

Joy Catherine Frazier  
 Form 4  
 April 12, 2013

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Joy Catherine Frazier

2. Issuer Name and Ticker or Trading Symbol  
 BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
 12/18/2012

\_\_\_\_ Director  10% Owner  
 \_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

850 DIXIE HIGHWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

LOUISVILLE, KY 40210

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction(A) or Disposed of Code (Instr. 8) |   |        |            | 4. Securities Acquired or Disposed of (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned or Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--|---|--------|------------|---|--|--|-----------------------------------|
|                                 |                                      |  | Code   | V | Amount | (A) or (D) |   |  |  |                                   |
| Class A Common                  | 12/18/2012                           |  | G  | V | 10,000 | A          | \$ 0  | 10,000   | I  | Alexander Joy                     |
| Class A Common                  | 12/18/2012                           |  | G  | V | 5,000  | A          | \$ 0  | 5,000  | I  | Christopher Joy                   |
| Class A Common                  | 12/18/2012                           |  | G  | V | 22,000 | A          | \$ 0  | 22,000   | I  | Caitlin Joy                       |
| Class A Common                  | 12/18/2012                           |  | G  | V | 16,000 | A          | \$ 0  | 16,000   | I  | Charles Joy                       |
| Class A Common                  |                                      |  |  |   |        |            |   | 190,326 <sup>(1)</sup>   | D  |                                   |

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|                   |                              |   |                              |
|-------------------|------------------------------|---|------------------------------|
| Class A<br>Common | 23,184 <sup>(2)</sup>        | I | Spouse                       |
| Class A<br>Common | 5,922 <sup>(3)</sup>         | I | Caitlin Joy<br>UTMA          |
| Class A<br>Common | 10,548 <sup>(4)</sup>        | I | Charles Joy<br>UTMA          |
| Class A<br>Common | 22,824 <sup>(5)</sup>        | I | Christopher<br>Joy UTMA      |
| Class A<br>Common | 15,633 <sup>(6)</sup>        | I | Alexander<br>Joy UTMA        |
| Class A<br>Common | 8,480,881 <sup>(7)</sup>     | I | Avish<br>Agincourt,<br>LLC   |
| Class A<br>Common | 56,334 <sup>(8)</sup>        | I | Annsley<br>Thornton<br>Trust |
| Class B<br>Common | 489,549 <sup>(9)</sup>       | D |                              |
| Class B<br>Common | 30,726 <sup>(10)</sup>       | I | Spouse                       |
| Class B<br>Common | 4,893 <sup>(11)</sup>        | I | Caitlin Joy<br>UTMA          |
| Class B<br>Common | 8,895 <sup>(12)</sup>        | I | Charles Joy<br>UTMA          |
| Class B<br>Common | 20,773 <sup>(13)</sup>       | I | Christopher<br>Joy UTMA      |
| Class B<br>Common | 13,125 <sup>(14)</sup>       | I | Alexander<br>Joy UTMA        |
| Class B<br>Common | 4,756,912<br><sup>(15)</sup> | I | Avish<br>Agincourt,<br>LLC   |
| Class B<br>Common | 41,046 <sup>(16)</sup>       | I | Annsley<br>Thornton<br>Trust |
| Class B<br>Common | 300 <sup>(17)</sup>          | I | Caitlin Joy<br>IMA           |
| Class B<br>Common | 300 <sup>(17)</sup>          | I | Charles Joy<br>IMA           |
| Class B<br>Common | 300 <sup>(17)</sup>          | I | Christopher<br>Joy IMA       |
| Class B<br>Common | 300 <sup>(17)</sup>          | I | Alexander<br>Joy IMA         |

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|                   |                     |   |   |
|-------------------|---------------------|---|---|
| Class B<br>Common | 339 <sup>(18)</sup> | I | C.F. Joy<br>Irrev. Trust<br>FBO Caitlin<br>Joy        |
| Class B<br>Common | 339 <sup>(18)</sup> | I | C.F. Joy<br>Irrev. Trust<br>FBO Charles<br>Joy        |
| Class B<br>Common | 339 <sup>(18)</sup> | I | C.F. Joy<br>Irrev. Trust<br>FBO<br>Christopher<br>Joy |
| Class B<br>Common | 339 <sup>(18)</sup> | I | C.F. Joy<br>Irrev. Trust<br>FBO<br>Alexander<br>Joy   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares                                       |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |

Joy Catherine Frazier  
850 DIXIE HIGHWAY  
LOUISVILLE, KY 40210

X

## Signatures

Laura H. Pulliam, Attorney in Fact for Catherine  
Frazier Joy

04/12/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On August 10, 2012, the Class A and Class B common stock of Brown-Forman Corporation split 3-2, resulting in the reporting person's acquisition of 63,442 additional shares of Class A common stock.
  - (2) Includes 7,728 additional Class A shares of common stock issued in the August stock split.
  - (3) Includes 1,974 additional Class A shares of common stock issued in the August stock split.
  - (4) Includes 3,516 additional Class A shares of common stock issued in the August stock split.
  - (5) Includes 7,608 additional Class A shares of common stock issued in the August stock split.
  - (6) Includes 5,211 additional Class A shares of common stock issued in the August stock split.
  - (7) Includes 2,826,960 additional Class A shares of common stock issued in the August stock split. The undersigned disclaims beneficial ownership of shares held by all entities set forth in this form except to the extent of her pecuniary interest therein.
  - (8) Includes 18,778 additional Class A shares of common stock issued in the August stock split.
  - (9) Includes 163,183 additional Class B shares of common stock issued in the August stock split.
  - (10) Includes 10,242 additional Class B shares of common stock issued in the August stock split.
  - (11) Includes 1,631 additional Class B shares of common stock issued in the August stock split.
  - (12) Includes 2,965 additional Class B shares of common stock issued in the August stock split.
  - (13) Includes 6,924 additional Class B shares of common stock issued in the August stock split.
  - (14) Includes 4,375 additional Class B shares of common stock issued in the August stock split.
  - (15) Includes 1,795,162 additional Class B shares of common stock issued in the August stock split. Also updated to reflect redemptions of other LLC members that did not change the reporting person's pecuniary interest.
  - (16) Includes 13,682 additional Class B shares of common stock issued in the August stock split.
  - (17) Includes 100 additional Class B shares of common stock issued in the August stock split.
  - (18) Includes 105 additional Class B shares of common stock issued in the August stock split. Also updated to reflect dividend reinvestment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.