

SCHWAB CHARLES CORP  
Form 8-K  
January 16, 2014

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): January 16, 2014**

**The Charles Schwab Corporation**  
**(Exact name of registrant as specified in its charter)**

**Commission File Number: 1-9700**

<b>Delaware</b>	<b>94-3025021</b>
<b>(State or other jurisdiction</b>	<b>(I.R.S. Employer</b>
<b>of incorporation)</b>	<b>Identification No.)</b>
<b>211 Main Street, San Francisco, CA 94105</b>	

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**(Address of principal executive offices, including zip code)**

**(415) 667-7000**

**(Registrant's telephone number, including area code)**

**N/A**

**(Former name or former address, if changed since last report)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 2.02 Results of Operations and Financial Condition**

On January 16, 2014, The Charles Schwab Corporation issued a press release announcing its financial results for the quarter ended December 31, 2013. A copy of the press release is furnished as Exhibit 99.1 to this report.

**Item 9.01 Financial Statements and Exhibits**

(d) Exhibits

99.1 Press Release dated January 16, 2014 ( Schwab Reports Fourth Quarter Net Income Up 51%  
Year-Over-Year 2013 Revenues Rise 11% to \$5.4 Billion Net Income Grows 15% Total Client Assets  
Reach a Record \$2.25 Trillion at Year-end, Up 15% )

**Signature(s)**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**THE CHARLES SCHWAB CORPORATION**

Date: January 16, 2014

By: /s/ Joseph R. Martinetto  
Joseph R. Martinetto  
Executive Vice President and Chief Financial  
Officer

**Exhibit Index**

<b>Exhibit No.</b>	<b>Description</b>
Ex 99.1	Press Release dated January 16, 2014 ( Schwab Reports Fourth Quarter Net Income Up 51% Year-Over-Year 2013 Revenues Rise 11% to \$5.4 Billion Net Income Grows 15% Total Client Assets Reach a Record \$2.25 Trillion at Year-end, Up 15% )