

ASSURANT INC
Form 4
November 20, 2006

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
POLLOCK ROBERT B

(Last) (First) (Middle)

ONE CHASE MANHATTAN
PLAZA, 41ST FLOOR

(Street)

NEW YORK, NY 10005

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ASSURANT INC [AIZ]

3. Date of Earliest Transaction
(Month/Day/Year)
11/16/2006

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

President, CEO, Director

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 11/16/2006 | | M | | 3,119.46 | A | \$ 21.56 |
| Common Stock | 11/16/2006 | | D | | 1,223.27 | D | \$ 54.98 |
| Common Stock | 11/16/2006 | | F | | 906.38 | D | \$ 54.98 |
| Common Stock | 11/16/2006 | | M | | 1,827.39 | A | \$ 36.74 |
| Common Stock | 11/16/2006 | | D | | 1,221.14 | D | \$ 54.98 |

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| | | | | | | | | |
|--------------|------------|---|-----------|---|----------|-----------------------|---|-----------------|
| Common Stock | 11/16/2006 | F | 289.79 | D | \$ 54.98 | 34,321.114 | D | |
| Common Stock | 11/16/2006 | M | 65,168.18 | A | \$ 22 | 99,489.294 | D | |
| Common Stock | 11/16/2006 | D | 26,076.76 | D | \$ 54.98 | 73,412.534 | D | |
| Common Stock | 11/16/2006 | F | 18,685.7 | D | \$ 54.98 | 54,726.834 (1) (2) | D | |
| Common Stock | | | | | | 10,534.013 (3) | I | By 401 (k) Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----------------|---|
| | | | | | V (A) (D) | Date Exercisable | Expiration Date | Title |
| Stock Appreciation Right | \$ 36.74 | 11/16/2006 | | M | 1,827.39 | 02/04/2005 | 01/01/2009 | Common Stock |
| Stock Appreciation Right | \$ 21.56 | 11/16/2006 | | M | 3,119.46 | 02/04/2005 | 01/01/2009 | Common Stock |
| Stock Appreciation Right | \$ 22 | 11/16/2006 | | M | 65,168.18 | 02/04/2005 | 01/01/2009 | Common Stock |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| POLLOCK ROBERT B ONE CHASE MANHATTAN PLAZA 41ST FLOOR | X | | President, CEO, Director | |

NEW YORK, NY 10005

Signatures

Lisa Richter
Attorney-in-Fact

11/20/2006

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes a total of 21,712 shares, net of tax withholding, (rounded to the nearest whole share) granted to Reporting Person pursuant to exercise of these SARs.
 - (2) Includes 189.093 shares acquired under the Assurant, Inc. Amended and Restated 2004 Employee Stock Purchase Plan on June 30, 2006.
 - (3) Between May 26, 2004 and September 30,2006, the reporting person acquired 10,534.013 shares of Assurant. Inc. common stock under the Assurant, Inc. 401 (k) Plan. The information in this report is based on a plan statement dated as of September 30, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.