#### MCDONALD R BRUCE

Form 4

October 05, 2009

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

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may continue.

See Instruction

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MCDONALD R BRUCE Issuer Symbol JOHNSON CONTROLS INC [JCI] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title Other (specify 5757 N. GREEN BAY 10/01/2009 below) below) AVENUE, P.O. BOX 591 Exec Vice President & CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MILWAUKEE, WI 53201-0591 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 102,986 D Stock Common By 401(k) 15,792.355 (1) I Stock Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Yea r	6. Date Exercisable and Expiration Date (Month/Day/Year)	
				Code V	7 (A) (D	Date Exercisable	Expiration Date	Title
Employee Stock Option (Right to Buy)	\$ 24.87	10/01/2009		A	170,000	10/01/2011(2)	10/01/2019	Commo
Phantom Stock Units/Retirement Restoration Plan	(3)					<u>(4)</u>	<u>(4)</u>	Commo
Phantom Stock Units/Long-Term Incentive Plan	(3)					<u>(6)</u>	(6)	Commo
Phantom Stock Units/Restricted Stock Grant	<u>(8)</u>					<u>(8)</u>	<u>(8)</u>	Commo
Employee Stock Option (Right to Buy)	\$ 13.3533					11/26/2003	11/26/2011	Commo
Employee Stock Option (Right to Buy)	\$ 13.4325					11/20/2004	11/20/2012	Commo
Employee Stock Option (Right to Buy)	\$ 17.5167					11/19/2005	11/19/2013	Commo
Employee Stock Option (Right to Buy)	\$ 20.5633					11/17/2006	11/17/2014	Commo
Employee Stock Option (Right to Buy)	\$ 22.5617					11/16/2007	11/16/2015	Commo
Employee Stock Option (Right to Buy)	\$ 23.965					10/02/2008	10/02/2016	Commo
Employee Stock Option (Right to Buy)	\$ 40.21					10/01/2009(2)	10/01/2017	Commo

Employee Stock Option (Right to

Buy)

\$ 28.79

10/01/2010(2) 10/01/2018

Commo

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MCDONALD R BRUCE 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591

Exec Vice President & CFO

### **Signatures**

Angela M. Blair, Attorney-In-Fact for R. Bruce McDonald

10/05/2009

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of underlying securities is based on the stock fund balance on September 30, 2009. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a September 30, 2009, stock fund price of \$25.56 per share.
- (2) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- (4) The phantom stock units were accrued under the Johnson Controls Retirement Restoration Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
- (5) Includes 183.436 phantom stock units acquired through the reinvestment of dividends on October 2, 2009, at \$23.77 per phantom stock unit
- (6) The phantom stock units were accrued under the Johnson Controls Long-Term Incentive Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
- (7) Includes 447.194 phantom stock units acquired through the reinvestment of dividends on October 2, 2009, at \$23.77 per phantom stock unit
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were awarded under the Johnson Controls Executive Deferred Restricted Plan. The units are to be settled 100% in cash upon the reporting person's termination of employment with the company, subject to vesting provisions.
- (9) Includes 726.346 phantom stock units acquired through the reinvestment of dividends on October 2, 2009, at \$23.77 per phantom stock unit

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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