

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

AMERIPRISE FINANCIAL INC
Form 13F-HR
May 14, 2012

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 13 (F) COVER PAGE

Report for the Calendar Year or Quarter Ended: March 31, 2012

Check here if Amendment ; Amendment Number:
This Amendment (Check only one.): is a restatement.
 adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Ameriprise Financial, Inc.
Address: 10460 Ameriprise Financial Center
Minneapolis, MN 55474-0000

Form 13F File Number: 28-139

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: David Stewart
Title: Sr. Vice President
Phone: 612-678-4769

Signature, Place, and Date of Signing:

/s/ David Stewart	Minneapolis, MN	April 17, 2012
-----	-----	-----
[Signature]	[City, State]	[Date]

Report Type (Check only one.):

13F HOLDINGS REPORT.
 13F NOTICE.
 13F COMBINATION REPORT.

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 8
Form 13F Information Table Entry Total: 7714

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

Form 13F Information Table Value Total: \$143533620 (thousands)

List of Other Included Managers:

No.	13F File Number	Name
01	28-139	Ameriprise Financial, Inc.
02	28-10477	Columbia Management Investment Advisers, LLC
03	28-1045	Ameriprise Trust Company
04	28-140	Ameriprise Certificate Company
05	28-143	RiverSource Life Insurance Company
06	28-1046	RiverSource Life Insurance Company of New York
10	28-10644	Threadneedle Asset Management Limited
16	28-14918	Ameriprise Financial Services, Inc.

Page: 1

FORM 13F INFORMATION TABLE

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE (x\$1000)	SHARES/ PRN AMT	SH/ PRN	PUT/ CALL	INVSTMT DSCRETN	O MA
AAC TECHNOLOGIES H-UNSPON AD	COM	000304105	0	10	SH		DEFINED	01 0
AAON INC	COM	000360206	2814	139390	SH		DEFINED	01 0
AAR CORP	COM	000361105	19691	1078968	SH		DEFINED	01 0
ABB LTD-SPON ADR	COM	000375204	52600	2577200	SH		DEFINED	01 0
ABB LTD-SPON ADR	COM	000375204	22	1062	SH		DEFINED	01 0
ABB LTD-SPON ADR	COM	000375204	29	1421	SH		DEFINED	01 1
ABSA GROUP LTD-SPON ADR	COM	00077C408	12	280	SH		DEFINED	01 0
ACCO BRANDS CORP	COM	00081T108	10330	832339	SH		DEFINED	01 0
ACCO BRANDS CORP	COM	00081T108	0	23	SH		DEFINED	01 1
VISTAPRINT NV	COM	000927226	9592	248248	SH		DEFINED	01 1
LYONDELLBASELL INDU-CL A	COM	000943499	3054	69973	SH		DEFINED	01 1
NIELSEN HOLDINGS BV	COM	000953847	51956	1722724	SH		DEFINED	01 1
ABM INDUSTRIES INC	COM	000957100	23147	952463	SH		DEFINED	01 0
YANDEX NV - A	COM	000980552	43	1600	SH		DEFINED	01 1
AEP INDUSTRIES INC	COM	001031103	20	587	SH		DEFINED	01 0
AFC ENTERPRISES	COM	00104Q107	29	1704	SH		DEFINED	01 0
AFLAC INC	COM	001055102	90379	1965184	SH		DEFINED	01 0
AFLAC INC	COM	001055102	680	14781	SH		DEFINED	01 0
AFLAC INC	COM	001055102	293	6375	SH		DEFINED	01 1
CHECK POINT SOFTWARE TECH	COM	001082411	470	7368	SH		DEFINED	01 1
RADWARE LTD	COM	001083476	67	1800	SH		DEFINED	01 1
AGCO CORP	COM	001084102	173448	3673991	SH		DEFINED	01 0
AGCO CORP	COM	001084102	7	150	SH		DEFINED	01 1
AGIC GLOBAL EQUITY &	COM	00119R108	3	176	SH		DEFINED	01 1
AGL ENERGY LTD-UNSP ADR	COM	001201102	2	130	SH		DEFINED	01 0
AGL RESOURCES INC	COM	001204106	4767	121553	SH		DEFINED	01 0
AGL RESOURCES INC	COM	001204106	145	3709	SH		DEFINED	01 0
AGL RESOURCES INC	COM	001204106	8	200	SH		DEFINED	01 1
AG MORTGAGE INVESTMENT TRUST	COM	001228105	12	600	SH		DEFINED	01 0
AH BELO CORP-A	COM	001282102	7	1270	SH		DEFINED	01 0
AES CORP	COM	00130H105	312399	23901650	SH		DEFINED	01 0
AES CORP	COM	00130H105	266	20353	SH		DEFINED	01 0
AES CORP	COM	00130H105	16	1216	SH		DEFINED	01 1

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

AIA GROUP LTD-SP ADR	COM	001317205	68	4651	SH	DEFINED	01	0
AK STEEL HOLDING CORP	COM	001547108	4501	595478	SH	DEFINED	01	0
ALPS ETF TRUST	COM	00162Q106	10	267	SH	DEFINED	01	1
ALPS ETF TRUST	COM	00162Q866	93	7612	SH	DEFINED	01	1
AMAG PHARMACEUTICALS INC	COM	00163U106	21	1293	SH	DEFINED	01	0
AMC NETWORKS INC-A	COM	00164V103	7307	163729	SH	DEFINED	01	0
AMG CAPITAL TRUST II	CONV PRF	00170F209	2536	59400	SH	DEFINED	01	0
AMN HEALTHCARE SERVICES INC	COM	001744101	2464	406293	SH	DEFINED	01	0
AMN HEALTHCARE SERVICES INC	COM	001744101	12	2050	SH	DEFINED	01	1
AMR CORP	COM	001765106	0	200	SH	DEFINED	01	0
AMR CORP PINES 7.875%	PRF	001765866	0	300	SH	DEFINED	01	1
AMP LIMITED-UNSPONSORED ADR	COM	0017EP103	79	4441	SH	DEFINED	01	0
ANC RENT CORP	COM	001813104	0	50	SH	DEFINED	01	1
AOL INC	COM	00184X105	5241	276279	SH	DEFINED	01	0
AOL INC	COM	00184X105	1	48	SH	DEFINED	01	1
AOT BEDDING SUPER HOLDINGS, A1	COM	001993930	0	13	SH	DEFINED	01	0
AT&T INC	COM	00206R102	781012	25008516	SH	DEFINED	01	0
AT&T INC	COM	00206R102	5981	191532	SH	DEFINED	01	0
AT&T INC	COM	00206R102	1823	58389	SH	DEFINED	01	1
AT&T INC	COM	00206R102	4148	132901	SH	DEFINED	01	1
ATMI INC	COM	00207R101	23667	1015688	SH	DEFINED	01	0
ATMI INC	COM	00207R101	8	350	SH	DEFINED	01	1
ATP OIL & GAS CORPORATION	COM	00208J108	23	3151	SH	DEFINED	01	0
ATP OIL & GAS CORPORATION	COM	00208J108	2	300	SH	DEFINED	01	1
ASX LTD-UNSPONSORED ADR	COM	00212E103	1	30	SH	DEFINED	01	0
AU OPTRONICS CORP-SPON ADR	COM	002255107	7	1643	SH	DEFINED	01	0
AMDOCS LTD	COM	002256908	1062	33620	SH	DEFINED	01	1
AVI BIOPHARMA INC	COM	002346104	13	8325	SH	DEFINED	01	0
AV HOMES INC	COM	00234P102	41	3326	SH	DEFINED	01	0
AVX CORP	COM	002444107	3	245	SH	DEFINED	01	0
AXT INC	COM	00246W103	15	2454	SH	DEFINED	01	0
AZZ INC	COM	002474104	3534	68438	SH	DEFINED	01	0
AARON'S INC	COM	002535300	48671	1879153	SH	DEFINED	01	0
ABAXIS INC	COM	002567105	3146	108001	SH	DEFINED	01	0
ABBOTT LABORATORIES	COM	002824100	568126	9269440	SH	DEFINED	01	0
ABBOTT LABORATORIES	COM	002824100	3048	49724	SH	DEFINED	01	0
ABBOTT LABORATORIES	COM	002824100	95	1546	SH	DEFINED	01	1
ABBOTT LABORATORIES	COM	002824100	4633	75921	SH	DEFINED	01	1
ABERCROMBIE & FITCH CO-CL A	COM	002896207	16255	327611	SH	DEFINED	01	0
ABERCROMBIE & FITCH CO-CL A	COM	002896207	134	2697	SH	DEFINED	01	0
ABERCROMBIE & FITCH CO-CL A	COM	002896207	8	167	SH	DEFINED	01	1
ABERDEEN ASIA PACIFIC	COM	003009107	153	20966	SH	DEFINED	01	1
ABERDEEN AUSTRALIA	COM	003011103	18	1710	SH	DEFINED	01	1
ABERDEEN GLOBAL INCOME	COM	003013109	6	417	SH	DEFINED	01	1
ABERDEEN CHILE FUND INC	COM	00301W105	42	2180	SH	DEFINED	01	1
ABERDEEN INDONESIA FUND	COM	00305P106	30	2340	SH	DEFINED	01	1
ABERDEEN LATIN AMERICA	COM	00306K106	29	815	SH	DEFINED	01	1
NOBLE CORP	COM	003334731	4083	108976	SH	DEFINED	01	1
ABERTIS INFRAESTR-UNSPON ADR	COM	003381100	10	1140	SH	DEFINED	01	0
ABIOMED INC	COM	003654100	450	20286	SH	DEFINED	01	0
ABIOMED INC	COM	003654100	7	330	SH	DEFINED	01	1
ABITIBIBOWATER INC	COM	003687209	17666	1237139	SH	DEFINED	01	0
ABOVENET INC	COM	00374N107	7462	90128	SH	DEFINED	01	0
ABRAXAS PETROLEUM CORP	COM	003830106	18	5829	SH	DEFINED	01	0
ABRAXAS PETROLEUM CORP	COM	003830106	16	5250	SH	DEFINED	01	1
ACACIA RESEARCH CORP	COM	003881307	20950	501937	SH	DEFINED	01	0
ACACIA RESEARCH CORP	COM	003881307	36	867	SH	DEFINED	01	0
WEATHERFORD INTL LTD	COM	003883839	52	3462	SH	DEFINED	01	1
ACADIA REALTY TRUST	COM	004239109	15037	667060	SH	DEFINED	01	0
ACCELRYX INC	COM	00430U103	12575	1575817	SH	DEFINED	01	0
ACCOR SA-UNSPON ADR	COM	00435F200	1	110	SH	DEFINED	01	0
ACCRETIVE HEALTH INC	COM	00438V103	53	2645	SH	DEFINED	01	0

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

ACCURAY INC	COM	004397105	31	4319	SH	DEFINED	01	0
ACCURAY INC	COM	004397105	1	150	SH	DEFINED	01	1
ACCURIDE CORP	COM	00439T206	86	9952	SH	DEFINED	01	0
ACE LTD	COM	004432874	1816	24808	SH	DEFINED	01	0
ACE LTD	COM	004432874	139168	1900949	SH	DEFINED	01	1
ACETO CORP	COM	004446100	257	27127	SH	DEFINED	01	0
ACHILLION PHARMACEUTICALS	COM	00448Q201	29	2979	SH	DEFINED	01	0
ACI WORLDWIDE INC	COM	004498101	14263	354223	SH	DEFINED	01	0
ACME PACKET INC	COM	004764106	74	2713	SH	DEFINED	01	0
ACME PACKET INC	COM	004764106	24	890	SH	DEFINED	01	0
ACME PACKET INC	COM	004764106	4	150	SH	DEFINED	01	1
TRANSOCEAN LTD	COM	004826551	1731	31641	SH	DEFINED	01	1

