Coleman Thomas Randal Form 3 February 15, 2013

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SCOTTS MIRACLE-GRO CO [SMG] A Coleman Thomas Randal (Month/Day/Year) 02/08/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O THE SCOTTS (Check all applicable) **MIRACLE-GRO** COMPANY. 14111 10% Owner Director SCOTTSLAWN ROAD \_X\_\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Interim Principal Fin Officer Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person MARYSVILLE, OHÂ 43041 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (Instr. 5) Â Common Shares 3,120 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

6. Nature of Indirect 3. Title and Amount of 1. Title of Derivative Security 2. Date Exercisable and 5. **Expiration Date** Securities Underlying Beneficial (Instr. 4) Conversion Ownership (Month/Day/Year) Derivative Security or Exercise Form of Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Stock Option (right to buy)	10/08/2011	10/05/2018	Common Shares	15,500	\$ 21.65	D	Â
Stock Option (right to buy)	01/20/2013	01/17/2020	Common Shares	9,500	\$ 41.62	D	Â
Stock Option (right to buy)	01/21/2014	01/20/2021	Common Shares	7,700	\$ 51.73	D	Â
Stock Option (right to buy)	01/20/2015	01/19/2022	Common Shares	11,155	\$ 47.66	D	Â
Restricted Stock Units	(1)	(1)	Common Shares	1,500	\$ <u>(4)</u>	D	Â
Restricted Stock Units	(2)	(2)	Common Shares	2,568	\$ <u>(4)</u>	D	Â
Restricted Stock Units	(3)	(3)	Common Shares	8,323	\$ <u>(4)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Coleman Thomas Randal C/O THE SCOTTS MIRACLE-GRO COMPANY 14111 SCOTTSLAWN ROAD MARYSVILLE, OH 43041	Â	Â	Interim Principal Fin Officer	Â	
Signatures					
Kathy L. Uttley as attorney-in-fact for Thomas Randal Coleman		02/15/2013			

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The restricted stock units are scheduled to vest on January 21, 2014. Subject to the terms of The Scotts Miracle-Gro Company Long-Term Incentive Plan (formerly known as The Scotts Miracle-Gro Company Amended and Restated 2006 Long-Term Incentive Plan) (the **(1)** "LTIP"), vested restricted stock units will be settled in common shares of the Issuer ("Common Shares") as soon as administratively practicable, but no later than 90 days, following vesting.
- The restricted stock units are scheduled to vest on January 20, 2015. Subject to the terms of the LTIP, vested restricted stock units will be settled in Common Shares as soon as administratively practicable, but no later than 90 days, following vesting.
- The restricted stock units are scheduled to vest on January 18, 2016. Subject to the terms of the LTIP, vested restricted stock units will be settled in Common Shares as soon as administratively practicable, but no later than 90 days, following vesting.

Reporting Owners 2

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The restricted stock units were granted, together with an equal number of related dividend equivalents, under the LTIP, and subject to the terms and conditions of an individual award agreement. Each whole restricted stock unit represents a contingent right to receive one

(4) Common Share. Each dividend equivalent represents the right to receive cash in respect of dividends that are declared and paid during the period beginning on the grant date and ending on the settlement date with respect to the Common Shares represented by the related restricted stock unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.