

Augdahl Mark Richard
Form 3
May 19, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Augdahl Mark Richard

(Last) (First) (Middle)

4400 WEST 78TH STREET, SUITE 520

(Street)

MINNEAPOLIS, MN 55435

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/16/2011

3. Issuer Name and Ticker or Trading Symbol
APOGEE ENTERPRISES, INC. [APOG]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed (Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner

☒ Officer ☐ Other

(give title below) (specify below)

VP, Finance & Corp Controller

6. Individual or Joint/Group

Filing (Check Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

10,692 ⁽¹⁾

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Option (Right to Buy)	Â (2)	04/10/2013	Common Stock	2,000	\$ 9.15	D	Â
Employee Option (Right to Buy)	Â (2)	04/14/2014	Common Stock	2,000	\$ 11.86	D	Â
Stock Appreciation Rights	Â (2)	05/01/2017	Common Stock	2,862	\$ 24.19	D	Â
Stock Appreciation Rights	Â (2)	04/29/2018	Common Stock	3,556	\$ 21.59	D	Â
Performance Share Units	Â (3)	04/28/2012	Common Stock	3,929	\$ 0 (4)	D	Â
Performance Share Units	Â (3)	04/27/2013	Common Stock	4,189	\$ 0 (4)	D	Â
Performance Share Units	Â (3)	04/26/2014	Common Stock	4,292	\$ 0 (4)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Augdahl Mark Richard 4400 WEST 78TH STREET SUITE 520 MINNEAPOLIS, MN 55435	Â	Â	Â VP, Finance & Corp Controller	Â

Signatures

/s/ Mark Richard
Augdahl

05/19/2011

__Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the ESPP as of 5/3/11 and shares of restricted stock granted under the Amended and Restated 2002 Omnibus Stock Incentive Plan and 2009 Stock Incentive Plan.
- (2) Currently 100% exercisable.
- (3) The actual number of performance shares the reporting person will receive at the end of the performance period will be based on pre-determined corporate financial performance criteria. If the threshold level of performance is not met, no performance shares will be issued pursuant to the Performance Share Unit Agreement.
- (4) Settled 1-for-1.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.