Page: 2

ACOM CO LTD-SPONSORED ADR	COM	004845202	13	2400	SH	DEFINED	01	0
ACORN ENERGY INC	COM	004848107	2446	225000	SH	DEFINED	01	0
ACORDA THERAPEUTICS INC	COM	00484M106	5914	222732	SH	DEFINED	01	0
ACTIVE POWER INC	COM	00504W100	4	5509	SH	DEFINED	01	0
ACTIVE NETWORK INC/THE	COM	00506D100	14	816	SH	DEFINED	01	0
ACTIVISION BLIZZARD INC	COM	00507V109	19776	1542279	SH	DEFINED	01	0
ACTIVISION BLIZZARD INC	COM	00507V109	34	2684	SH	DEFINED	01	1
ACTUATE CORP	COM	00508B102	20	3060	SH	DEFINED	01	0
ACTUANT CORP-A	COM	00508X203	25027	863289	SH	DEFINED	01	0
ACUITY BRANDS INC	COM	00508Y102	28212	449044	SH	DEFINED	01	0
ACUITY BRANDS INC	COM	00508Y102	34	546	SH	DEFINED	01	0
ACURA PHARMACEUTICALS INC	COM	00509L703	2	758	SH	DEFINED	01	0
ACXIOM CORP	COM	005125109	28299	1927601	SH	DEFINED	01	0
ADAMS EXPRESS COMPANY	COM	006212104	24	2180	SH	DEFINED	01	1
ADECCO SA-REG-UNSPON ADR	COM	006754204	37	1404	SH	DEFINED	01	0
ADECCO SA-REG-UNSPON ADR	COM	006754204	6	245	SH	DEFINED	01	1
ADELPHIA CONTINGENT VALUE	COM	00685R706	14	1410902	SH	DEFINED	01	0
ADELPHIA RECOVERY TRUST	COM	00685R870	0	575	SH	DEFINED	01	0
ADIDAS AG-SPONSORED ADR	COM	00687A107	43	1095	SH	DEFINED	01	0
ADMIRAL GROUP PLC-UNSPON ADR	COM	007192107	0	20	SH	DEFINED	01	0
ADMIRAL GROUP PLC-UNSPON ADR	COM	007192107	5	238	SH	DEFINED	01	1
ADOBE SYSTEMS INC	COM	00724F101	13517	394012	SH	DEFINED	01	0
ADOBE SYSTEMS INC	COM	00724F101	536	15619	SH	DEFINED	01	0
ADOBE SYSTEMS INC	COM	00724F101	3026	88200	SH	DEFINED	01	1
ADOBE SYSTEMS INC	COM	00724F101	15	433	SH	DEFINED	01	1
ADTRAN INC	COM	00738A106	20300	650740	SH	DEFINED	01	0
ADVANCE AMERICA CASH ADVANCE	COM	00739W107	52	5007	SH	DEFINED	01	0
ADVANCE AUTO PARTS INC	COM	00751Y106	27681	312531	SH	DEFINED	01	0
ADVANCE AUTO PARTS INC	COM	00751Y106	7050	79604	SH	DEFINED	01	0
ADVANCE AUTO PARTS INC	COM	00751Y106	89	1000	SH	DEFINED	01	0
ADVANCE AUTO PARTS INC	COM	00751Y106	240859	2719444	SH	DEFINED	01	1
ADVANCE AUTO PARTS INC	COM	00751Y106	10	115	SH	DEFINED	01	1
ADVANCED INFO SVCS-SPON ADR	COM	00753G103	4	766	SH	DEFINED	01	0
ADVANCED SEMICONDUCTOR E-ADR	COM	00756M404	11	2150	SH	DEFINED	01	0
ADVANSTAR COMMUNICATIONS INC	COM	00758R104	189	18911	SH	DEFINED	01	0
AEON COMPANY LTD- UNSPON ADR	COM	007627102	72	5426	SH	DEFINED	01	0
ADVANTEST CORP-ADR	COM	00762U200	2	130	SH	DEFINED	01	0
ADVISORY BOARD CO/THE	COM	00762W107	12410	140043	SH	DEFINED	01	0
ADVENT / CLAYMORE *	COM	007639107	8	1226	SH	DEFINED	01	1
ADVENT CLAYMORE CONV *	COM	00764C109	334	20508	SH	DEFINED	01	1

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

ADVANTAGE OIL & GAS LTD	COM	00765F101	2265	675900	SH	DEFINED	01	0
ADVANTAGE OIL & GAS LTD	COM	00765F101	7	2000	SH	DEFINED	01	1
AECOM TECHNOLOGY CORP	COM	00766T100	48487	2167464	SH	DEFINED	01	0
AEGERION PHARMACEUTICALS INC	COM	00767E102	8	589	SH	DEFINED	01	0
ADVISORS DISCIPLINED TR	COM	00769K593	51	5610	SH	DEFINED	01	1
ADVISORS DISCIPLINED TR	COM	00769Y296	47	5306	SH	DEFINED	01	1
AEGION CORP	COM	00770F104	29202	1637744	SH	DEFINED	01	0
AEGION CORP	COM	00770F104	1	55	SH	DEFINED	01	1
AEROFLEX HOLDING CORP	COM	007767106	17	1443	SH	DEFINED	01	0
AEROPOSTALE INC	COM	007865108	23454	1084791	SH	DEFINED	01	0
ADVANCED MICRO DEVICES	COM	007903107	592758	73909773	SH	DEFINED	01	0
ADVANCED MICRO DEVICES	COM	007903107	149	18554	SH	DEFINED	01	0
ADVANCED MICRO DEVICES	COM	007903107	1374	171309	SH	DEFINED	01	1
ADVANCED MICRO DEVICES	COM	007903107	4	500	SH	DEFINED	01	1
ADVANCED MICRO DEVICES INC	CONV BND	007903AL1	520	500000	PRN	DEFINED	01	0
AEGON N.V.-NY REG SHR	COM	007924103	22	4015	SH	DEFINED	01	0
AEGON N.V.-NY REG SHR	COM	007924103	3	453	SH	DEFINED	01	1
AEGON NV	PRF	007924301	46	1910	SH	DEFINED	01	1
AEGON NV PERPETUAL PFD	PRF	007924301	111	4607	SH	DEFINED	01	1
ADVANCED ENERGY INDUSTRIES	COM	007973100	2880	219588	SH	DEFINED	01	0
ADVENT SOFTWARE INC	COM	007974108	10984	429094	SH	DEFINED	01	0
AEROVIRONMENT INC	COM	008073108	2430	90662	SH	DEFINED	01	0
AES TIETE SA-ADR	COM	00808P207	2	150	SH	DEFINED	01	0
AETNA INC	COM	00817Y108	82186	1638442	SH	DEFINED	01	0
AETNA INC	COM	00817Y108	8178	163034	SH	DEFINED	01	0
AETNA INC	COM	00817Y108	556	11085	SH	DEFINED	01	0
AETNA INC	COM	00817Y108	47434	945639	SH	DEFINED	01	1
AETNA INC	COM	00817Y108	64	1268	SH	DEFINED	01	1
AFFILIATED MANAGERS GROUP	COM	008252108	61270	548008	SH	DEFINED	01	0
AFFILIATED MANAGERS GROUP	COM	008252108	91	813	SH	DEFINED	01	0
AFFILIATED MANAGERS GROUP	COM	008252108	11875	106197	SH	DEFINED	01	1
AFFILIATED MANAGERS GROUP	COM	008252108	12	105	SH	DEFINED	01	1
AFFILIATED MANAGERS GROUP INC	CONV BND	008252AL2	4334	3940000	PRN	DEFINED	01	0
AFFYMAX INC	COM	00826A109	25	2173	SH	DEFINED	01	0
AFFYMETRIX INC	COM	00826T108	1496	350638	SH	DEFINED	01	0
AFFYMETRIX INC	COM	00826T108	2	399	SH	DEFINED	01	1
AFRICAN BANK INVESTMENTS-ADR	COM	00828W109	5	200	SH	DEFINED	01	0
AGEAS -SPON ADR	COM	00844W109	7	2990	SH	DEFINED	01	0
AGILENT TECHNOLOGIES INC	COM	00846U101	291411	6547159	SH	DEFINED	01	0
AGILENT TECHNOLOGIES INC	COM	00846U101	576	12932	SH	DEFINED	01	0
AGILENT TECHNOLOGIES INC	COM	00846U101	138	3100	SH	DEFINED	01	1
AGILENT TECHNOLOGIES INC	COM	00846U101	100	2260	SH	DEFINED	01	1
AGNICO EAGLE MINES LTD	COM	008474108	3	100	SH	DEFINED	01	1
AGILYSYS INC	COM	00847J105	657	73172	SH	DEFINED	01	0
AGREE REALTY CORP	COM	008492100	35	1532	SH	DEFINED	01	0
AGRICULTURAL BANK-UNSPON ADR	COM	00850M102	10	929	SH	DEFINED	01	0
AIFUL CORP-UNSPON ADR	COM	00873N103	0	20	SH	DEFINED	01	0
AGRIUM INC	COM	008916108	8153	94409	SH	DEFINED	01	0
AGRIUM INC	COM	008916108	34	649	SH	DEFINED	01	1
AIR CHINA LTD-SPONSORED-ADR	COM	00910M100	4	311	SH	DEFINED	01	0
AIR FRANCE-KLM-ADR	COM	009119108	2490	436612	SH	DEFINED	01	0
AIR LIQUIDE-UNSPONSORED ADR	COM	009126202	120	4506	SH	DEFINED	01	0
AIR METHODS CORP	COM	009128307	58391	669263	SH	DEFINED	01	0
AIR LEASE CORP	COM	00912X302	0	10	SH	DEFINED	01	0
AIR LEASE CORP	COM	00912X302	53514	2224205	SH	DEFINED	01	1
AIR LEASE CORP	CONV BND	00912XAA2	8137	7460000	PRN	DEFINED	01	0
AIR PRODUCTS & CHEMICALS INC	COM	009158106	134552	1465553	SH	DEFINED	01	0
AIR PRODUCTS & CHEMICALS INC	COM	009158106	633	6896	SH	DEFINED	01	0
AIR PRODUCTS & CHEMICALS INC	COM	009158106	99744	1086202	SH	DEFINED	01	1
AIR PRODUCTS & CHEMICALS INC	COM	009158106	78	851	SH	DEFINED	01	1
AIR TRANSPORT SERVICES GROUP	COM	00922R105	21	3640	SH	DEFINED	01	0
AIRGAS INC	COM	009363102	10752	120854	SH	DEFINED	01	0

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

AIRGAS INC	COM	009363102	7511	84423	SH	DEFINED	01	0
AIRGAS INC	COM	009363102	194	2178	SH	DEFINED	01	0
AIRGAS INC	COM	009363102	151749	1705640	SH	DEFINED	01	1
AIRMEDIA GROUP INC-ADR	COM	009411109	0	20	SH	DEFINED	01	0

