ChemoCentryx, Inc. Form 8-K March 26, 2019

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): March 25, 2019

CHEMOCENTRYX, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

001-35420 (Commission

94-3254365 (IRS Employer

of incorporation)

File Number)

Identification No.)

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850 Maude Avenue, Mountain View, CA 94043
(Address of Principal Executive Offices) (Zip Code)
Registrant s telephone number, including area code: (650) 210-2900

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 8.01. Other Events.

On March 25, 2019, ChemoCentryx, Inc. (the Company) sold 4,824,829 shares of its common stock pursuant to its Equity Distribution Agreement with Piper Jaffray & Co. (the EDA), as sole underwriter, at a price per share of \$11.40, for gross proceeds of approximately \$55.0 million, before deducting offering-related transaction costs and commissions. These sales fully exhaust the amount available under the EDA. Accordingly, no further sales will be made under this EDA.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CHEMOCENTRYX, INC.

Date: March 25, 2019

By: /s/ Susan M. Kanaya Name: Susan M. Kanaya

Title: Executive Vice President, Chief Financial and

Administrative Officer and Secretary