Edgar Filing: VECTOR GROUP LTD - Form 4

VECTOR GROUP LTD Form 4 January 05, 2010							
Wasnington, D.C. 20549 Number:						3235-0287 January 31, 2005 d average ours per	
(Print or Type Responses)							
1. Name and Address of Reporting P FROST PHILLIP MD ET AL	er Name and Ticker o OR GROUP LTD		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (M 4400 BISCAYNE BOULEVARD, SUITE 1500	(Month/ 01/04/	3. Date of Earliest Transaction (Month/Day/Year) Director		Director Officer (give	X10% Owner Other (specify below)		
(Street)		nendment, Date Origin Ionth/Day/Year)	 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person X_ Form filed by More than One Reporting 				
MIAMI, FL 33137				Person		reporting	
(City) (State) (A	(Zip) Tal	ble I - Non-Derivative	e Securities Acq	uired, Disposed o	of, or Benefic	ially Owned	
(Instr. 3)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction(A) or Dis Code (Instr. 3, 4		Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common 01/04/2010 Stock		P 20,000	\$	4,987,729	I	By Frost Gamma Investments Trust <u>(1)</u>	
Common Stock				10,500	I	By Patricia Frost <u>(2)</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
FROST PHILLIP MD ET AL 4400 BISCAYNE BOULEVARE SUITE 1500 MIAMI, FL 33137)	Х				
Frost Gamma Investments Trust 4400 BISCAYNE BOULEVARE SUITE 1500 MIAMI, FL 33137)	Х				
Frost Nevada Investments Trust 4400 BISCAYNE BOULEVARD SUITE 1500 MIAMI, FL 33137)	Х				
Signatures						
/s/ Phillip Frost, MD				01/04/2010		
**Signature of Repo	orting Person			Date		
Frost Gamma Investments Trust Trustee	by: /s/ Phill	lip Frost, MI),	01/04/2010		
<u>**</u> Signature of Repo	orting Person			Date		
Frost Nevada Investments Trust	by: /s/ Phill	ip Frost, MD),	01/04/2010		
<u>**</u> Signature of Repo	orting Person			Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These securities are held by Frost Gamma Investments Trust, of which Phillip Frost M.D., is the trustee. Frost Gamma L.P. is the sole and exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general partner of Frost Gamma L.P. is Frost Gamma, Inc., and the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost

- (1) particle of Prost Gamma E.T. is Prost Gamma, inc., and the sole snareholder of Prost Gamma, inc. is Prost-Nevada Corporation. Dr. Prost Gamma, inc. is Prost-Nevada Corporation. Dr. Prost is also the sole shareholder of Frost-Nevada Corporation. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- (2) These securities are held by Patricia Frost, Dr. Frost's spouse. Pursuant to Rule 16a-1(a)(4), the Reporting Person disclaims beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.