Page: 3

AIXTRON SE-SPONSORED ADR	COM	009606104	5	310	SH	DEFINED	01	0
AJINOMOTO INC -UNSPON ADR	COM	009707100	16	127	SH	DEFINED	01	0
AKBANK T.A.S.-ADR	COM	009719501	15	1966	SH	DEFINED	01	0
AKAMAI TECHNOLOGIES INC	COM	00971T101	2587	70481	SH	DEFINED	01	0
AKAMAI TECHNOLOGIES INC	COM	00971T101	206	5622	SH	DEFINED	01	0
AKAMAI TECHNOLOGIES INC	COM	00971T101	12	340	SH	DEFINED	01	1
AKORN INC	COM	009728106	23653	2021683	SH	DEFINED	01	0
AKORN INC	COM	009728106	12	990	SH	DEFINED	01	1
AKORN INC	CONV BND	009728AA4	2969	1960000	PRN	DEFINED	01	0
WARNER CHILCOTT PLC - CLASS A	COM	00B446CM7	5449	324149	SH	DEFINED	01	1
ACCENTURE PLC	COM	00B4BNMY3	5442	84377	SH	DEFINED	01	0
ACCENTURE PLC	COM	00B4BNMY3	110411	1711532	SH	DEFINED	01	1
WILLIS GROUP HOLDINGS PLC	COM	00B4XGY11	1612	46078	SH	DEFINED	01	0
WILLIS GROUP HOLDINGS PLC	COM	00B4XGY11	44701	1277516	SH	DEFINED	01	1
SEAGATE TECHNOLOGY	COM	00B58JVZ5	3298	122365	SH	DEFINED	01	0
COVIDIEN PLC	COM	00B68SQD2	124629	2280455	SH	DEFINED	01	1
DELPHI AUTOMOTIVE PLC	COM	00B783TY6	28057	888473	SH	DEFINED	01	1
TYCO INTERNATIONAL LTD	COM	010038348	261605	4655691	SH	DEFINED	01	1
ELECTROLUX AB -SPONS ADR B	COM	010198208	24	555	SH	DEFINED	01	0
AKZO NOBEL NV-SPON ADR	COM	010199305	132	6754	SH	DEFINED	01	0
AKZO NOBEL NV-SPON ADR	COM	010199305	18	893	SH	DEFINED	01	1
TE CONNECTIVITY LTD	COM	010299318	26430	719371	SH	DEFINED	01	1
ALAMO ENERGY CORP	COM	011295102	0	1000	SH	DEFINED	01	1
ALAMO GROUP INC	COM	011311107	45	1498	SH	DEFINED	01	0
ALASKA AIR GROUP INC	COM	011659109	25457	710654	SH	DEFINED	01	0
ALASKA AIR GROUP INC	COM	011659109	5070	141535	SH	DEFINED	01	1
ALASKA COMM SYSTEMS GROUP	COM	01167P101	14	4471	SH	DEFINED	01	0
ALBANY INTL CORP-CL A	COM	012348108	25937	1130167	SH	DEFINED	01	0
ALBANY MOLECULAR RESEARCH	COM	012423109	5	1575	SH	DEFINED	01	0
ALBEMARLE CORP	COM	012653101	79055	1236772	SH	DEFINED	01	0
ALBEMARLE CORP	COM	012653101	50	788	SH	DEFINED	01	0
ALBEMARLE CORP	COM	012653101	4	66	SH	DEFINED	01	1
ALCOA INC	COM	013817101	10314	1029249	SH	DEFINED	01	0
ALCOA INC	COM	013817101	338	33718	SH	DEFINED	01	0
ALCOA INC	COM	013817101	237	23662	SH	DEFINED	01	1
ALCATEL-LUCENT-SPONSORED ADR	COM	013904305	5	2040	SH	DEFINED	01	0
ALCATEL-LUCENT-SPONSORED ADR	COM	013904305	5	2392	SH	DEFINED	01	1
ALEXANDER & BALDWIN INC	COM	014482103	13649	281706	SH	DEFINED	01	0
ALERE INC	COM	01449J105	132	5056	SH	DEFINED	01	0
INVERNESS MEDICAL CONV BD	CONV BND	01449JAA3	10570	10530000	PRN	DEFINED	01	0
ALEXANDER'S INC	COM	014752109	72	183	SH	DEFINED	01	0
ALEXANDRIA REAL ESTATE EQUIT	COM	015271109	59168	809040	SH	DEFINED	01	0
ALEXANDRIA REAL ESTATE EQUITIE	CONV PRF	015271505	13900	523000	SH	DEFINED	01	0
ALEXION PHARMACEUTICALS INC	COM	015351109	280163	3017038	SH	DEFINED	01	0
ALEXION PHARMACEUTICALS INC	COM	015351109	1282	13813	SH	DEFINED	01	0
ALEXCO RESOURCE CORP	COM	01535P106	10	1500	SH	DEFINED	01	1
ALICO INC	COM	016230104	5	216	SH	DEFINED	01	0
ALIGN TECHNOLOGY INC	COM	016255101	31031	1126393	SH	DEFINED	01	0

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

ALIGN TECHNOLOGY INC	COM	016255101	46	1666	SH	DEFINED	01 0
ALIGN TECHNOLOGY INC	COM	016255101	6194	224816	SH	DEFINED	01 1
ALIMERA SCIENCES INC	COM	016259103	339	100196	SH	DEFINED	01 0
ALL AMERICA L-SPON ADR	COM	01643R507	9	1860	SH	DEFINED	01 0
ALL NIPPON AIRWAYS-SPONS ADR	COM	016630303	7	1110	SH	DEFINED	01 0
ALLEGHANY CORP	COM	017175100	32998	100270	SH	DEFINED	01 0
ALLEGHENY TECHNOLOGIES INC	COM	01741R102	17178	417255	SH	DEFINED	01 0
ALLEGHENY TECHNOLOGIES INC	COM	01741R102	139	3384	SH	DEFINED	01 0
ALLEGHENY TECHNOLOGIES INC	COM	01741R102	133	3251	SH	DEFINED	01 1
ALLEGIANANT TRAVEL CO	COM	01748X102	7090	130112	SH	DEFINED	01 0
ALLERGAN INC	COM	018490102	503999	5281398	SH	DEFINED	01 0
ALLERGAN INC	COM	018490102	2345	24571	SH	DEFINED	01 0
ALLERGAN INC	COM	018490102	183	1920	SH	DEFINED	01 1
ALLETE INC	COM	018522300	33018	795810	SH	DEFINED	01 0
ALLIANCE DATA SYSTEMS CORP	COM	018581108	120001	952689	SH	DEFINED	01 0
ALLIANCE DATA SYSTEMS CORP	COM	018581108	135	1072	SH	DEFINED	01 0
ALLIANCE DATA SYSTEMS CORP	COM	018581108	184695	1466294	SH	DEFINED	01 1
ALLIANCE DATA SYSTEMS CORP	COM	018581108	58	464	SH	DEFINED	01 1
ALLIANCE HEALTHCARE SERVICE	COM	018606202	2536	1689787	SH	DEFINED	01 0
ALLIANCE ONE INTERNATIONAL	COM	018772103	3933	1043075	SH	DEFINED	01 0
ALLIANCE ONE INTERNATIONAL INC	CONV BND	018772AQ6	247	250000	PRN	DEFINED	01 0
ALLIANCEBERNSTEIN GLOBAL	COM	01879R106	8	514	SH	DEFINED	01 1
ALLIANT ENERGY CORP	COM	018802108	13271	306333	SH	DEFINED	01 0
ALLIANT ENERGY CORP	COM	018802108	31	720	SH	DEFINED	01 1
ALLIANT TECHSYSTEMS INC	COM	018804104	7781	155215	SH	DEFINED	01 0
ALLIANT TECHSYSTEMS INC	COM	018804104	4	73	SH	DEFINED	01 1
ALLIANT TECHSYSTEMS INC	CONV BND	018804AK0	5355	5250000	PRN	DEFINED	01 0
ALLIANZ SE-ADR	COM	018805101	156	13090	SH	DEFINED	01 0
ALLIANZ SE PREFERRED	PRF	018805200	103	3987	SH	DEFINED	01 1
ALLIANCEBERNSTEIN *	COM	01881E101	12	1500	SH	DEFINED	01 1
ALLIANCEBERNSTEIN HOLDING LP	LP	01881G106	6	400	SH	DEFINED	01 0
ALLIED CAPITAL CORP	PRF	01903Q207	30	1231	SH	DEFINED	01 1
ALLIED CAPITAL CORP PFD	PRF	01903Q207	59	2417	SH	DEFINED	01 1
ALLIANCE FINANCIAL CORP	COM	019205103	11	350	SH	DEFINED	01 0
ALLIED IRISH BANKS-SPONS ADR	COM	019228808	1	915	SH	DEFINED	01 0
ALLIED NEVADA GOLD CORP	COM	019344100	160	4920	SH	DEFINED	01 0
ALLIED NEVADA GOLD CORP	COM	019344100	2	58	SH	DEFINED	01 1
ALLISON TRANSMISSION HOLDING	COM	01973R101	14870	622700	SH	DEFINED	01 0
ALLOS THERAPEUTICS INC	COM	019777101	8	5195	SH	DEFINED	01 0
ALLSCRIPTS HEALTHCARE SOLUTI	COM	01988P108	15241	918095	SH	DEFINED	01 0
ALLSTATE CORP	COM	020002101	123034	3736882	SH	DEFINED	01 0
ALLSTATE CORP	COM	020002101	573	17389	SH	DEFINED	01 0
ALLSTATE CORP	COM	020002101	3	92	SH	DEFINED	01 1
ALLY FINANCIAL INC	PRF	02005N308	9	400	SH	DEFINED	01 1
ALMOST FAMILY INC	COM	020409108	1070	41152	SH	DEFINED	01 0
ALNYLAM PHARMACEUTICALS INC	COM	02043Q107	30	2740	SH	DEFINED	01 0
ALNYLAM PHARMACEUTICALS INC	COM	02043Q107	11	1000	SH	DEFINED	01 1
ALON HOLDINGS BLUE SQ-ADR	COM	02051Q106	0	30	SH	DEFINED	01 0
ALON USA ENERGY INC	COM	020520102	14	1573	SH	DEFINED	01 0
ALPHA BANK AE-SPON ADR	COM	02071M101	0	1350	SH	DEFINED	01 0
ALPHA NATURAL RESOURCES INC	COM	02076X102	34379	2260226	SH	DEFINED	01 0
ALPHA NATURAL RESOURCES INC	COM	02076X102	162	10585	SH	DEFINED	01 0
ALPHA NATURAL RESOURCES INC	COM	02076X102	8	500	SH	DEFINED	01 1
ALPHATEC HOLDINGS INC	COM	02081G102	3890	1641150	SH	DEFINED	01 0
ALPINE GLOBAL PREMIER *	COM	02083A103	84	14079	SH	DEFINED	01 1
ALPINE TOTAL DYNAMIC	COM	021060108	199	41915	SH	DEFINED	01 1
ALSTOM SA-UNSPON ADR	COM	021244207	13	3382	SH	DEFINED	01 0
ALTERA CORP	COM	021441100	30266	760077	SH	DEFINED	01 0

