

KEYES JAMES H  
Form 4  
November 05, 2004

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
Estimated average  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KEYES JAMES H**

(Last) (First) (Middle)

**P.O. BOX 591, 5757 N. GREEN  
BAY AVENUE**

(Street)

**MILWAUKEE, WI 53201**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**JOHNSON CONTROLS INC [JCI]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**11/03/2004**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/03/2004		M		75,000	A	\$ 28.8907
Common Stock	11/03/2004		S		75,000	D	\$ 58.1667
Common Stock	11/04/2004		M		100,000	A	\$ 28.8907
Common Stock	11/04/2004		S		100,000	D	\$ 58.1853
Common Stock	11/05/2004		M		25,500	A	\$ 28.8907

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Common Stock	11/05/2004	S	25,500	D	\$ 59.3289	175,457	D	
Common Stock						26,862.25 <sup>(1)</sup>	I	By 401(k) Plan Trust
Common Stock						2,181.08	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number of Shares
Phantom Stock Units / Directors' Retirement Plan	(2)					(3) (3)	Common Stock 552,000
Phantom Stock Units / Restricted Stock Plan	(2)					(4) (4)	Common Stock 1,680,000
Stock Option	\$ 28.8907	11/03/2004		M	75,000	11/18/2000 11/18/2008	Common Stock 75,000
Stock Option	\$ 28.8907	11/04/2004		M	100,000	11/18/2000 11/18/2008	Common Stock 100,000
Stock Option	\$ 28.8907	11/05/2004		M	25,500	11/18/2000 11/18/2008	Common Stock 25,500
Stock Option	\$ 29.2032					11/17/2001 11/17/2009	Common Stock 300,000
Stock Option	\$ 28.4219					11/15/2002 11/15/2010	Common Stock 500,000

Stock  
Option \$ 40.115

11/14/2003 11/14/2011 Common  
Stock 400,

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director    10% Owner    Officer    Other
KEYES JAMES H P.O. BOX 591 5757 N. GREEN BAY AVENUE MILWAUKEE, WI 53201	X

## Signatures

Arlene D. Gumm, Attorney-in-Fact for James H.  
Keyes

11/05/2004

          Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of underlying securities is based on the stock fund balance on 11/4/2004. The actual number of shares issuable upon the
- (1) distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on an 11/4/2004 stock fund price of \$58.78 per share.
  - (2) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
  - (3) The phantom stock units were accrued under the Johnson Controls Directors' Retirement Plan and are to be settled 100% in cash upon the reporting person's retirement from the Board of Directors.
  - (4) The phantom stock units were accrued under the Johnson Controls Restricted Stock Plan and are to be settled 100% in cash upon the vesting of the reporting person's restricted stock to which they relate.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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