

American Water Works Company, Inc.  
Form DEFA14A  
April 02, 2012

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**SCHEDULE 14A**

**Proxy Statement Pursuant to Section 14(a) of  
the Securities Exchange Act of 1934**

Filed by the Registrant

Filed by a Party other than the Registrant

Check the appropriate box:

Preliminary Proxy Statement

**Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e) (2))**

Definitive Proxy Statement

Definitive Additional Materials

Soliciting Material Pursuant to §240.14a-12

**AMERICAN WATER WORKS COMPANY, INC.**

(Name of Registrant as Specified In Its Charter)

(Name of Person(s) Filing Proxy Statement if other than the Registrant)

Payment of Filing Fee (Check the appropriate box):

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x No fee required.

.. Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.

(1) Title of each class of securities to which transaction applies:

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(3) Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (set forth the amount on which the filing fee is calculated and state how it was determined):

(4) Proposed maximum aggregate value of transaction:

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.. Fee paid previously with preliminary materials.

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(1) Amount Previously Paid:

(2) Form, Schedule or Registration Statement No.:

(3) Filing Party:

(4) Date Filed:

**EXPLANATORY NOTE**

The following communications were released April 2, 2012, by American Water Works Company, Inc.:

1. Email transmitted to certain stockholders;
2. Webpage relating to Stockholder Forum; and
3. Message from Jeffrey E. Sterba, President and Chief Executive Officer, linked from Stockholder Forum webpage.

THIS NOTIFICATION is regarding your shares of AMERICAN WATER WORKS COMPANY, INC.

American Water has filed proxy materials relating to its 2012 Annual Meeting of Stockholders with the Securities and Exchange Commission.

You will have the option of participating in the 2012 Annual Meeting through an online Virtual Stockholder Meeting (VSM) feature, that will provide access to the meeting. In connection with the 2012 annual meeting, We or brokers holding our shares for customer accounts are sending materials that provide information as to how you can access the proxy materials on the Internet, or request paper or electronic copies of the proxy materials. The materials you receive also will include a Control Number unique to you.

You also may view our notice of annual meeting, proxy statement and other filings on the SEC's website, [www.sec.gov](http://www.sec.gov).

In conjunction with the annual meeting, American Water is hosting a related Investor Forum accessible on the Investor Relations page of the company website [www.amwater.com](http://www.amwater.com), or [www.virtualshareholdermeeting.com/awk](http://www.virtualshareholdermeeting.com/awk) - your unique Control Number gives you entry access to both VSM and Forum. The Forum is a virtual meeting place and a dedicated site for investors to voice their opinions on the proposals to be considered at the 2012 Annual Meeting, participate in a survey that will provide management with your feedback on company performance, and provide you with an opportunity to ask questions for consideration during or promptly after the 2012 Annual Meeting.

Also, the Forum gives you access to the online Virtual Annual Meeting, so that you can listen to the Chairman's report, hear the President's address and listen to questions and answers. Appropriate questions submitted ahead of time online but not answered during the meeting will be addressed within the next business day following the meeting. Making use of today's technology is part of our drive for fuller transparency and greater engagement with our stockholders, and underscores our commitment to sustainability.

**VOTE!**

If your shares are held through accounts with your broker, the broker will not be able to vote your shares on important matters, such as the election of directors, unless you provide voting instructions. Make sure every vote is counted - **PROVIDE VOTING INSTRUCTIONS TO YOUR BROKER** in advance of the meeting, and attend the meeting in person or online.

Mobile ProxyVote is a new convenient way for our stockholders to vote.

There is no software to download, no fee. Stockholders can access ProxyVote.com, the voting site from their mobile device, and cast their votes. Please read the proxy statement before voting.

If you would like to cancel your enrollment, or change your e-mail address or PIN, please go to <http://www.InvestorDelivery.com>. You will need the enrollment number below, and your four-digit PIN. If you have forgotten your PIN, you can have it sent to your enrolled e-mail address by going to <http://www.InvestorDelivery.com>.

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There are no charges for this service. There may be costs associated with electronic access, such as usage charges from Internet access providers and telephone companies, which must be borne by the stockholder.

Please do not send any e-mail to [ID@ProxyVote.com](mailto:ID@ProxyVote.com). Please REPLY to this email with any comments or questions about ProxyVote.com.

(Include the original text and Subject line of the message for identification purposes.)

AOL Users, please highlight the entire message before clicking reply.













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Hello I m Jeff Sterba, President and Chief Executive Officer of American Water

It is with great pleasure that American Water is sharing with you another solid year of measurable results. In 2011, American Water celebrated its 125<sup>th</sup> Anniversary and while we took time to reflect on our long history, we were keenly focused on our future. A company does not thrive for more than a century if it does not continuously look to improve.

This commitment to continuous improvement can be seen in the solid year-over-year increases we achieved in revenue, net income and earnings per share. It is also demonstrated by the continuation of consecutive dividend payments to our stockholders, which have increased 5 percent yearly since our 2008 IPO, and by delivering a total shareholder return of more than 30 percent for 2011.

Our commitment to continuous improvement in all facets of our business is what drove our success in 2011 and will continue to drive results in 2012. This commitment is reflected in our efforts to enhance how and where we work. During the past two years, we have been proactively implementing initiatives to optimize our

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portfolio of businesses and to ensure we're operating in areas where we can best serve customers, meet business objectives and create value for our stockholders. This includes expanding in Missouri and New York, while divesting of regulated operations in Arizona, New Mexico and Ohio. Like our growth in Missouri, expanding in New York allows us to capitalize on economies of scale and provides a platform for growth in areas north of New York City. It also makes us the largest investor-owned water utility in that state. In our Market-Based operations, we continued to expand the offerings in our Homeowner Services business and look for opportunities to increase our contracts with the military. We also divested smaller wastewater contracts that really did not add value to our overall portfolio of businesses.

Our commitment to increased excellence is demonstrated by our continued investment in aging infrastructure, approximately \$925 million in 2011, to help us ensure the quality and reliability of the service we provide is up to what our customers expect. Several major reports were released in 2011 detailing what this country faces when it comes to addressing water and wastewater system challenges. American Water has taken a lead position not only in trying to raise awareness of this issue, but in

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working with our regulators to find the best way to address these and other challenges like declining residential usage. Our goal is always to balance the need for making investments and receiving timely recovery of those expenditures while taking customer impact into account. Working with our regulators to allow surcharges and other recovery mechanisms that expedite needed investments helps us avoid larger rate requests down the road. American Water also continues to help troubled water systems located near our regulated footprint as well as explore other opportunities to partner with communities facing serious water and wastewater challenges.

Our focus on how we work has also driven innovation at American Water. We introduced industry-leading innovations that range from smarter energy management to inventing a more sustainable wastewater treatment method. American Water is the first U.S. water utility to use Smart Grid® technology, which innovatively manages the way our treatment plants and pumps use electrical power. A successful pilot program in Pennsylvania offset 2 to 3 percent of the site's total energy bill and has led to opportunities at other large treatment facilities throughout our footprint. In 2011, American Water was also awarded a U.S. patent for a

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more affordable and sustainable method to remove nutrients from wastewater. The new patented process creates savings in both energy about 50 per cent and chemicals.

We began 2011 by celebrating our past, but our efforts and energy were clearly directed towards our future. We are excited about what we know we can achieve and hope that you are too.

Thank you for your interest in American Water.