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

ALTERA CORP	COM	021441100	406	10188	SH	DEFINED	01	0
ALTRA HOLDINGS INC	COM	02208R106	32	1642	SH	DEFINED	01	0
ALTRA HOLDINGS INC	CONV BND	02208RAC0	3464	3550000	PRN	DEFINED	01	0
ALTRIA GROUP INC	COM	02209S103	301024	9748366	SH	DEFINED	01	0
ALTRIA GROUP INC	COM	02209S103	1997	64678	SH	DEFINED	01	0
ALTRIA GROUP INC	COM	02209S103	9557	309584	SH	DEFINED	01	1
ALTRIA GROUP INC	COM	02209S103	5092	166060	SH	DEFINED	01	1
ALUMINA LTD-SPONSORED ADR	COM	022205108	6	1087	SH	DEFINED	01	0
ALUMINUM CORP OF CHINA-ADR	COM	022276109	12	1041	SH	DEFINED	01	0
AMADEUS IT HOLDING-UNSP ADR	COM	02263T104	4	200	SH	DEFINED	01	0
AMARANTH PARTNRS LLC	LP	023025992	743	548049	SH	DEFINED	01	0
AMARIN CORPORATION PLC -ADR	COM	023111206	17148	1514808	SH	DEFINED	01	0
AMARIN CORPORATION PLC -ADR	COM	023111206	35	3082	SH	DEFINED	01	0
AMAZON.COM INC	COM	023135106	560527	2767903	SH	DEFINED	01	0
AMAZON.COM INC	COM	023135106	4056	20026	SH	DEFINED	01	0
AMAZON.COM INC	COM	023135106	412	2032	SH	DEFINED	01	1
AMBAC FINANCIAL GROUP INC	COM	023139108	2	49110	SH	DEFINED	01	0
AMBAC FINANCIAL GROUP	PRF	023139504	3	800	SH	DEFINED	01	1
AMBAC FINANCIAL GROUP	PRF	023139603	3	880	SH	DEFINED	01	1
AMBASSADORS GROUP INC	COM	023177108	8	1432	SH	DEFINED	01	0
AMCOR LTD-SPONS ADR	COM	02341R302	43	1371	SH	DEFINED	01	0
AMCOL INTERNATIONAL CORP	COM	02341W103	3690	125156	SH	DEFINED	01	0
AMEDISYS INC	COM	023436108	2411	166781	SH	DEFINED	01	0
AMEDISYS INC	COM	023436108	2	140	SH	DEFINED	01	1
AMERCO	COM	023586100	2257	21395	SH	DEFINED	01	0
AMEREN CORPORATION	COM	023608102	60664	1861895	SH	DEFINED	01	0
AMEREN CORPORATION	COM	023608102	250	7664	SH	DEFINED	01	0
AMEREN CORPORATION	COM	023608102	6	182	SH	DEFINED	01	1
AMERESCO INC-CL A	COM	02361E108	39	2875	SH	DEFINED	01	0
AMERICA MOVIL-ADR SERIES L	COM	02364W105	54763	2205598	SH	DEFINED	01	0
AMERICA MOVIL-ADR SERIES L	COM	02364W105	75732	3050000	SH	DEFINED	01	1
AMERICA MOVIL-ADR SERIES L	COM	02364W105	45	1810	SH	DEFINED	01	1
AMERICA MOVIL-ADR SERIES A	COM	02364W204	2	86	SH	DEFINED	01	0
AMERICAN ASSETS TRUST INC	COM	024013104	23136	1014699	SH	DEFINED	01	0
AMERICAN AXLE & MFG HOLDINGS	COM	024061103	30135	2573424	SH	DEFINED	01	0
AMERICAN AXLE & MFG HOLDINGS	COM	024061103	15	1250	SH	DEFINED	01	1
AMERICAN CAMPUS COMMUNITIES	COM	024835100	43645	976018	SH	DEFINED	01	0
AMERICAN CAPITAL AGENCY CORP	COM	02503X105	3334	112820	SH	DEFINED	01	0
AMERICAN CAPITAL AGENCY CORP	COM	02503X105	193	7240	SH	DEFINED	01	1
AMERICAN CAPITAL LTD	COM	02503Y103	9151	1055374	SH	DEFINED	01	0
AMERICAN CAPITAL LTD	COM	02503Y103	8	917	SH	DEFINED	01	1
AMERICAN CAPITAL MORTGAGE IN	COM	02504A104	23	1069	SH	DEFINED	01	0
AMERICAN ELECTRIC POWER	COM	025537101	156151	4047479	SH	DEFINED	01	0
AMERICAN ELECTRIC POWER	COM	025537101	590	15299	SH	DEFINED	01	0
AMERICAN ELECTRIC POWER	COM	025537101	673	17442	SH	DEFINED	01	1
AMERICAN EAGLE OUTFITTERS	COM	02553E106	13258	771152	SH	DEFINED	01	0
AMERICAN EQUITY INVNT LIFE HL	COM	025676206	28724	2249446	SH	DEFINED	01	0
AMERICAN EXPRESS CO	COM	025816109	190730	3296489	SH	DEFINED	01	0
AMERICAN EXPRESS CO	COM	025816109	5350	92456	SH	DEFINED	01	0
AMERICAN EXPRESS CO	COM	025816109	1856	32079	SH	DEFINED	01	0
AMERICAN EXPRESS CO	COM	025816109	87768	1517147	SH	DEFINED	01	1
AMERICAN EXPRESS CO	COM	025816109	317	5476	SH	DEFINED	01	1
AMERICAN FINANCIAL GROUP INC	COM	025932104	9104	235950	SH	DEFINED	01	0
AMERICAN GREETINGS CORP-CL A	COM	026375105	11338	738936	SH	DEFINED	01	0
AMERICAN HOME MORTGAGE	COM	02660R107	0	200	SH	DEFINED	01	1
AMERICAN INDEPENDENCE	COM	026760405	0	41	SH	DEFINED	01	1

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

AMERICAN INTERNATIONAL-CW21	WT	026874156	123	11564	SH	DEFINED	01	0
AMERICAN INTERNATIONAL-CW21	WT	026874156	1	64	SH	DEFINED	01	1
AMERICAN INTERNATIONAL GROUP	COM	026874784	6046	196132	SH	DEFINED	01	0
AMERICAN INTERNATIONAL GROUP	COM	026874784	525	17016	SH	DEFINED	01	0
AMERICAN INTERNATIONAL GROUP	COM	026874784	7	237	SH	DEFINED	01	1
AMERICAN INTERNATIONAL	PRF	026874800	59	2500	SH	DEFINED	01	1
AMERICAN INTERNATIONAL	PRF	026874859	83	3300	SH	DEFINED	01	1
AMERICAN INTERNATIONAL	COM	026909408	0	250000	SH	DEFINED	01	1
ALLOT COMMUNICATIONS LTD	COM	027669605	2188	94100	SH	DEFINED	01	0
AMERICAN NATIONAL INSURANCE	COM	028591105	7091	97786	SH	DEFINED	01	0
AMERICAN ORIENTAL BIOENGINEER	COM	028731404	2	1790	SH	DEFINED	01	0
AMERICAN PETRO HUNTER	COM	028857100	0	1000	SH	DEFINED	01	1
AMERICAN PHONEMETER	COM	028870103	0	1000	SH	DEFINED	01	1
AMERICAN POWER CORP	COM	02906T107	0	290	SH	DEFINED	01	1
AMERICAN PUBLIC EDUCATION	COM	02913V103	3643	95848	SH	DEFINED	01	0
AMERICAN RAILCAR INDUSTRIES	COM	02916P103	32	1369	SH	DEFINED	01	0
AMERICAN RARE EARTHS &	COM	02916V100	0	10000	SH	DEFINED	01	1
AMERICAN REPROGRAPHICS CO	COM	029263100	16	2954	SH	DEFINED	01	0
AMERICAN SCIENCE & ENGINEERI	COM	029429107	22275	332257	SH	DEFINED	01	0
AMERICAN SHARED HOSP SVC	COM	029595105	1	150	SH	DEFINED	01	1
AMERICAN SOFTWARE INC-CL A	COM	029683109	3390	395012	SH	DEFINED	01	0
AMERICAN STATES WATER CO	COM	029899101	3499	96821	SH	DEFINED	01	0
AMERICAN SUPERCONDUCTOR CORP	COM	030111108	18	4545	SH	DEFINED	01	0
AMERICAN SUPERCONDUCTOR CORP	COM	030111108	0	100	SH	DEFINED	01	1
AMERICAN TOWER CORP	COM	03027X100	55227	876322	SH	DEFINED	01	0
AMERICAN TOWER CORP	COM	03027X100	784	12445	SH	DEFINED	01	0
AMERICAN TOWER CORP	COM	03027X100	23398	371341	SH	DEFINED	01	1
AMERICAN TOWER CORP	COM	03027X100	332	5394	SH	DEFINED	01	1
AMERICAN VANGUARD CORP	COM	030371108	3097	142780	SH	DEFINED	01	0
AMERICAN WATER WORKS CO INC	COM	030420103	876	25746	SH	DEFINED	01	0
AMERICAN WATER WORKS CO INC	COM	030420103	43	1261	SH	DEFINED	01	1
AMERICAN WOODMARK CORP	COM	030506109	10	573	SH	DEFINED	01	0
AMERICAN WOODMARK CORP	COM	030506109	4	200	SH	DEFINED	01	1
AMERICA'S CAR-MART INC	COM	03062T105	26	584	SH	DEFINED	01	0
AMERIGON INC	COM	03070L300	473	29265	SH	DEFINED	01	0
AMERISTAR CASINOS INC	COM	03070Q101	59	3144	SH	DEFINED	01	0
AMERISAFE INC	COM	03071H100	29139	1177807	SH	DEFINED	01	0
AMERISOURCEBERGEN CORP	COM	03073E105	252113	6353584	SH	DEFINED	01	0
AMERISOURCEBERGEN CORP	COM	03073E105	347	8734	SH	DEFINED	01	0
AMERIGROUP CORP	COM	03073T102	11269	167487	SH	DEFINED	01	0
AMERIGROUP CORP	COM	03073T102	4	65	SH	DEFINED	01	1
AMERIPRISE FINANCIAL INC	COM	03076C106	3589	62815	SH	DEFINED	01	0
AMERIPRISE FINANCIAL INC	COM	03076C106	401	7025	SH	DEFINED	01	0
AMERIPRISE FINANCIAL INC	COM	03076C106	78	1359	SH	DEFINED	01	1
AMERIS BANCORP	COM	03076K108	11924	907471	SH	DEFINED	01	0
AMES DEPARMENT STORES	COM	030789507	0	3000	SH	DEFINED	01	1
AMERIGAS PARTNERS LP	LP	030975106	81	2000	SH	DEFINED	01	1
AMES NATIONAL CORP	COM	031001100	12	507	SH	DEFINED	01	0
AMETEK INC	COM	031100100	93881	1935273	SH	DEFINED	01	0
AMETEK INC	COM	031100100	96	1972	SH	DEFINED	01	0

Page: 5

AMGEN INC	COM	031162100	241081	3545808	SH	DEFINED	01	0
AMGEN INC	COM	031162100	1702	25033	SH	DEFINED	01	0

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

AMGEN INC	COM	031162100	161428	2374984	SH	DEFINED	01	1
AMGEN INC	COM	031162100	145	2132	SH	DEFINED	01	1
AMICUS THERAPEUTICS INC	COM	03152W109	7	1294	SH	DEFINED	01	0
AMKOR TECHNOLOGY INC	COM	031652100	11148	1814315	SH	DEFINED	01	0
AMPCO-PITTSBURGH CORP	COM	032037103	10	521	SH	DEFINED	01	0
AMPHENOL CORP-CL A	COM	032095101	62902	1052383	SH	DEFINED	01	0
AMPHENOL CORP-CL A	COM	032095101	308	5161	SH	DEFINED	01	0
AMPHENOL CORP-CL A	COM	032095101	46741	781992	SH	DEFINED	01	1
AMPIO PHARMACEUTICALS INC	COM	03209T109	4	1311	SH	DEFINED	01	0
AMPLIPHI BIOSCIENCES	COM	03211P103	0	20	SH	DEFINED	01	1
AMSURG CORP	COM	03232P405	17508	625791	SH	DEFINED	01	0
AMTECH SYSTEMS INC	COM	032332504	5	585	SH	DEFINED	01	0
AMYLIN PHARMACEUTICALS INC	COM	032346108	569	22838	SH	DEFINED	01	0
AMYLIN PHARMACEUTICALS INC	CONV BND	032346AF5	297	300000	PRN	DEFINED	01	0
AMYLIN PHARMACEUTICALS INC	CONV BND	032346AF5	10	10000	PRN	DEFINED	01	1
AMTRUST FINANCIAL SERVICES	COM	032359309	15307	569448	SH	DEFINED	01	0
AMYRIS INC	COM	03236M101	10	1878	SH	DEFINED	01	0
ANACOR PHARMACEUTICALS INC	COM	032420101	4	744	SH	DEFINED	01	0
ANADARKO PETROLEUM CORP	COM	032511107	411764	5256089	SH	DEFINED	01	0
ANADARKO PETROLEUM CORP	COM	032511107	1314	16773	SH	DEFINED	01	0
ANADARKO PETROLEUM CORP	COM	032511107	23547	300620	SH	DEFINED	01	1
ANADARKO PETROLEUM CORP	COM	032511107	300	3824	SH	DEFINED	01	1
ANADIGICS INC	COM	032515108	13	5251	SH	DEFINED	01	0
ANALOG DEVICES INC	COM	032654105	32700	809373	SH	DEFINED	01	0
ANALOG DEVICES INC	COM	032654105	380	9416	SH	DEFINED	01	0
ANALOG DEVICES INC	COM	032654105	29	726	SH	DEFINED	01	1
ANALOGIC CORP	COM	032657207	47149	698068	SH	DEFINED	01	0
ANAREN INC	COM	032744104	6945	378430	SH	DEFINED	01	0
ANCESTRY.COM INC	COM	032803108	569	25045	SH	DEFINED	01	0
ANCESTRY.COM INC	COM	032803108	2	100	SH	DEFINED	01	1
ANDERSONS INC/THE	COM	034164103	33221	682300	SH	DEFINED	01	0
ANGIE'S LIST INC	COM	034754101	13	681	SH	DEFINED	01	0
ANGIODYNAMICS INC	COM	03475V101	6456	527038	SH	DEFINED	01	0
ANGLO AMERICAN PLC-UNSP ADR	COM	03485P201	438	23185	SH	DEFINED	01	0
ANGLO AMERICAN PL-UNSPON ADR	COM	03486T103	4	370	SH	DEFINED	01	0
ANGLOGOLD ASHANTI-SPON ADR	COM	035128206	2039	55225	SH	DEFINED	01	0
ANHUI CONCH CEMENT-H-UNS ADR	COM	035243104	1	70	SH	DEFINED	01	0
ANHEUSER-BUSCH INBEV SPN ADR	COM	03524A108	39002	536319	SH	DEFINED	01	0
ANHEUSER-BUSCH INBEV SPN ADR	COM	03524A108	39	539	SH	DEFINED	01	1
ANIXTER INTERNATIONAL INC	COM	035290105	59314	817793	SH	DEFINED	01	0
ANN INC	COM	035623107	3937	137426	SH	DEFINED	01	0
ANN INC	COM	035623107	57	2000	SH	DEFINED	01	1
ANNALY CAPITAL MANAGEMENT IN	COM	035710409	56097	3542836	SH	DEFINED	01	0
ANNALY CAPITAL MANAGEMENT IN	COM	035710409	1928	121870	SH	DEFINED	01	0
ANNALY CAPITAL MANAGEMENT IN	COM	035710409	10836	685328	SH	DEFINED	01	1
ANNALY CAPITAL MANAGEMENT IN	COM	035710409	3687	236786	SH	DEFINED	01	1
ANNIE'S INC	COM	03600T104	140	4000	SH	DEFINED	01	0
ANSYS INC	COM	03662Q105	71031	1092444	SH	DEFINED	01	0
ANTARES PHARMA INC	COM	036642106	511	158246	SH	DEFINED	01	0
ANTHERA PHARMACEUTICALS INC	COM	03674U102	379	171287	SH	DEFINED	01	0
ANTOFAGASTA PLC -SPON ADR	COM	037189107	17	470	SH	DEFINED	01	0
ANWORTH MORTGAGE ASSET CORP	COM	037347101	63	9563	SH	DEFINED	01	0
ANWORTH MORTGAGE ASSET CORP	COM	037347101	5	700	SH	DEFINED	01	1
AON CORP	COM	037389103	28026	571252	SH	DEFINED	01	0
AON CORP	COM	037389103	3787	77187	SH	DEFINED	01	0
AON CORP	COM	037389103	505	10295	SH	DEFINED	01	0
AON CORP	COM	037389103	26240	535282	SH	DEFINED	01	1
AON CORP	COM	037389103	29	600	SH	DEFINED	01	1
A123 SYSTEMS INC	COM	03739T108	7	6566	SH	DEFINED	01	0
APACHE CORP	COM	037411105	482818	4807048	SH	DEFINED	01	0
APACHE CORP	COM	037411105	1310	13040	SH	DEFINED	01	0
APACHE CORP	COM	037411105	53	532	SH	DEFINED	01	1

