

NEUBERGER BERMAN INC  
Form 8-K  
May 01, 2003

---

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15 (d) of the**  
**Securities Exchange Act of 1934**

**DATE OF REPORT** (Date of earliest event reported): April 29, 2003

**NEUBERGER BERMAN INC.**

(Exact name of registrant as specified in its charter)

**COMMISSION FILE NUMBER** 001-15361

**DELAWARE**  
(State or other jurisdiction of  
incorporation or organization)

**06-1523639**  
(I.R.S. Employer  
Identification No.)

**605 Third Avenue**  
**New York, NY 10158**

Edgar Filing: NEUBERGER BERMAN INC - Form 8-K

(Address of principal executive offices)

(212) 476-9000

(Registrant's telephone number, including area code)

---

---

**ITEM 5. OTHER EVENTS**

On April 29, 2003, Neuberger Berman Inc. (the Corporation), announced that its Board of Directors declared a cash dividend with respect to the first quarter 2003 in the amount of \$0.075 per share. The dividend will be payable on May 21, 2003, to stockholders of record at the close of business on May 9, 2003.

A copy of the press release issued by the Corporation is attached as Exhibit 99.1 and incorporated herein by reference.

---

**ITEM 7. FINANCIAL STATEMENTS AND EXHIBITS**

(c) Exhibits

Exhibit No.	Document
Additional Exhibits	
99.1	Press release issued by the Corporation on April 29, 2003, with respect to the declaration of its first quarter 2003 cash dividend.
99.2	Press release issued by the Corporation on April 29, 2003, with respect to the Corporation's results of operations for the first quarter ended March 31, 2003.
99.3	Unaudited Condensed Consolidated Statements of Income for the quarter ended March 31, 2003, and certain supplemental quarterly data with respect thereto.

---

**ITEM 9. REGULATION FD DISCLOSURE (Including Information Being Provided under Item 12)**

On April 29, 2003, the Corporation announced its results of operations for the quarter ended March 31, 2003. A copy of the related press release is filed as Exhibit 99.2 to this Current Report on Form 8-K and is incorporated herein by reference.

In addition, in conjunction with the announcement of its results of operations, the Corporation distributed additional financial information, including unaudited Condensed Consolidated Statements of Income for the quarter ended March 31, 2003, and certain supplemental quarterly data with respect thereto, all of which are filed as Exhibit 99.3 hereto and incorporated herein by reference.

---

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

NEUBERGER BERMAN INC.  
(Registrant)

Date: May 1, 2003

By:

/s/ MATTHEW S. STADLER

---

Matthew S. Stadler  
Chief Financial Officer

---

**EXHIBIT INDEX**

- 99.1 Press release issued by Neuberger Berman Inc. on April 29, 2003, with respect to the declaration of its first quarter 2003 cash dividend.
- 99.2 Press release issued by Neuberger Berman Inc. on April 29, 2003, reporting its results of operations for the first quarter

ended March 31, 2003.

- 99.3 Unaudited Condensed Consolidated Statements of Income for the first quarter ended March 31,2003, and certain supplemental quarterly data with respect thereto.