Intellia Therapeutics, Inc. Form 8-K October 31, 2017

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, D.C. 20549** 

#### FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): October 31, 2017

## INTELLIA THERAPEUTICS, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

**001-37766** (Commission

36-4785571 (I.R.S. Employer

of incorporation)

File Number)

**Identification No.)** 

40 Erie Street, Suite 130

02139

## Edgar Filing: Intellia Therapeutics, Inc. - Form 8-K

# Cambridge, Massachusetts (Address of principal executive offices) (Zip Code) Registrant s telephone number, including area code: (857) 285-6200

#### **Not Applicable**

# Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 or Rule 12b-2 of the Securities Exchange Act of 1934.

#### Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

## **Item 2.02.** Results of Operations and Financial Condition.

On October 31, 2017, Intellia Therapeutics, Inc. issued a press release announcing its financial results for the three and nine months ended September 30, 2017 (the Press Release). A copy of the Press Release is furnished herewith as Exhibit 99.1.

The information in this report furnished pursuant to Item 2.02 shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ), or otherwise subject to the liabilities of that section. It may only be incorporated by reference in another filing under the Exchange Act or the Securities Act of 1933, as amended, if such subsequent filing specifically references the information furnished pursuant to Item 2.02 of this report.

#### Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

99.1 Press release dated October 31, 2017

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: October 31, 2017

# **Intellia Therapeutics, Inc.**

By: /s/ Nessan Bermingham Nessan Bermingham, Ph.D.

President and Chief Executive Officer

# EXHIBIT INDEX

# **Exhibit**

**Number** Description of Exhibit

99.1 Press release dated October 31, 2017