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

APACHE CORP	COM	037411105	329	3283	SH	DEFINED	01	1
APACHE CORP	CONV PRF	037411808	13678	246426	SH	DEFINED	01	0
APARTMENT INVT & MGMT CO -A	COM	03748R101	7887	298656	SH	DEFINED	01	0
APARTMENT INVT & MGMT CO -A	COM	03748R101	101	3825	SH	DEFINED	01	0
APARTMENT INVT & MGMT CO -A	COM	03748R101	65	2472	SH	DEFINED	01	1
APERAM-NY REGISTRY SHARES	COM	03754H104	13	728	SH	DEFINED	01	0
APOGEE ENTERPRISES INC	COM	037598109	1805	139409	SH	DEFINED	01	0
APOLLO GROUP INC-CL A	COM	037604105	94931	2456739	SH	DEFINED	01	0
APOLLO GROUP INC-CL A	COM	037604105	138	3567	SH	DEFINED	01	0
APOLLO GROUP INC-CL A	COM	037604105	3169	82054	SH	DEFINED	01	1
APOLLO GLOBAL MANAGEMENT - A	COM	037612306	28560	2000000	SH	DEFINED	01	0
APOLLO INVESTMENT CORP	COM	03761U106	42496	5926869	SH	DEFINED	01	0
APOLLO INVESTMENT CORP	CONV BND	03761UAE6	10290	10500000	PRN	DEFINED	01	0
APOLLO COMMERCIAL REAL ESTAT	COM	03762U105	21	1347	SH	DEFINED	01	0
APOLLO RESIDENTIAL MORTGAGE	COM	03763V102	11	611	SH	DEFINED	01	0
APPLE INC	COM	037833100	3087826	5150897	SH	DEFINED	01	0
APPLE INC	COM	037833100	15024	25062	SH	DEFINED	01	0
APPLE INC	COM	037833100	18081	30160	SH	DEFINED	01	0
APPLE INC	COM	037833100	844641	1409006	SH	DEFINED	01	1
APPLE INC	COM	037833100	8107	13371	SH	DEFINED	01	1
APPLIED ENERGETICS INC	COM	03819M106	0	14	SH	DEFINED	01	0
APPLIED INDUSTRIAL TECH INC	COM	03820C105	20936	509015	SH	DEFINED	01	0
APPLIED INDUSTRIAL TECH INC	COM	03820C105	24	576	SH	DEFINED	01	1
APPLIED MATERIALS INC	COM	038222105	22804	1833241	SH	DEFINED	01	0
APPLIED MATERIALS INC	COM	038222105	508	40826	SH	DEFINED	01	0
APPLIED MATERIALS INC	COM	038222105	76	6125	SH	DEFINED	01	1
APPLIED MICRO CIRCUITS CORP	COM	03822W406	48	6862	SH	DEFINED	01	0
APPLIED SOLAR INC	COM	038240107	0	850	SH	DEFINED	01	1
APTARGROUP INC	COM	038336103	12999	237350	SH	DEFINED	01	0
APPROACH RESOURCES INC	COM	03834A103	11417	308954	SH	DEFINED	01	0
AQUA AMERICA INC	COM	03836W103	8479	380383	SH	DEFINED	01	0
AQUA AMERICA INC	COM	03836W103	11	500	SH	DEFINED	01	1
AQUARIUS PLATINUM-SPON ADR	COM	03840M208	0	78	SH	DEFINED	01	0
ARADIGM CORP NEW	COM	038505301	0	125	SH	DEFINED	01	1
ARBITRON INC	COM	03875Q108	9857	266564	SH	DEFINED	01	0
ARBOR REALTY TRUST INC	COM	038923108	67	12000	SH	DEFINED	01	0
ARCADIS NV - NY REG	COM	03923E107	0	10	SH	DEFINED	01	0
ARCH COAL INC	COM	039380100	7333	684577	SH	DEFINED	01	0
ARCH COAL INC	COM	039380100	5	500	SH	DEFINED	01	1
ARCELORMITTAL-NY REGISTERED	COM	03938L104	110	5738	SH	DEFINED	01	0
ARCHER-DANIELS-MIDLAND CO	COM	039483102	14025	442997	SH	DEFINED	01	0
ARCHER-DANIELS-MIDLAND CO	COM	039483102	662	20911	SH	DEFINED	01	0

Page: 6

ARCHER-DANIELS-MIDLAND CO	COM	039483102	792	24117	SH	DEFINED	01	1
ARCHIPELAGO LEARNING INC	COM	03956P102	13	1138	SH	DEFINED	01	0
ARCTIC CAT INC	COM	039670104	14209	331774	SH	DEFINED	01	0
ARCTIC CAT INC	COM	039670104	32	737	SH	DEFINED	01	0
ARDEA BIOSCIENCES INC	COM	03969P107	9394	431717	SH	DEFINED	01	0
ARDEA BIOSCIENCES INC	COM	03969P107	17	793	SH	DEFINED	01	0
ARDEA BIOSCIENCES INC	COM	03969P107	10	440	SH	DEFINED	01	1
ARDEN GROUP INC-A	COM	039762109	16	179	SH	DEFINED	01	0
ARENA BRANDS INC	COM	03999M207	726	111111	SH	DEFINED	01	0
ARENA PHARMACEUTICALS INC	COM	040047102	43	14002	SH	DEFINED	01	0

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

ARGAN INC	COM	04010E109	10	581	SH	DEFINED	01 0
ARES CAPITAL CORP	COM	04010L103	9342	571493	SH	DEFINED	01 0
ARES CAPITAL CORP	COM	04010L103	2847	174880	SH	DEFINED	01 1
ARES CAPITAL CORP	CONV BND	04010LAC7	356	350000	PRN	DEFINED	01 0
ARES CAPITAL CORP	CONV BND	04010LAF0	5941	6000000	PRN	DEFINED	01 0
AREVA CIP-UNSPONSORED ADR	COM	04012G102	0	60	SH	DEFINED	01 0
ARIAD PHARMACEUTICALS INC	COM	04033A100	15534	973833	SH	DEFINED	01 0
ARIAD PHARMACEUTICALS INC	COM	04033A100	223	13975	SH	DEFINED	01 1
ARIBA INC	COM	04033V203	14453	441872	SH	DEFINED	01 0
ARIBA INC	COM	04033V203	4	112	SH	DEFINED	01 1
ARKANSAS BEST CORP	COM	040790107	2397	127416	SH	DEFINED	01 0
ARKEMA-SPONSORED ADR	COM	041232109	33	356	SH	DEFINED	01 0
ARLINGTON ASSET INVESTMENT-A	COM	041356205	287	12903	SH	DEFINED	01 0
ARM HOLDINGS PLC-SPONS ADR	COM	042068106	61	2143	SH	DEFINED	01 0
ARM HOLDINGS PLC-SPONS ADR	COM	042068106	65	2300	SH	DEFINED	01 1
ARMOUR RESIDENTIAL REIT INC	COM	042315101	511	75805	SH	DEFINED	01 0
ARMOUR RESIDENTIAL REIT INC	COM	042315101	5	700	SH	DEFINED	01 1
ARMSTRONG WORLD INDUSTRIES	COM	04247X102	64	1305	SH	DEFINED	01 0
AROTECH CORP NEW	COM	042682203	0	128	SH	DEFINED	01 1
ARQULE INC	COM	04269E107	2824	402779	SH	DEFINED	01 0
ARRIS GROUP INC	COM	04269Q100	8730	772476	SH	DEFINED	01 0
ARRAY BIOPHARMA INC	COM	04269X105	18	5150	SH	DEFINED	01 0
ARROW ELECTRONICS INC	COM	042735100	52248	1244882	SH	DEFINED	01 0
ARROW FINANCIAL CORP	COM	042744102	15	620	SH	DEFINED	01 0
ARTESIAN RESOURCES CORP-CL A	COM	043113208	19	1035	SH	DEFINED	01 0
ARTHROCARE CORP	COM	043136100	7705	286976	SH	DEFINED	01 0
ARTIO GLOBAL INVESTORS INC	COM	04315B107	22	4612	SH	DEFINED	01 0
ARUBA NETWORKS INC	COM	043176106	8088	363004	SH	DEFINED	01 0
ARUBA NETWORKS INC	COM	043176106	37	1650	SH	DEFINED	01 1
ARYZTA AG-UNSPON ADR	COM	04338X102	21	850	SH	DEFINED	01 0
ARYZTA AG-UNSPON ADR	COM	04338X102	10	401	SH	DEFINED	01 1
ASAHI GLASS CO LTD-UNSP ADR	COM	043393206	16	1901	SH	DEFINED	01 0
ASAHI KASEI CORP-UNSPON ADR	COM	043400100	13	1018	SH	DEFINED	01 0
ASBURY AUTOMOTIVE GROUP	COM	043436104	12668	469240	SH	DEFINED	01 0
ASBURY AUTOMOTIVE GROUP	COM	043436104	32	1192	SH	DEFINED	01 0
ASCENA RETAIL GROUP INC	COM	04351G101	17607	397240	SH	DEFINED	01 0
ASCENT CAPITAL GROUP INC-A	COM	043632108	2455	51894	SH	DEFINED	01 0
ASHFORD HOSPITALITY TRUST	COM	044103109	857	95150	SH	DEFINED	01 0
ASHLAND INC	COM	044209104	13659	223697	SH	DEFINED	01 0
ASHLAND INC	COM	044209104	79174	1297697	SH	DEFINED	01 1
ASHLAND INC	COM	044209104	6	95	SH	DEFINED	01 1
ASKMENOW INC	COM	045188109	0	100	SH	DEFINED	01 0
ASIAINFO-LINKAGE INC	COM	04518A104	1	100	SH	DEFINED	01 0
ASPEN TECHNOLOGY INC	COM	045327103	13320	648765	SH	DEFINED	01 0
ASPEN TECHNOLOGY INC	COM	045327103	36	1775	SH	DEFINED	01 0
ASSA ABLOY AB - UNSP ADR	COM	045387107	18	1150	SH	DEFINED	01 0
ASSISTED LIVING CONCEPTS I-A	COM	04544X300	1434	86354	SH	DEFINED	01 0
ASSOCIATED BANC-CORP	COM	045487105	14264	1021685	SH	DEFINED	01 0
ASSOC BRITISH FOODS-UNSP ADR	COM	045519402	39	2000	SH	DEFINED	01 0
ASSOCIATED ESTATES REALTY CP	COM	045604105	2698	165173	SH	DEFINED	01 0
ASSOCIATED ESTATES REALTY CP	COM	045604105	7	425	SH	DEFINED	01 1
AT HOME CORPORATION	CONV BND	045919AF4	0	4193138	PRN	DEFINED	01 0
ASSURANT INC	COM	04621X108	36418	899195	SH	DEFINED	01 0
ASSURANT INC	COM	04621X108	112	2764	SH	DEFINED	01 0
ASSURANT INC	COM	04621X108	2296	56716	SH	DEFINED	01 1
ASTEC INDUSTRIES INC	COM	046224101	29110	798008	SH	DEFINED	01 0
ASSURED GUARANTY MUNI	PRF	04623A205	3	120	SH	DEFINED	01 1
ASTELLAS PHARMA INC-UNSP ADR	COM	04623U102	115	2798	SH	DEFINED	01 0
ASTEX PHARMACEUTICALS	COM	04624B103	6	3456	SH	DEFINED	01 0
ASTORIA FINANCIAL CORP	COM	046265104	2478	251294	SH	DEFINED	01 0
ASTRAZENECA PLC-SPONS ADR	COM	046353108	4746	106679	SH	DEFINED	01 0
ASTRAZENECA PLC-SPONS ADR	COM	046353108	5178	116360	SH	DEFINED	01 1

