

DALY DANIEL J JR
Form 3
March 28, 2008

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â DALY DANIEL J JR

(Last) (First) (Middle)

2277 PLAZA DRIVE,Â SUITE
500

(Street)

SUGAR LAND,Â TXÂ 77479

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

03/28/2008

3. Issuer Name **and** Ticker or Trading Symbol
CVR ENERGY INC [CVI]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Executive VP, Strategy

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

0

I

See Remarks

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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Date Exercisable	Expiration Date	Amount or Number of Shares	or Indirect (I) (Instr. 5)
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DALY DANIEL J JR 2277 PLAZA DRIVE SUITE 500 SUGAR LAND, TX 77479	Â	Â	Â Executive VP, Strategy	Â

Signatures

/s/ Susan M. Ball, Attorney-in-fact	03/28/2008
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__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Remarks:

Coffeyville Acquisition LLC and Coffeyville Acquisition II LLC (the "Coffeyville Acquisition Entities") are common stock, par value \$0.01 per share ("Common Stock"). Mr. Daly owns 0.019% of the total common units of each of the Coffeyville Acquisition Entities. Mr. Daly owns units in the Coffeyville Acquisition Entities and (ii) an aggregate of 2,209,524 phantom points pursuant to the Coffeyville Acquisition Plan (Plan I) and Coffeyville Resources, LLC Phantom Unit Appreciation Plan (Plan II) which constitute rights to a portion of the profits generated by the Coffeyville Acquisition Entities. The Coffeyville Acquisition Plan is filed as exhibit 10.3 to the Company's Registration Statement on Form S-1, File No. 333-137588. The Coffeyville Acquisition Plan II is filed as exhibit 10.12 to the Company's Form 10-Q for the quarterly period ended September 30, 2014 (the "10-Q"). The limited liability company agreement and amendment 1A thereto of Coffeyville Acquisition are filed as exhibits 10.14 and 10.15 to the 10-Q. The limited liability company agreement and amendment 1B thereto of Coffeyville Acquisition II LLC are filed as exhibits 10.16 and 10.17, respectively, to the 10-Q.

Mr. Daly does not have the power to vote or dispose of the shares of Common Stock that correspond to the Coffeyville Acquisition Entities and thus does not have beneficial ownership of such shares. Mr. Daly has no pecuniary interest in the shares of Common Stock held by the Coffeyville Acquisition Entities (including phantom points) because he does not control either of the Coffeyville Acquisition Entities and does not have either entity's securities.

Exhibit List: Exhibit 24: Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.