Edgar Filing: RR Donnelley & Sons Co - Form 4

| | ey & Sons Co | | | | | | | | |
|--|---|--|--|---|---|---|---|--|--|
| Form 4 May 21, 201 | 3 | | | | | | | | |
| • | | | | | | OMB APPROVAL | | | |
| FORM | UNITED 5 | | S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | 3235-0287 | | |
| Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont <i>See</i> Instru 1(b). | ger 5 66. 5 7 Filed purs ns 5 Section 17(a) | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940 | | | | | | | |
| (Print or Type I | Responses) | | | | | | | | |
| | Address of Reporting P L OLIVER R | Symbol | r Name and Ticker nnelley & Sons | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| (Last) | (First) (M | (Middle) 3. Date of Earliest Transaction | | | (Check | (Check all applicable) | | | |
| | ONNELLEY, COR SOUTH WACKER | P 05/20/2 | Day/Year) 013 | X Director Officer (give t below) | title 10% below) | Owner r (specify | | | |
| | | | endment, Date Orig nth/Day/Year) | Applicable Line) | 6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person | | | | |
| CHICAGO, | IL 60606 | | | | | Form filed by More than One Reporting Person | | | |
| (City) | (State) (Z | Zip) Tab | le I - Non-Derivati | ve Securities A | cquired, Disposed of | , or Beneficiall | v Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed | 3. 4. Sec Transaction(A) or | Eurities Acquired Disposed of (E 3, 4 and 5) (A) | d 5. Amount of) Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock (withheld for tax liability) | 05/20/2013 | | Code V Amou $F(\underline{1})$ 2,70 | \$ | 68,247.5655 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 5 | ate | Under Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|----------------|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Other

Reporting Owners

| | | Relationships | | | | | |
|-------------|--------------|---------------------------------------|--|--|--|--|--|
| ctor 10% Ov | wner Officer | (| | | | | |
| ζ | | | | | | | |
| | | | | | | | |
| 05/21/2013 | | | | | | | |
| | Date | | | | | | |
| 05/21/2013 | | | | | | | |
| | Date | | | | | | |
| | ζ 05. | X 05/21/2013 Date 05/21/2013 | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were withheld as payment of a tax liability incident to vesting of restricted stock units issued in accordance with Rule 16b-3.
- (2) Includes 16,329 shares owned directly and 51,918.5655 restricted stock units. A fractional share of the vesting restricted stock units was paid in cash upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.