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

ASTRAZENECA PLC-SPONS ADR	COM	046353108	107	2791	SH	DEFINED	01	1
ASTRONICS CORP	COM	046433108	31	878	SH	DEFINED	01	0
ASTRONICS CORP-CL B	COM	046433207	1	17	SH	DEFINED	01	0
ATHENAHEALTH INC	COM	04685W103	16070	216803	SH	DEFINED	01	0
ATLANTIA SPA-UNSPONSORED ADR	COM	048173108	6	670	SH	DEFINED	01	0
ATLANTIC POWER CORP	COM	04878Q863	96	6935	SH	DEFINED	01	0
ATLANTIC POWER CORP	COM	04878Q863	11	804	SH	DEFINED	01	1
ATLANTIC TELE-NETWORK INC	COM	049079205	4176	114868	SH	DEFINED	01	0
ATLAS AIR WORLDWIDE HOLDINGS	COM	049164205	18471	375394	SH	DEFINED	01	0
ATLAS COPCO AB-SPONS ADR A	COM	049255706	52	2142	SH	DEFINED	01	0
ATLAS COPCO AB-SPON ADR 'B'	COM	049255805	65	3041	SH	DEFINED	01	0
ATLAS ENERGY LP	LP	04930A104	6	188	SH	DEFINED	01	0
ATLAS ENERGY LP	LP	04930A104	41	1248	SH	DEFINED	01	1
ATLAS RESOURCE PARTNERS LP	LP	04941A101	1	18	SH	DEFINED	01	0
ATLAS RESOURCE PARTNERS LP	LP	04941A101	4	127	SH	DEFINED	01	1
ATEL CORP	COM	049513104	40975	4155703	SH	DEFINED	01	0
ATEL CORP	COM	049513104	12	1205	SH	DEFINED	01	1
ATMOS ENERGY CORP	COM	049560105	8414	267464	SH	DEFINED	01	0
ATMOSPHERIC GLOW TECHNOLOGIE	COM	049567100	0	125	SH	DEFINED	01	0
ATRICURE INC	COM	04963C209	9	858	SH	DEFINED	01	0
ATRION CORPORATION	COM	049904105	110	526	SH	DEFINED	01	0
ATWOOD OCEANICS INC	COM	050095108	30671	683285	SH	DEFINED	01	0
ATWOOD OCEANICS INC	COM	050095108	184	4100	SH	DEFINED	01	0
ATWOOD OCEANICS INC	COM	050095108	372	8300	SH	DEFINED	01	1
ATWOOD OCEANICS INC	COM	050095108	38	857	SH	DEFINED	01	1
AUBURN NATL BANCORPORATION	COM	050473107	1	30	SH	DEFINED	01	0
AUGUSTA RESOURCE CORP	COM	050912203	205	75000	SH	DEFINED	01	0
AURICO GOLD INC	COM	05155C105	2	224	SH	DEFINED	01	0
AURICO GOLD INC	COM	05155C105	59	6650	SH	DEFINED	01	1
AURIGA LABORATORIES INC	COM	05155L105	0	31000	SH	DEFINED	01	1
AUST & NZ BNKG GRP-SPON ADR	COM	052528304	163	6758	SH	DEFINED	01	0
AUST & NZ BNKG GRP-SPON ADR	COM	052528304	3	109	SH	DEFINED	01	1
AUTHENTEC INC	COM	052660107	1	250	SH	DEFINED	01	0
AUTODESK INC	COM	052769106	87178	2059896	SH	DEFINED	01	0

Page: 7

AUTODESK INC	COM	052769106	327	7723	SH	DEFINED	01	0
AUTOLIV INC	COM	052800109	13564	202291	SH	DEFINED	01	0
AUTOLIV INC	COM	052800109	43	636	SH	DEFINED	01	1
AUTOMATIC DATA PROCESSING	COM	053015103	98674	1787469	SH	DEFINED	01	0
AUTOMATIC DATA PROCESSING	COM	053015103	856	15519	SH	DEFINED	01	0
AUTOMATIC DATA PROCESSING	COM	053015103	2837	51400	SH	DEFINED	01	1
AUTOMATIC DATA PROCESSING	COM	053015103	1409	25467	SH	DEFINED	01	1
AUTONATION INC	COM	05329W102	1069	31168	SH	DEFINED	01	0
AUTONATION INC	COM	05329W102	49	1428	SH	DEFINED	01	0
AUTONAVI HOLDINGS LTD-ADR	COM	05330F106	2122	168984	SH	DEFINED	01	0
AUTOZONE INC	COM	053332102	160243	431006	SH	DEFINED	01	0
AUTOZONE INC	COM	053332102	341	918	SH	DEFINED	01	0
AUTOZONE INC	COM	053332102	1	3	SH	DEFINED	01	1
AUXILIUM PHARMACEUTICALS INC	COM	05334D107	4176	224910	SH	DEFINED	01	0
AVALONBAY COMMUNITIES INC	COM	053484101	52488	371264	SH	DEFINED	01	0
AVALONBAY COMMUNITIES INC	COM	053484101	425	3004	SH	DEFINED	01	0
AVALONBAY COMMUNITIES INC	COM	053484101	240	1696	SH	DEFINED	01	1
AVANIR PHARMACEUTICALS INC-A	COM	05348P401	29	8389	SH	DEFINED	01	0

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

AVATAR VENTURES CORP	COM	05350B100	1	3000	SH	DEFINED	01	1
AVENTINE RENEWABLE WTS	WT	05356X122	0	2	SH	DEFINED	01	1
AVEO PHARMACEUTICALS INC	COM	053588109	759	61185	SH	DEFINED	01	0
AVERY DENNISON CORP	COM	053611109	1212	40241	SH	DEFINED	01	0
AVERY DENNISON CORP	COM	053611109	102	3370	SH	DEFINED	01	0
AVIAT NETWORKS INC	COM	05366Y102	12	4243	SH	DEFINED	01	0
AVID TECHNOLOGY INC	COM	05367P100	3670	333522	SH	DEFINED	01	0
AVIS BUDGET GROUP INC	COM	053774105	14382	1016384	SH	DEFINED	01	0
AVIS BUDGET GROUP INC	COM	053774105	5565	393267	SH	DEFINED	01	1
AVIS BUDGET GROUP INC	COM	053774105	1	53	SH	DEFINED	01	1
AVIS BUDGET GROUP INC	CONV BND	053774AB1	6519	5560000	PRN	DEFINED	01	0
AVISTA CORP	COM	05379B107	42958	1679295	SH	DEFINED	01	0
AVNET INC	COM	053807103	207272	5695843	SH	DEFINED	01	0
AVNET INC	COM	053807103	378	10400	SH	DEFINED	01	1
AVNET INC	COM	053807103	141	3884	SH	DEFINED	01	1
AVIZA TECHNOLOGY INC	COM	05381A105	0	8	SH	DEFINED	01	1
AVIVA PLC - SPON ADR	COM	05382A104	56	5010	SH	DEFINED	01	0
AVON PRODUCTS INC	COM	054303102	3824	197500	SH	DEFINED	01	0
AVON PRODUCTS INC	COM	054303102	264	13617	SH	DEFINED	01	0
AVON PRODUCTS INC	COM	054303102	8	400	SH	DEFINED	01	1
AXA -SPONS ADR	COM	054536107	130	7883	SH	DEFINED	01	0
AXA -SPONS ADR	COM	054536107	0	24	SH	DEFINED	01	0
AXA -SPONS ADR	COM	054536107	4	220	SH	DEFINED	01	1
AXCELIS TECHNOLOGIES INC	COM	054540109	1433	833034	SH	DEFINED	01	0
BB&T CORP	COM	054937107	8963	285557	SH	DEFINED	01	0
BB&T CORP	COM	054937107	692	22043	SH	DEFINED	01	0
BB&T CORP	COM	054937107	131	4159	SH	DEFINED	01	1
B&G FOODS INC	COM	05508R106	38391	1705500	SH	DEFINED	01	0
B&G FOODS INC	COM	05508R106	5	233	SH	DEFINED	01	1
BAC CAPITAL TR I PFD	PRF	055187207	33	1318	SH	DEFINED	01	1
BAC CAPITAL TR II PFD	PRF	055188205	85	3400	SH	DEFINED	01	1
BAC CAPITAL TRUST X	PRF	055189203	18	737	SH	DEFINED	01	1
BANCAMERICA CAP TR III	PRF	05518E202	5	200	SH	DEFINED	01	1
BAE SYSTEMS PLC -SPON ADR	COM	05523R107	25	1318	SH	DEFINED	01	0
BASF SE-SPON ADR	COM	055262505	369	4220	SH	DEFINED	01	0
BASF SE-SPON ADR	COM	055262505	26	300	SH	DEFINED	01	1
BB LIQUIDATING INC CL A	COM	05532D107	0	10333	SH	DEFINED	01	1
BCD SEMICONDUCTOR MANUFA-ADR	COM	055347207	9234	1617229	SH	DEFINED	01	0
BCE INC	COM	05534B760	30221	754364	SH	DEFINED	01	0
BCE INC	COM	05534B760	2416	60997	SH	DEFINED	01	1
BGC PARTNERS INC-CL A	COM	05541T101	40	5493	SH	DEFINED	01	0
BGC PARTNERS INC-CL A	COM	05541T101	7	1000	SH	DEFINED	01	1
BG GROUP PLC-SPON ADR	COM	055434203	346	14972	SH	DEFINED	01	0
BG GROUP PLC-SPON ADR	COM	055434203	6	275	SH	DEFINED	01	1
BHP BILLITON PLC-ADR	COM	05545E209	369	6019	SH	DEFINED	01	0
BOK FINANCIAL CORPORATION	COM	05561Q201	38	677	SH	DEFINED	01	0
BP PLC-SPONS ADR	COM	055622104	15728	349514	SH	DEFINED	01	0
BP PLC-SPONS ADR	COM	055622104	353	7853	SH	DEFINED	01	1
BP PRUDHOE BAY ROYALTY TRUST	COM	055630107	2717	21718	SH	DEFINED	01	1
BP PRUDHOE BAY ROYALTY TRUST	COM	055630107	134	1075	SH	DEFINED	01	1
BPZ RESOURCES INC	COM	055639108	7845	1946559	SH	DEFINED	01	0
BRE PROPERTIES INC	COM	05564E106	12559	248444	SH	DEFINED	01	0
BNP PARIBAS-ADR	COM	05565A202	158	6694	SH	DEFINED	01	0
BNP PARIBAS-ADR	COM	05565A202	3	135	SH	DEFINED	01	1
BOFI HOLDING INC	COM	05566U108	155	9068	SH	DEFINED	01	0
BT GROUP PLC-SPON ADR	COM	05577E101	526	14537	SH	DEFINED	01	0
BT GROUP PLC-SPON ADR	COM	05577E101	3	81	SH	DEFINED	01	1
BMC SOFTWARE INC	COM	055921100	120353	2996866	SH	DEFINED	01	0
BMC SOFTWARE INC	COM	055921100	208	5186	SH	DEFINED	01	0
BMC SOFTWARE INC	COM	055921100	370	9223	SH	DEFINED	01	1
BTU INTERNATIONAL INC	COM	056032105	2063	668023	SH	DEFINED	01	0
BYD CO LTD-UNSPONSORED ADR	COM	05606L100	0	20	SH	DEFINED	01	0

