TETRAPHASE PHARMACEUTICALS INC Form 8-K November 08, 2013

## **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

## WASHINGTON, D.C. 20549

#### FORM 8-K

#### **CURRENT REPORT**

#### PURSUANT TO SECTION 13 OR 15(D)

## **OF THE SECURITIES EXCHANGE ACT OF 1934**

Date of Report (Date of earliest event reported): November 4, 2013

**Tetraphase Pharmaceuticals, Inc.** 

(Exact name of registrant as specified in charter)

Delaware (State or other jurisdiction of incorporation) 001-35837 (Commission File Number) 20-5276217 (IRS Employer Identification No.)

480 Arsenal Street, Suite 110,

#### Edgar Filing: TETRAPHASE PHARMACEUTICALS INC - Form 8-K

#### Watertown, Massachusetts (Address of principal executive offices) (Zip Code) Registrant s telephone number, including area code: (617) 715-3600

#### Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

### Item 2.02. Results of Operations and Financial Condition.

On November 4, 2013, Tetraphase Pharmaceuticals, Inc. (the Company ) filed with the Securities and Exchange Commission Amendment #1 to its Registration Statement on Form S-1 (File No. 333-191890) (the Registration Statement ), which contained preliminary estimates of certain of the Company s financial results for the fiscal quarter ended September 30, 2013 under the heading Prospectus Summary Recent Operating Results . The full text of the section of the Registration Statement containing the preliminary estimates is furnished as Exhibit 99.1 to this Current Report on Form 8-K.

The information in this Current Report on Form 8-K and Exhibit 99.1 attached hereto shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934 (the Exchange Act ) or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933 or the Exchange Act, except as expressly set forth by specific reference in such a filing.

#### Item 9.01. Financial Statements and Exhibits.

#### (d) Exhibits

The following exhibit relating to Item 2.02 shall be deemed to be furnished, and not filed:

99.1 Preliminary estimates of certain of the financial results of the registrant for the fiscal quarter ended September 30, 2013

## SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 8, 2013

By: /s/ David C. Lubner David C. Lubner Senior Vice President and Chief Financial Officer

# EXHIBIT INDEX

Exhibit

No.

# Description

99.1 Preliminary estimates of certain of the financial results of the registrant for the fiscal quarter ended September 30, 2013