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MIZUHO FINANCIAL GROUP INC Form 6-K February 14, 2017

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 6-K

### REPORT OF FOREIGN PRIVATE ISSUER

PURSUANT TO RULE 13a-16 OR 15d-16

### **UNDER THE SECURITIES EXCHANGE ACT OF 1934**

For the month of February 2017

**Commission File Number 001-33098** 

Mizuho Financial Group, Inc.

(Translation of registrant s name into English)

5-5, Otemachi 1-chome

Chiyoda-ku, Tokyo 100-8176

### Japan

(Address of principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F. Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934. Yes No

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If Yes is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b):82-\_\_\_\_\_.

THIS REPORT ON FORM 6-K SHALL BE DEEMED TO BE INCORPORATED BY REFERENCE INTO THE PROSPECTUS FORMING A PART OF MIZUHO FINANCIAL GROUP, INC. S REGISTRATION STATEMENT ON FORM F-3 (FILE NO. 333-213187) AND TO BE A PART OF SUCH PROSPECTUS FROM THE DATE ON WHICH THIS REPORT IS FURNISHED, TO THE EXTENT NOT SUPERSEDED BY DOCUMENTS OR REPORTS SUBSEQUENTLY FILED OR FURNISHED.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: February 14, 2017

Mizuho Financial Group, Inc.

By: /s/ Koichi Iida Name: Koichi Iida

Title: Managing Executive Officer / Group

**CFO** 

February 14, 2017

To whom it may concern:

Company Name: Mizuho Financial Group, Inc.
Representative: Yasuhiro Sato, President & CEO
Head Office: 1-5-5 Otemachi, Chiyoda-ku, Tokyo

Stock Code Number: 8411

(Tokyo Stock Exchange (First Section))

## Announcement regarding Capital Ratio as of December 31, 2016

Mizuho Financial Group, Inc. hereby announces Capital Ratio as of December 31, 2016, as shown in the appendix.

Contact:

Mizuho Financial Group, Inc.

Corporate Communications Department

**Public Relations Office** 

Tel. 81-3-5224-2026

# **Capital Ratio**

		Consolidated		(	%, Billions of year	1)
Mizuho Financial Group		As of			As of	
		December 31,		As of	September 30,	
	ational Standard	2016	Change	March 31, 2016	2016	
	Fotal Capital Ratio	15.45	0.04	15.41	15.84	
	Fier 1 Capital Ratio	12.59	(0.05)	12.64	12.94	
	Common Equity Tier 1					
_	al Ratio	10.76	0.26	10.50	10.98	
	Fotal Capital	9,991.2	352.5	9,638.6	9,767.8	
. ,	Fier 1 Capital	8,143.1	238.0	7,905.0	7,982.5	
	Common Equity Tier 1					
Capita		6,961.3	394.8	6,566.4	6,769.3	
	Risk weighted Assets	64,665.1	2,133.9	62,531.1	61,648.4	
(8)	Total Required Capital					
(7)X8	%	5,173.2	170.7	5,002.4	4,931.8	
		Consolidated				Non-Consolidated
Mizul	ho Bank	As of			As of	As of
		December 31,		As of	September 30,	December 31,
Intern	ational Standard	2016	Change	March 31, 2016	2016	2016
(1)	Total Capital Ratio	15.84	0.38	15.46	16.01	16.10
(2)	Fier 1 Capital Ratio	12.96	0.21	12.75	13.22	13.06
(3)	Common Equity Tier 1					
Capita	al Ratio	10.85	0.04	10.81	11.02	10.82
(4)	Fotal Capital	9,280.7	500.4	8,780.2	9,012.1	9,088.3
(5)	Fier 1 Capital	7,596.1	352.4	7,243.6	7,440.1	7,370.4
(6)	Common Equity Tier 1	·				
Capita		6,357.3	215.0	6,142.2	6,202.3	6,109.0
_	Risk weighted Assets	58,568.7	1,797.6	56,771.0	56,261.3	56,430.2
	Fotal Required Capital	,	ĺ	,	·	Ź
(7)X8		4,685.4	143.8	4,541.6	4,500.9	4,514.4
( )		,		,	,	,
		Consolidated				Non-Consolidated
Mizul	ho Trust & Banking	As of			As of	As of
	3	December 31,		As of	September 30,	December 31,
Intern	ational Standard	2016	Change	March 31, 2016	2016	2016
	Total Capital Ratio	19.96	0.44	19.52	19.95	20.13
	Fier 1 Capital Ratio	18.79	0.58	18.21	18.80	18.99
	Common Equity Tier 1				20.00	
	al Ratio	18.79	0.58	18.21	18.80	18.99
-	Fotal Capital	494.7	22.6	472.1	479.1	503.5
	Fier 1 Capital	465.8	25.4	440.4	451.6	475.2
	Common Equity Tier 1	10010	23.1	110.1	151.0	17012
Capita		465.8	25.4	440.4	451.6	475.2
_	Risk weighted Assets	2,478.8	60.6	2,418.1	2,401.3	2,501.3
	Weighted Hissels	2977000	50.0	2,710.1	2,701.3	2,501.5

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(8) Total Required Capital

(7)X8% **198.3** 4.8 193.4 192.1 **200.1**