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

BABCOCK & WILCOX CO/THE	COM	05615F102	34795	1351235	SH	DEFINED	01	0
BABCOCK & WILCOX CO/THE	COM	05615F102	5	196	SH	DEFINED	01	1
BAC CAPITAL TRUST XII	PRF	05633T209	5	200	SH	DEFINED	01	1
BACTERIN INTERNATIONAL HOLDI	COM	05644R101	4	1454	SH	DEFINED	01	0
BADGER METER INC	COM	056525108	2966	87307	SH	DEFINED	01	0
BADGER METER INC	COM	056525108	1	21	SH	DEFINED	01	1
BAIDU INC - SPON ADR	COM	056752108	828352	5682596	SH	DEFINED	01	0
BAIDU INC - SPON ADR	COM	056752108	21006	144103	SH	DEFINED	01	0
BAIDU INC - SPON ADR	COM	056752108	2319	15911	SH	DEFINED	01	0
BAIDU INC - SPON ADR	COM	056752108	25061	171931	SH	DEFINED	01	1
BAIDU INC - SPON ADR	COM	056752108	70	442	SH	DEFINED	01	1
MICHAEL BAKER CORP	COM	057149106	14	611	SH	DEFINED	01	0
BAKER HUGHES INC	COM	057224107	32505	775075	SH	DEFINED	01	0
BAKER HUGHES INC	COM	057224107	580	13834	SH	DEFINED	01	0
BAKER HUGHES INC	COM	057224107	19	463	SH	DEFINED	01	1
BAKER HUGHES INC	COM	057224107	98	2349	SH	DEFINED	01	1
BALCHEM CORP	COM	057665200	4794	158518	SH	DEFINED	01	0
BALDWIN & LYONS INC -CL B	COM	057755209	8806	393456	SH	DEFINED	01	0
BALL CORP	COM	058498106	3988	92987	SH	DEFINED	01	0
BALL CORP	COM	058498106	211	4931	SH	DEFINED	01	0
BALLANTYNE STRONG INC	COM	058516105	3	500	SH	DEFINED	01	1
BALLARD POWER SYSTEMS	COM	058586108	1	600	SH	DEFINED	01	1
BALLY TECHNOLOGIES INC	COM	05874B107	13460	287914	SH	DEFINED	01	0
BANCFIRST CORP	COM	05945F103	10785	247607	SH	DEFINED	01	0
BANCO BRADESCO-ADR	COM	059460303	44918	2566681	SH	DEFINED	01	0
BANCO BRADESCO-ADR	COM	059460303	47282	2703392	SH	DEFINED	01	1

Page: 8

BANCO BRADESCO-ADR	COM	059460303	1	57	SH	DEFINED	01	1
BANCO BILBAO VIZCAYA-SP ADR	COM	05946K101	154	19323	SH	DEFINED	01	0
BANCO BILBAO VIZCAYA-SP ADR	COM	05946K101	9	1168	SH	DEFINED	01	1
THE RAILWAY BUILDING AND LOAN	COM	05947D9J7	0	62	SH	DEFINED	01	0
EXCELSIOR LASALLE PROPERTY FUN	COM	05947T9I4	295	5386	SH	DEFINED	01	0
BANCO DE CHILE-ADR	COM	059520106	12	125	SH	DEFINED	01	0
BANCO DO BRASIL SA-SPON ADR	COM	059578104	50	3471	SH	DEFINED	01	0
BANCO ESPIRITO SANT-UNSP ADR	COM	059584102	6	3130	SH	DEFINED	01	0
BANCO MACRO SA-ADR	COM	05961W105	14	690	SH	DEFINED	01	0
BANCO POPOLARE SCARL-UNS ADR	COM	059633107	2	2420	SH	DEFINED	01	0
BANCO SANTANDER SA-SPON ADR	COM	05964H105	434	56675	SH	DEFINED	01	0
BANCO SANTANDER SA-SPON ADR	COM	05964H105	10	1268	SH	DEFINED	01	1
BANCO SANTANDER-CHILE-ADR	COM	05965X109	9025	104824	SH	DEFINED	01	0
BANCO SANTANDER BRASIL-ADS	COM	05967A107	1699	185181	SH	DEFINED	01	0
BANCO SANTANDER BRASIL-ADS	COM	05967A107	1684	183689	SH	DEFINED	01	1
BANCOLOMBIA S.A.-SPONS ADR	COM	05968L102	342	5285	SH	DEFINED	01	0
BANCOLOMBIA S.A.-SPONS ADR	COM	05968L102	11996	185522	SH	DEFINED	01	0
BANCOLOMBIA S.A.-SPONS ADR	COM	05968L102	19199	296965	SH	DEFINED	01	1
BANCORPSOUTH INC	COM	059692103	5246	389409	SH	DEFINED	01	0
BANCORP INC/THE	COM	05969A105	21	2087	SH	DEFINED	01	0
BHM TECHNOLOGIES LLC	COM	059998948	1	151041	SH	DEFINED	01	0
BANK OF AMERICA CORP	COM	060505104	416103	43480106	SH	DEFINED	01	0
BANK OF AMERICA CORP	COM	060505104	3460	361573	SH	DEFINED	01	0
BANK OF AMERICA CORP	COM	060505104	523	54674	SH	DEFINED	01	1
BANK AMER CORP	PRF	060505559	79	3063	SH	DEFINED	01	1
BANK OF AMERICA CORP	CONV PRF	060505682	18204	18600	SH	DEFINED	01	0

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

BANK OF AMERICA CORP	CONV PRF	060505682	59	60	SH	DEFINED	01	1
BANK OF AMERICA CORP	PRF	060505724	10	400	SH	DEFINED	01	1
BANK OF AMERICA CORP	PRF	060505740	23	909	SH	DEFINED	01	1
BANK OF AMERICA DEP SHS	PRF	060505765	13	518	SH	DEFINED	01	1
BANK EAST ASIA LTD-SPONS ADR	COM	061874103	7	1850	SH	DEFINED	01	0
BANK OF HAWAII CORP	COM	062540109	6811	140866	SH	DEFINED	01	0
BANK OF HAWAII CORP	COM	062540109	20	421	SH	DEFINED	01	1
BANK OF KENTUCKY FINL CORP	COM	062896105	9	352	SH	DEFINED	01	0
BANK OF MARIN BANCORP/CA	COM	063425102	12	325	SH	DEFINED	01	0
BANK OF MONTREAL	COM	063671101	34294	577139	SH	DEFINED	01	0
BANK MUTUAL CORP	COM	063750103	8653	2142248	SH	DEFINED	01	0
BANK OF THE OZARKS	COM	063904106	8554	273656	SH	DEFINED	01	0
BANK OF NEW YORK MELLON CORP	COM	064058100	116160	4813875	SH	DEFINED	01	0
BANK OF NEW YORK MELLON CORP	COM	064058100	919	38091	SH	DEFINED	01	0
BANK OF NEW YORK MELLON CORP	COM	064058100	21	850	SH	DEFINED	01	1
BANK OF NOVA SCOTIA	COM	064149107	60	1064	SH	DEFINED	01	0
BANK OF NOVA SCOTIA	COM	064149107	31	547	SH	DEFINED	01	1
BANK OF CHINA-UNSPN ADR	COM	06426M104	119	11778	SH	DEFINED	01	0
BANK OF YOKOHAMA-UNSPON ADR	COM	066011206	12	597	SH	DEFINED	01	0
BANKFINANCIAL CORP	COM	06643P104	6899	1042116	SH	DEFINED	01	0
BANKINTER SA-SPONS ADR	COM	066460304	7	1335	SH	DEFINED	01	0
BANKRATE INC	COM	06647F102	45057	1820417	SH	DEFINED	01	0
BANKRATE INC	COM	06647F102	32	1308	SH	DEFINED	01	0
BANKUNITED INC	COM	06652K103	20641	825717	SH	DEFINED	01	0
BANKUNITED INC	COM	06652K103	47	1859	SH	DEFINED	01	0
BANNER CORPORATION	COM	06652V208	150	6770	SH	DEFINED	01	0
BANNER CORPORATION	COM	06652V208	2	101	SH	DEFINED	01	1
CR BARD INC	COM	067383109	17903	181356	SH	DEFINED	01	0
CR BARD INC	COM	067383109	278	2823	SH	DEFINED	01	0
CR BARD INC	COM	067383109	2577	26100	SH	DEFINED	01	1
BARCLAYS BANK PLC IPATH	PRF	06738C778	244	6230	SH	DEFINED	01	1
BARCLAYS BANK PLC IPATH	PRF	06738C786	542	20959	SH	DEFINED	01	1
BARCLAYS BANK PLC IPATH	PRF	06738C794	99	2780	SH	DEFINED	01	1
BARCLAYS PLC-SPONS ADR	COM	06738E204	1852	122214	SH	DEFINED	01	0
BARCLAYS PLC-SPONS ADR	COM	06738E204	11	712	SH	DEFINED	01	1
BARCLAYS BANK PLC IPATH	PRF	06739F101	47	963	SH	DEFINED	01	1
BARCLAYS BANK PLC IPATH	PRF	06739H198	47	890	SH	DEFINED	01	1
BARCLAYS BANK PLC IPATH	PRF	06739H206	7	259	SH	DEFINED	01	1
BARCLAYS BANK PLC IPATH	PRF	06739H271	6	100	SH	DEFINED	01	1
BARCLAYS BANK PLC IPATH	PRF	06739H305	83	1750	SH	DEFINED	01	1
BARCLAYS BANK PLC ADR	PRF	06739H362	10	400	SH	DEFINED	01	1
BARCLAYS BANK PLC	PRF	06739H412	36	775	SH	DEFINED	01	1
BARCLAYS BANK PLC IPATH	PRF	06739H743	67	2380	SH	DEFINED	01	1
BARCLAYS BANK PLC IPATH	PRF	06739H750	4	225	SH	DEFINED	01	1
BARCLAYS BANK PLC	PRF	06740C261	292	17421	SH	DEFINED	01	1
iPath S&P 500 VIX Mid-Term Fut	COM	06740C519	474	10251	SH	DEFINED	01	0
BARCLAYS BANK PLC	PRF	06740C519	71	1448	SH	DEFINED	01	1
BARISTAS COFFEE COMPANY	COM	067594101	17	174434	SH	DEFINED	01	1
BARLOWORLD LTD-UNSPONS ADR	COM	067680207	21	1640	SH	DEFINED	01	0
BARNES & NOBLE INC	COM	067774109	1540	116289	SH	DEFINED	01	0
BARNES GROUP INC	COM	067806109	8970	340999	SH	DEFINED	01	0
BARNES GROUP INC	COM	067806109	7905	300120	SH	DEFINED	01	1
BARRICK GOLD CORP	COM	067901108	66335	1525646	SH	DEFINED	01	0
BARRICK GOLD CORP	COM	067901108	21	476	SH	DEFINED	01	0
BARRICK GOLD CORP	COM	067901108	262	7022	SH	DEFINED	01	1
BARRETT BUSINESS SVCS INC	COM	068463108	12	595	SH	DEFINED	01	0
BILL BARRETT CORP	COM	06846N104	16480	633562	SH	DEFINED	01	0
BARRY (R.G.)	COM	068798107	6	525	SH	DEFINED	01	0
BASIC ENERGY SERVICES INC	COM	06985P100	2940	169465	SH	DEFINED	01	0
BAXTER INTERNATIONAL INC	COM	071813109	201910	3377118	SH	DEFINED	01	0
BAXTER INTERNATIONAL INC	COM	071813109	1060	17728	SH	DEFINED	01	0
BAXTER INTERNATIONAL INC	COM	071813109	208	3485	SH	DEFINED	01	1

