 78682

President

# **Signatures**

/s/ Janet B. Wright, Attorney-in-Fact

03/04/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents RSUs earned pursuant to performance based units granted in March 2009. Entire grant vests on March 5, 2012.
- (2) Represents RSUs earned pursuant to performance based units granted in March 2010. Entire grant vests on March 26, 2013.
- (3) Represents RSUs earned pursuant to performance based units granted in March 2008. Entire grant vests on March 4, 2011.
- (4) Represents 88,862 unrestricted shares and 308,795 restricted stock units vesting as follows: 30,000 units on 1/8/12, 104,933 units on 3/4/11, 114,423 units on 3/5/12 and 89,439 units on 3/26/2013.
- (5) Nonqualified stock options vesting as follows: 125,693 shares on 3/2/12, 121,997 shares on 3/2/13 and 121,996 shares on 3/2/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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