Edgar Filing: VISX INC - Form 4

VISX INC Form 4 March 05, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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1. Name and A Bertocci, Dere			me and Tic rporated ('			g Symbol	6. Relationship Person(s) to Issuer (Check					
(Last) c/o VISX, Inco 3400 Central	of Reporting Person,					Statement for onth/Day/Year //03/03	10% Owner X Officer (give Other (specify b	X Officer (give title below) Other (specify below)				
								<u>Vice President</u> Controller	<u>Vice President,</u> <u>Controller</u>			
						If Amendment,	7. Individual or Joint/Group Filing					
Santa Clara, (ate of Original Ionth/Day/Year)	(Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(Cit	y) (State)	T	`able	I Non-I)erivat	ive Se	curities Acquired, Dis	Disposed of, or Beneficially Owned				
1. Title of Security (Instr. 3)	tle of 2. Trans- 2A. Deemed rity action Execution				4. Securitie (A) or Disp (Instr. 3, 4	osed o		5. Amount of Securities Beneficially		7. Nature of Indirect Beneficial		
	(Month/ Day/ Year)	if any (Month/Day/ Year)	Code	V	Amount	(A) or (D)	Price	⊣	or Indirect (I) (Instr. 4)	Ownership (Instr. 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially

(e.g., nuts, calls, warrants, ontions, convertible securities)

	(c.g., puts, cans, warrants, options, convertible securities)													
1	l. Title of	2. Conver-	3. Trans-	3A.	4.	5. Number	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Natu		
I	Derivative	sion or	action	Deemed	Trans-	of	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indire		
9	Security	Exercise	Date	Execution	action	Derivative	Date	Underlying	Security	Securities	ship	Beneficia		
		Price of		Date,	Code	Securities	(Month/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownersh		
(Instr. 3)	Derivative	(Month/	if any		Acquired	Year)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)		
				(Month/	(Instr.	(A) or				Following	ative			
				-	8)	Disposed				Reported	Security:			
				Year)		of (D)				Transaction(s)	Direct			
										(Instr. 4)	(D)			

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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					(Instr. 3 & 5)	3, 4							or Indirect	
			Code	V	(A)		Date Exer-cisable	Expira- tion Date		Amount or Number of Shares		(I) (Instr. 4)		
Stock Option (Right to Buy)	\$8.0300	03/03/03	A		11,720		(1)		Common Stock	11,720		300,041	D	
Stock Option (Right to Buy)	\$8.0300	03/03/03	A		25,780		(1)		Common Stock	25,780		325,821	D	

Explanation of Responses:

(1) Stock option granted under the VISX, Incorporated 2000 Stock Plan, which is a Rule 16b-3 plan. This option is exercisable upon vesting, and 25% of the shares subject to the option vest upon the first anniversary of the grant and 1/48th of the shares subject to the option vest at the end of each full month thereafter for 36 months.

By: /s/ <u>Derek A. Bertocci</u> <u>Derek A. Bertocci</u> 03/05/03 Date

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).