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

BAXTER INTERNATIONAL INC	COM	071813109	580	9208	SH	DEFINED	01	1
BAYER AG-SPONSORED ADR	COM	072730302	307	4366	SH	DEFINED	01	0
BAYER AG-SPONSORED ADR	COM	072730302	9	128	SH	DEFINED	01	1
BMW-UNSPONSORED ADR	COM	072743206	113	3789	SH	DEFINED	01	0
BAYTEX ENERGY CORP	COM	07317Q105	1780	34327	SH	DEFINED	01	1
BAZAARVOICE INC	COM	073271108	13	665	SH	DEFINED	01	0
BBCN BANCORP INC	COM	073295107	11808	1060848	SH	DEFINED	01	0
BBVA BANCO FRANCES SA-ADR	COM	07329M100	0	10	SH	DEFINED	01	0
BE AEROSPACE INC	COM	073302101	27235	586090	SH	DEFINED	01	0
BE AEROSPACE INC	COM	073302101	7386	159051	SH	DEFINED	01	1
BEACON ROOFING SUPPLY INC	COM	073685109	22008	854340	SH	DEFINED	01	0
BEAM INC	COM	073730103	27437	468433	SH	DEFINED	01	0
BEAM INC	COM	073730103	291	4964	SH	DEFINED	01	0
BEAM INC	COM	073730103	6	102	SH	DEFINED	01	1
BEAZER HOMES USA INC	COM	07556Q105	1749	538266	SH	DEFINED	01	0
BEBE STORES INC	COM	075571109	7462	808422	SH	DEFINED	01	0
BECTON DICKINSON AND CO	COM	075887109	24295	312881	SH	DEFINED	01	0
BECTON DICKINSON AND CO	COM	075887109	516	6642	SH	DEFINED	01	0

Page: 9

BECTON DICKINSON AND CO	COM	075887109	37	476	SH	DEFINED	01	1
BED BATH & BEYOND INC	COM	075896100	125536	1908715	SH	DEFINED	01	0
BED BATH & BEYOND INC	COM	075896100	532	8086	SH	DEFINED	01	0
BEIJING ENTERPRISES H-SP ADR	COM	07725Q200	5	80	SH	DEFINED	01	0
BEL FUSE INC-CL B	COM	077347300	1254	71006	SH	DEFINED	01	0
BELDEN INC	COM	077454106	68348	1802892	SH	DEFINED	01	0
BELGACOM SA-UNSPON ADR	COM	077701100	4	598	SH	DEFINED	01	0
BELLE INTERNAT-UNSPON ADR	COM	078454105	1	14	SH	DEFINED	01	0
BELO CORPORATION-A	COM	080555105	1863	259639	SH	DEFINED	01	0
BEMIS COMPANY	COM	081437105	4545	140798	SH	DEFINED	01	0
BEMIS COMPANY	COM	081437105	106	3270	SH	DEFINED	01	0
BEMIS COMPANY	COM	081437105	115	5514	SH	DEFINED	01	1
BENCHMARK ELECTRONICS INC	COM	08160H101	49648	3010969	SH	DEFINED	01	0
BENEFICIAL MUTUAL BANCORP IN	COM	08173R104	11350	1298543	SH	DEFINED	01	0
BENETTON GROUP SPA-SPONS ADR	COM	081795403	5	416	SH	DEFINED	01	0
BENGUET CORPORATION	COM	081851206	0	27	SH	DEFINED	01	1
BENIHANA INC	COM	082047101	8950	685858	SH	DEFINED	01	0
WR BERKLEY CORP	COM	084423102	28028	775930	SH	DEFINED	01	0
BERKSHIRE HATHAWAY INC-CL A	COM	084670108	4267	35	SH	DEFINED	01	0
BERKSHIRE HATHAWAY INC-CL B	COM	084670702	234209	2886127	SH	DEFINED	01	0
BERKSHIRE HATHAWAY INC-CL B	COM	084670702	4512	55598	SH	DEFINED	01	0
BERKSHIRE HATHAWAY INC-CL B	COM	084670702	8600	105965	SH	DEFINED	01	1
BERKSHIRE HATHAWAY INC-CL B	COM	084670702	1322	16295	SH	DEFINED	01	1
BERKSHIRE HILLS BANCORP INC	COM	084680107	10067	439186	SH	DEFINED	01	0
BERRY PETROLEUM CO-CLASS A	COM	085789105	13428	284930	SH	DEFINED	01	0
BEST BUY CO INC	COM	086516101	11886	501894	SH	DEFINED	01	0
BEST BUY CO INC	COM	086516101	226	9536	SH	DEFINED	01	0
BEST BUY CO INC	COM	086516101	21	883	SH	DEFINED	01	1
BHP BILLITON LTD-SPON ADR	COM	088606108	30160	416587	SH	DEFINED	01	0
BHP BILLITON LTD-SPON ADR	COM	088606108	429	5929	SH	DEFINED	01	1
BEZEQ THE ISRAELI-UNSPON ADR	COM	08861Q103	0	10	SH	DEFINED	01	0
BG MEDICINE INC	COM	08861T107	3	469	SH	DEFINED	01	0
BIDVEST GROUP LTD-SPONS ADR	COM	088836309	2	50	SH	DEFINED	01	0
BIG BEAR MINING CORP NEW	COM	08901P206	0	1000	SH	DEFINED	01	1

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

BIG 5 SPORTING GOODS CORP	COM	08915P101	851	108569	SH	DEFINED	01	0
BIG LOTS INC	COM	089302103	13282	308721	SH	DEFINED	01	0
BIG LOTS INC	COM	089302103	90	2084	SH	DEFINED	01	0
BIGLARI HOLDINGS INC	COM	08986R101	2910	7222	SH	DEFINED	01	0
BIO-RAD LABORATORIES-A	COM	090572207	7009	67590	SH	DEFINED	01	0
BIO-REFERENCE LABS INC	COM	09057G602	2907	123656	SH	DEFINED	01	0
BIOCRYST PHARMACEUTICALS INC	COM	09058V103	9	1767	SH	DEFINED	01	0
BIOCRYST PHARMACEUTICALS INC	COM	09058V103	199	41136	SH	DEFINED	01	1
BIOMARIN PHARMACEUTICAL INC	COM	09061G101	23747	693327	SH	DEFINED	01	0
BIOGEN IDEC INC	COM	09062X103	717642	5696825	SH	DEFINED	01	0
BIOGEN IDEC INC	COM	09062X103	2459	19524	SH	DEFINED	01	0
BIOGEN IDEC INC	COM	09062X103	27	214	SH	DEFINED	01	1
BIOMED REALTY TRUST INC	COM	09063H107	42430	2235517	SH	DEFINED	01	0
BIOMED REALTY TRUST *	PRF	09063H206	5	200	SH	DEFINED	01	1
BIOMIMETIC THERAPEUTICS INC	COM	09064X101	4	1413	SH	DEFINED	01	0
BIOSANTE PHARMACEUTICALS INC	COM	09065V203	6	7966	SH	DEFINED	01	0
BIOTIME INC	COM	09066L105	7	1599	SH	DEFINED	01	0
BIOSCRIP INC	COM	09069N108	18	2597	SH	DEFINED	01	0
BIOLASE TECHNOLOGY INC	COM	090911108	5	1940	SH	DEFINED	01	0
BIOSPECIFICS TECHNOLOGIES	COM	090931106	7	402	SH	DEFINED	01	0
BJ'S RESTAURANTS INC	COM	09180C106	32008	635743	SH	DEFINED	01	0
BJ'S RESTAURANTS INC	COM	09180C106	41	817	SH	DEFINED	01	0
BLACK BOX CORP	COM	091826107	2501	98043	SH	DEFINED	01	0
BLACK DIAMOND INC	COM	09202G101	11	1139	SH	DEFINED	01	0
BLACK HAWK EXPLORATION	COM	09206P107	0	1000	SH	DEFINED	01	1
BLACK HILLS CORP	COM	092113109	6425	191639	SH	DEFINED	01	0
BLACKBAUD INC	COM	09227Q100	19144	576112	SH	DEFINED	01	0
BLACKROCK INC	COM	09247X101	244775	1194633	SH	DEFINED	01	0
BLACKROCK INC	COM	09247X101	651	3176	SH	DEFINED	01	0
BLACKROCK INC	COM	09247X101	71	346	SH	DEFINED	01	1
BLACKROCK INC	COM	09247X101	961	4691	SH	DEFINED	01	1
BLACKROCK MUNICIPAL 2018	COM	09248C106	36	2170	SH	DEFINED	01	1
BLACKROCK MUNICIPAL	COM	09248H105	20	1346	SH	DEFINED	01	1
BLACKROCK NEW JERSEY	COM	09248J101	35	2250	SH	DEFINED	01	1
BLACKROCK HIGH YIELD	COM	09248N102	3	450	SH	DEFINED	01	1
BLACKROCK BUILD AMERICA	COM	09248X100	781	36429	SH	DEFINED	01	1
BLACKROCK CORE	COM	09249E101	49	3586	SH	DEFINED	01	1
BLACKROCK STRATEGIC BOND	COM	09249G106	6	393	SH	DEFINED	01	1
BLACKROCK NEW YORK	COM	09249P106	77	4864	SH	DEFINED	01	1
BLACKROCK LTD DURATION	COM	09249W101	81	4553	SH	DEFINED	01	1
BLACKROCK GLOBAL	COM	092501105	286	18634	SH	DEFINED	01	1
BLACKROCK CREDIT	COM	092508100	3	241	SH	DEFINED	01	1
BLACKROCK S&P QUALITY	COM	09250D109	45	3470	SH	DEFINED	01	1
BLACKROCK MUNICIPAL	COM	09250G102	123	8090	SH	DEFINED	01	1
BLACKROCK ENERGY	COM	09250U101	255	9286	SH	DEFINED	01	1
BLACKROCK ENHANCED	COM	09251A104	1086	140824	SH	DEFINED	01	1
BLACKROCK INTERNATIONAL	COM	092524107	22	2864	SH	DEFINED	01	1
BLACKROCK KELSO CAPITAL CORP	COM	092533108	67	6707	SH	DEFINED	01	0
BLACKROCK KELSO CAPITAL CORP	COM	092533108	127	12965	SH	DEFINED	01	1
BLACKROCK MUNIHOLDINGS	COM	09253N104	8	446	SH	DEFINED	01	1
BLACKROCK MUNIVEST	COM	09253R105	13	1231	SH	DEFINED	01	1
BLACKSTONE GROUP LP/THE	LP	09253U108	2529	158662	SH	DEFINED	01	0
BLACKSTONE GROUP LP/THE	LP	09253U108	196	12284	SH	DEFINED	01	0
BLACKSTONE GROUP LP/THE	LP	09253U108	132199	8303668	SH	DEFINED	01	1
BLACKSTONE GROUP LP/THE	LP	09253U108	16	1000	SH	DEFINED	01	1
BLACKROCK MUNICIPAL	COM	09253X102	69	4361	SH	DEFINED	01	1
BLACKROCK MUNIENHANCED	COM	09253Y100	32	2817	SH	DEFINED	01	1
BLACKROCK REAL ASSET	COM	09254B109	71	5883	SH	DEFINED	01	1
BLACKROCK MUNIYIELD	COM	09254E103	14	1000	SH	DEFINED	01	1
BLACKROCK MUNIHOLDINGS	COM	09254P108	175	11983	SH	DEFINED	01	1
BLACKROCK CREDIT	COM	09255H105	39	3679	SH	DEFINED	01	1
BLACKROCK CORP HIGH	COM	09255L106	29	3				